

## Overview and Scrutiny Committee

Thursday 20 September 2018 at 10.00 am

Meeting to be held: Committee Room, Gateshead Civic Centre, Regent Street, Gateshead, NE8 1HH

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## AGENDA

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	Page No
1. <b>Apologies for Absence</b>	
2. <b>Declarations of Interest</b>	
Please remember to declare any personal interest where appropriate both verbally and by recording it on the relevant form (to be handed to the Democratic Services Officer). Please also remember to leave the meeting where any personal interest requires this.	
3. <b>Notes and Minutes of Previous Meetings</b>	
(a) <b>Minutes of meeting held on 15 March 2018</b>	1 - 6
(b) <b>Notes of inquorate meeting held on 16 July 2018</b>	7 - 14
4. <b>Monitoring Nexus' Performance</b>	15 - 20
5. <b>NECA Forward Plan and Scrutiny Work Programme</b>	21 - 38
6. <b>Date and Time of the Next Meeting</b>	
Thursday 20 December 2018 at 10.00am.	
7. <b>Exclusion of press and public</b>	
Under section 100A and Schedule 12A Local Government Act 1972 because exempt information is likely to be disclosed and the public interest test against disclosure is satisfied.	
8. <b>Confidential minutes of meeting held on 15 March 2018</b>	39 - 40
9. <b>Major Projects Report</b>	41 - 56

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## Overview and Scrutiny Committee

### DRAFT MINUTES TO BE APPROVED

15 March 2018

(10.00 am - 12.30 pm)

Meeting held County Hall, Durham, County Durham, DH1 5UL

#### Present:

Independent Members: D Taylor-Gooby (Chair) S Hart (Vice-Chair)

Councillors: R Crute, J Eagle, N Weatherley, S Graham, R Dodd, B Flux,  
J Amar, W Flynn, N Wright, D Snowdon, M Clarke and G Stone

#### 32 APOLOGIES FOR ABSENCE

Apologies were received from Councillors Patterson (Durham), Glindon (North Tyneside) and Lower (Newcastle).

#### 33 DECLARATIONS OF INTEREST

Councillor Eagle declared an interest as an employee of Nexus and informed Committee that dispensation had been granted for him to take part in discussions of transport related items but he would not be voting in decision making.

#### 34 MINUTES OF 13 SEPTEMBER 2017

The minutes of the meeting held on 13 September 2017 were agreed as a correct record and signed by the Chair.

#### 35 NOTES OF INQUORATE MEETING 14 DECEMBER 2017

The notes of the inquorate meeting held on 14 December 2017 were confirmed as a correct record.

#### 36 NOTES OF INQUORATE MEETING 5 FEBRUARY 2018

The notes of the inquorate meeting held on 5 February 2018 were confirmed as a correct record.

#### Matters arising

#### Minute 30 – NECA Forward Plan and Work Programme

Peter Judge (Monitoring Officer) and Helen Golightly (Head of Paid Service) provided an update on recent developments with the North of Tyne Devolution proposals.

The Committee were advised that the consultation period had ended and that the majority of respondents had been in favour of the creation of a North of Tyne Mayoral Combined Authority. A first draft of the Order to create the new Combined Authority had been received – slightly later than anticipated – and had been reviewed by officers, with a report going to the Leadership Board on 20 March 2018. A number of amendments to the draft Order had been proposed by officers. An extraordinary meeting of the Leadership Board would be required once the amended Order was received - it was anticipated that this may need to be held during the pre-election period, but legal officers had reviewed the situation and were satisfied that a decision over whether or not to proceed could be taken during that time. If the Leadership Board agreed to proceed it was proposed that the Order would commence at the beginning of a financial quarter, meaning either 1 July 2018 or 1 October 2018.

In discussion the following points were raised.

- Concerns were raised about the questions used in the consultation – both in terms of the wording, which Members felt only allowed for a positive response, and the lack of a question about having a Mayor – and it was queried whether the questions had been written by Government.

Officers advised that they believed the questions to have been written by the North of Tyne authorities, but that Government had been given an opportunity to comment upon them. Members requested that clarity be sought on this point and it was agreed that officers would write to the North of Tyne Authorities to seek confirmation on who had drafted the questions used in the consultation, and what involvement Government had had in the process.

- It was noted that other areas were making progress in establishing Mayoral combined authorities, including one to cover the whole of Yorkshire, and that failure to agree on a way forward within NECA may result in the interests of the region being held back.
- A Member queried whether there may be constitutional issues going forward for non-Mayoral combined authorities. Officers advised that current legislation was neutral in terms of Mayoral and non-Mayoral combined authorities.

**RESOLVED:** That officers would write to the North of Tyne authorities to seek clarification on who had drafted the questions used in the consultation, and what involvement Government had had in the process.

## 37 THEMATIC LEAD UPDATE REPORT FOR EMPLOYABILITY AND INCLUSION

Submitted: Report of Thematic Lead for Employability and Skills and Skills Director North East LEP (previously circulated and copy attached to the Official Minutes).

Councillor Jackson (Thematic Lead for Employability and Skills) introduced the report which provided an update on progress being made in delivering the Employability and Inclusion and Skills themes of the Strategic Economic Plan (SEP) for the North East. Councillor Jackson advised the Committee that the North East economy continued to be characterised by low pay and low skill employment, and that in order to improve living standards it would be necessary to increase productivity which in turn meant increasing skills levels. Major employers were keen to move into the region, or to expand within the region, but in order to do so they needed to have access to a highly skilled workforce.

Ryan Gibson (Facilitator for the Career Benchmarks Pilot) gave a presentation providing members with an overview and update on the Skills programme and the five areas of focus in addressing Skills Challenges.

In response to comments, questions and points raised by Members the following was noted:

1. There were two benchmarks within the North East Ambition programme that were focussed on meaningful encounters with employers and workplaces, and the Enterprise Advisors programme provided an additional link to employers. Work had been taking place to recruit employers to work with schools, and officers had found that employers were very keen to engage. The involvement of senior staff within schools was considered to be vital to the success of the programme as it had been shown to rapidly increase the rate of progress. It was advised that the 'Careers Leader' within schools should always be a senior staff member.
2. In response to a question from members about provision for young people who were not academically inclined, officers advised that the pilot for the North East Ambition Programme had involved a pupil referral unit and special needs schools. Relationships were established with colleges that allowed these students to see a clear path to progression, and there had been a reduction in the number of young people not in education, employment or training (NEET) which could be partially attributed to this. Regular contact with employers and provision of training was also considered to be important.
3. The 'Bring it On' exhibition held at the Stadium of Light in 2017, which had been interactive and had showcased opportunities for young people in engineering, had been a big success. It has been partially funded by the LEP and a second event was to be held at the Beacon of Light in October 2018. Other sectors were interested in replicating the 'Bring it On' approach.
4. Whilst it was not possible to make changes to the national curriculum, it may be possible to influence what was taught in classrooms in terms of skill development and project based learning.
5. In response to concerns about European Social Fund (ESF) funding coming to an end and queries as to what risk mitigation was to be put in place, officers advised that ESF was not the only source of funding and that resources and expertise could be pooled. Government had promised that EU funding would be replaced but details around this had not yet been

announced. It was proposed that a case should be made for the North East to have control over its share of funding.

6. There was no commitment made by employers to providing for employment for young people through the North East Ambition programme, only to provision of support and guidance. It was suggested that a guaranteed interview would be a fantastic outcome and a great next step to aim for.
7. One of the benchmarks within the North East Ambition programme related to tackling gender stereotyping and activities took place within schools to challenge stereotypes. An event was to take place for young women to meet females already working in engineering and science.

**RESOLVED** – That the Overview and Scrutiny Committee noted the contents of the report.

### 38 **DURHAM YOUTH EMPLOYMENT INITIATIVE**

Submitted: Report of Strategic Lead: Progression and Learning Durham County Council (previously circulated and copy attached to the Official Minutes).

Linda Bailey (Strategic Lead Progression and Learning, Durham County Council) presented the report which provided members with information about the DurhamWorks Youth Employment Initiative (YEI) Programme.

In response to comments, questions and points raised by Members the following was noted:

1. Members expressed concern about the lack of desire from some young people to engage in further activities to develop employability skills and asked what could be done to address this.

Officers advised that the most disadvantaged young people had been targeted for this programme, and that many of them had previously been mandated by the Job Centre which had resulted in them developing a negative view of 'employability skills', and that it could be challenging to persuade them of the potential benefits. It helped if young people were able to clearly see the end results and were offered activities which were less formal in nature and/or helped them to develop work-related skills.

2. Information was collected about the number of young people who had gained employment, but progression would not be reported until the end of the programme as officers did not want to give up on anyone in the meantime. The service was individualised and based on need.
3. If and when funding for the programme ended the branding would remain, and the collaborative working relationships and networks established through the programme would continue.
4. National evaluation would take place six months after completion of the programme, and this could be used to compare results with other YEI areas.

However it would take at least a year after the end of the initiative to understand the full outcome. The programme is being evaluated at a local level on a quarterly basis and this evaluation is looking at all aspects of the model and its' delivery. Once the programme ends, it is intended that a review of the younger cohort would take place within 6-12 months.

**RESOLVED – That:**

- i. The report be received and comments noted.
- ii. Further progress reports on the delivery of the DurhamWorks Programme to be brought to future meetings of the Committee, as appropriate.

**39 NECA DECISION MAKING PROTOCOL**

Submitted: Report of Monitoring Officer and Policy and Scrutiny Officer (previously circulated and copy attached to the Official Minutes).

Nicola Laverick (Policy and Scrutiny Officer) and Peter Judge (Monitoring Officer) presented the report which outlined the Decision Making Protocol for the Overview and Scrutiny Committee. Nicola reminded members that this item had previously been discussed by the Committee and had been shared with members and Scrutiny Officers from the constituent authorities for comment, and drew attention to the summary of feedback received in paragraph 1.6.

**RESOLVED – That:**

- i. The comments received from Scrutiny Officers regarding the protocol be noted.
- ii. The draft Decision Making Protocol be agreed and Leadership Board invited to consent to the Protocol.
- iii. The Monitoring Officer and Policy and Scrutiny Officer be instructed to implement the Protocol and to provide advice and guidance to officers regarding the Protocol and the required standards.

**40 EXCLUSION OF PRESS AND PUBLIC**

**RESOLVED –** That by virtue of paragraph 3 of Part 1 of Schedule 12A of the Local Government Act 1972 press and public be excluded from the meeting during the consideration of agenda item 8 (Tyne Pedestrian and Cyclist Tunnels) because exempt information was likely to be disclosed and the public interest test against the disclosure was satisfied.

**42 NECA FORWARD PLAN AND SCRUTINY WORK PROGRAMME**

Submitted: Report of Monitoring Officer and Policy and Scrutiny Officer (previously circulated and copy attached to the Official Minutes).

Nicola Laverick (Policy and Scrutiny Officer) presented the report which provided Members with an opportunity to consider the items on the Forward Plan for the current 28 day period and discuss items for the Work Programme for 2018/19.

In response to comments, questions and points raised by members the following was noted:

1. Members asked to have a report brought back to Committee on all major projects being carried out by or on behalf of the NECA so that members could identify any they may wish to add to their work programme for more detailed consideration.
2. Members requested that they receive details about the joint arrangements for governance and delivery of transport functions between the NECA and the NTCA going forward.

**RESOLVED** – That:

- i. A report be brought back to the next meeting detailing all of the major projects being carried out by or on behalf of the NECA.
- ii. The Committee to receive details about the joint arrangements for governance and delivery of transport functions between the NECA and the NTCA going forward as they become available.

#### 43 **DATE AND TIME OF THE NEXT MEETING**

Provisional date (subject to confirmation at the Annual Meeting):

Monday 16 July 2018 at 10.00am.



## Overview and Scrutiny Committee

### DRAFT MINUTES TO BE APPROVED

16 July 2018

(10.02 - 11.58 am)

Meeting held Committee Room, County Hall Durham, County Durham DH1 5UQ

#### Present:

Independent Members: D Taylor-Gooby (Chair) S Hart (Vice-Chair)

Councillors: J Eagle, S Graham, R Dodd, G Kilgour, D Snowdon, P Hunt and A Ellison

#### 1 APOLOGIES FOR ABSENCE

Apologies were received from Councillors Crute (Durham), Patterson (Durham), Lower (Newcastle) and Flynn (South Tyneside).

The Chair confirmed that the meeting was not quorate and that any decisions taken would therefore be ratified at the next meeting.

#### 2 DECLARATIONS OF INTEREST

Councillor Eagle declared an interest as an employee of Nexus and informed Committee that dispensation had been granted for him to take part in discussions of transport related items but that he would not be voting in decision making.

#### 3 MINUTES OF THE PREVIOUS MEETING HELD ON 15 MARCH 2018

The Committee reviewed the minutes of the previous meeting held on 15 March 2018 and those present agreed their accuracy.

**RECOMMENDED** – That the minutes be formally approved at the next meeting of the Committee.

#### Matters arising:

##### Minute 36 – Minutes of Inquorate Meeting 5 February 2018

Helen Golightly, Head of Paid Service, gave a verbal update on recent developments with North of Tyne Devolution proposals.

The Committee were advised that the Leadership Board had met on 26 April to consider a report on devolution and had approved recommendations to enable and allow the three North of Tyne authorities to set up a Mayoral Combined Authority, to amend the boundaries of NECA so as to remove the North of Tyne local authority areas, and to set up Joint Transport Committee. Since then a Deed of Cooperation had been developed and signed by all seven authorities. Within the previous few days an updated draft of the Order had been released by Government, and once all seven authorities were comfortable with it the Secretary of State would be notified and formal consent to the Order given. The aim was for this to take place before the summer parliamentary recess. Set up of the new arrangements was likely to take place in September, but this could not be confirmed. Until such time as the new Order came into effect the Committee would continue as usual.

#### 4 **THEMATIC LEAD UPDATE REPORT FOR ECONOMIC DEVELOPMENT AND REGENERATION**

Submitted: Report of Thematic Lead for Economic Development and Regeneration (previously circulated and copy attached to Official Minutes).

Councillor Iain Malcolm (Thematic Lead for Economic Development and Regeneration) introduced the report which provided an update on the work of the Economic Development and Regeneration Advisory Board (EDRAB) over the 2017/18 municipal year and an overview of the Department of International Trade activities delivered by the North East England Chamber of Commerce (NEECC). The report also provided an updated on the work of Invest North East England (INEE) and the Committee were advised that there was a commitment to keep this going after the creation of the North of Tyne Combined Authority.

Julie Underwood (International Trade Director, North East England Chamber of Commerce) presented an update on the delivery Department for International Trade (DTI) activities by the NEECC, including the delivery structure and activities and examples of export wins by local authority areas.

Guy Currey (Director, Invest North East England) presented an update on inward investment activities and an overview of the model of operation used by INEE.

In response to comments, questions and points raised by Members the following was noted:

- In response to questions from Members about the accuracy of job creation figures, officers advised that business parks were planned with a certain floorspace capacity in mind which in turn informed the projected figures for job creation within them. The approach taken by INEE in calculating job creation from inward investment project wins was to take figures directly from companies' announcements as to the numbers created over the next three-year period. This was in line with Department of International Trade methodology. It was not an exact science and there could be no certainty on the exact number of jobs created. It was highlighted that money from the public purse did not go to fund new business parks if they were just displacing jobs from elsewhere, it was a requirement that there must be new

jobs created and robust evidence of this had to be provided. Retail figures were not included.

- It was important to engage with young people before the age of 16 in order to steer education and career choices, and within South Tyneside universities and colleges were engaging with primary and secondary schools around this. It was suggested that the success of this approach should be monitored and considered for wider implementation in the region.
- The USA was the number one export market for the North East, followed closely by Germany. The major exports to the USA were goods and services. Overall, 62% of goods exported from the region went to the EU. It was highlighted that Nissan exports were not included in the figures quoted as they were covered by data published by HMRC.
- The UK Shared Prosperity Fund would be an important source of funding for the region going forward and lobbying around allocation would continue with Government and with regional MPs. There was the possibility of a Cabinet meeting being held in the region later in the month and this would provide an additional opportunity. A Government consultation was expected in the Autumn, and it would continue to be a key issue on EDRAB's work programme over the following year. It was noted that it was not yet possible to predict the final outcome of Brexit, but that there would be opportunities as well as challenges and it was important to be open-minded about this.

Members queried whether the region should be aiming for more in terms of what it got back from the EU in light of the trade deficit. Councillor Malcolm advised that it was always wise to ask for more but that there was no guarantee the Government would listen. It was also highlighted that while North East exports were currently higher than imports the gap was closing, and that this presented a challenge in terms of negotiations. The Committee were advised that cross-party support for the negotiations would be welcomed.

- The original Strategic Economic Plan (SEP) target for jobs was to create an additional 100,000 jobs, 60 per cent of which would be 'better' jobs. Those targets were reviewed in 2016/17. Given the uncertainty around Brexit it was decided not to change the target for job creation, but the target for 'better' jobs was increased to 70 per cent.
- The biggest challenge to productivity in the region was the skills gap. Businesses looking to relocate needed to have confidence that the right skills were available to meet their needs. It was also important to make sure that transport connectivity was in place to enable people to get to where the jobs were, as was happening with the International Advanced Manufacturing Park (IAMP). Employers based on the IAMP would also be encouraged to offer skills training in local areas, demonstrating to job seekers that there were opportunities available to them. The Committee were advised that the four business opportunity areas outlined in the SEP were chosen specifically because of their potential to help close the productivity gap.

- Members expressed concern that a reduction in the number of skilled people migrating to the UK could result in the North East losing talented people to London to replace them. Officers advised that there were a large number of people studying in the region and that it was important to try to retain those graduates. The region was already able to offer high quality living conditions, but also needed to have high level jobs for graduates to move into.

**RECOMMENDED** – That the Overview and Scrutiny Committee noted the contents of the report.

## 5 **DIGITAL CONNECTIVITY UPDATE REPORT**

Submitted: Report of Chair NECA Digital Leads (previously circulated and copy attached to Official Minutes).

Steve Smith (Chair of the NECA Digital Leads Group) presented the report which provided an update on the Digital Connectivity workstream including work to increase the availability of superfast broadband as part of the BDUK national programme and work to ensure that the region was well placed to be able to access future digital connectivity such as full fibre, 5G and the Internet of Things.

The Committee were advised that there were difficulties in achieving full coverage of superfast broadband in the region. In part this was due to cost, as there was a cap on the level of public subsidy permitted in areas that fell outside of the national State Aid umbrella. There were also issues with the installation of full fibre connections, recommended by Government as the best long-term solution, as it was very difficult to retrofit and developers could not be forced to install full fibre connection in new developments at the construction stage.

A number of bids were in development for the next wave of the Department for Digital, Culture, Media and Sport (DCMS) Local Full Fibre Networks (LFFN) funding, and local authorities were sharing thinking on this in order to ensure that the bids submitted from within the NECA area were complementary.

Alan Welby (Innovation Director, North East LEP) gave an update on development, testing and future rollout of 5G infrastructure.

In response to comments, questions and points raised by Members the following was noted:

- Officers were not able to advise on the figures for full fibre connection in new developments within specific local authorities, as they only had access to the regional figure. As a region the North East had the lowest level of full fibre infrastructure installed in new developments in 2017.
- Members queried why there was reluctance from developers to provide full fibre connectivity in new homes. Officers advised that an example of a reason given by a developer was that having a box with flashing lights in the living room would spoil the look of the home and be off-putting to buyers. Homes could be retrofitted but the cost of this was in the region of £8-£30 per house. Many developers thought this too expensive and refused to bear the cost.

- Lobbying was taking place to make changes to the National Planning Policy Framework to make it a condition that full fibre broadband was installed at the construction phase – Government needed to have clear evidence of the need to make it a condition within the Framework and there had been pushback from developers on the importance of broadband connection compared to water, gas and electricity. In the meantime a lot of work was taking place to explore what could be done through regional local plans.
- For rural areas where fibre connections could prove to be very difficult and expensive to install there had been some success with deployment of satellite or wireless connections. The drawbacks of this were that while 4G connections could be fast they were short range, and that it was not seen as being an equitable product as the cost per month was much higher than for a fibre connection.
- In response to a question about conversion from superfast to ultrafast broadband, officers advised that Full Fibre to Cabinet (FFTC) solutions could be easily converted and could be done on a wholly commercial basis by the operator but would be short range and only properties within 300-350 metres of the cabinet would benefit. In order to change to a Full Fibre to Premises (FFTP) connection there would be significant additional cost and logistical difficulties as new cabling would need to be installed all the way to each individual property.
- Members expressed concern that isolated communities often housed some of the most vulnerable residents and that it was worrying that their ability to connect to superfast broadband seemed to rely on the whim of a developer. Officers advised that any support the Committee could provide in lobbying developers to provide connection would be welcomed.
- Members highlighted that lack of connectivity or poor connectivity would be detrimental to economic development.
- 5G would be a step-change in terms of multi-channel, ultra-fast mobile and wireless technology which would be able to handle data quicker and with faster reaction times and could transform how people interact. It would enable machine to machine communication which would in turn allow technology such as automated cars to operate. It would also be a secure way of transferring information. NECA was making a bid for funding under the DCMS 5G Testbeds and Trials programme.

Members queried whether 5G could be used to provide assistive technology for the frail and elderly. Officers advised that there were opportunities using 5G to develop and install machines that could monitor and assist and could alert the authorities to any abnormalities in a person's daily routine.

**RECOMMENDED** – That the Overview and Scrutiny Committee noted the contents of the report.

Submitted: Report of Monitoring Officer and Policy and Scrutiny Officer (previously circulated and copy attached to Official Minutes).

Nicola Laverick (Policy and Scrutiny Officer) presented the report which provided Members with an opportunity to consider the items on the Forward Plan for the current 28 day period and discuss items for the Work Programme for 2018/2019.

The Committee's attention was also drawn to a review of the 2017-2018 work programme and of decision making over the previous 12 months. Members were advised that there had been a large number of late requests for items to be added to the Forward Plan, and in order to address this the procedures for amendment and addition to the Forward Plan had been tightened and a Notice of General Exception established in accordance with the Decision Making Protocol.

The Committee were advised that the Decision Making Protocol had been approved by the Leadership Board and that training was to be provided for officers to ensure that it was understood.

In response to comments, questions and points raised by members the following was noted:

- It was highlighted that the work of the Committee should driven by its Members, and as such Members were encouraged to submit any suggestions for additions to the work programme.
- Members agreed that they would like to look more closely at the issue of digital connectivity, particularly for social housing. It was agreed that this should be added to the work programme and a more in-depth report requested, and that Members should also review the position within their own local authorities in advance of further discussion by the Committee.

**RECOMMENDED** – That:

- i. The Forward Plan, Work Programme 2018/2019 and NECA Decision Making Annual Report be received and comments noted.
- ii. The changes made to the Decision Making Protocol that was approved by the North East Leadership Board on 19 June 2018 be noted.
- i. A review of Digital Connectivity, with a particular focus on social housing, be added to the work programme at an appropriate time and a more in depth report requested to support this.
- iii. Members to review their own authorities position with regards to Digital Connectivity in advance of further discussion by the Committee.

**7 DATE AND TIME OF THE NEXT MEETING**

Thursday 20 September 2018 at 10.00am.

**8 EXCLUSION OF PRESS AND PUBLIC**

**RESOLVED** – That by virtue of paragraph 3 of Part 1 of Schedule 12A of the Local Government Act 1972 press and public be excluded from the meeting during the consideration of agenda item 9 (Confidential minutes of the previous meeting held on 15 March 2018) because exempt information was likely to be disclosed and the public interest test against the disclosure was satisfied.

**9 CONFIDENTIAL MINUTES OF THE PREVIOUS MEETING HELD ON 15 MARCH 2018**

It was agreed that a review of the accuracy of the confidential minutes and discussion of any matters arising should be deferred to the next quorate meeting of the Committee.

**RECOMMENDED** – That the confidential minutes of the previous meeting be considered at the next quorate meeting of the Committee.

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## Overview and Scrutiny Committee

**Date:** 20 September 2018  
**Subject:** Monitoring Nexus' Performance  
**Report of:** Managing Director (Transport Operations)

### Executive Summary

The report demonstrates that, over 2017/18, Nexus was successful in delivering the majority of objectives set in the Corporate Plan that was approved by the Transport North East (Tyne and Wear) Sub Committee in January 2017.

Metro performance improved on the prior year, despite some significant disruptions and continuing challenges of maintaining the ageing fleet.

Financially, Nexus reported a budget surplus, despite a reduction in Metro patronage and fare revenue.

Looking ahead we are seeking to continue investment in the Metro through the ninth year of the current 11 year Asset Renewal Programme. We will also be progressing procurement of the new fleet of Metro trains, and the Metrofutures project for strengthening and extending the system.

### Recommendations

The Overview and Scrutiny Committee is recommended to note, and given opportunity to comment on, the report demonstrating Nexus' corporate performance in delivering services and projects over 2017/18.

## Overview and Scrutiny Committee

### 1. Background Information

1.1 This report provides a summary review of Nexus' performance against its Corporate Business Plan (CBP) targets and objectives for 2017/18.

1.2 Nexus' CBP for 2017/18 had three main themes and sixteen workstreams which are identified in the following table. Of the 16 workstreams:-

- Thirteen are rated 'Green' in status, three from six in Theme 1 - 'Deliver public transport today'; all six in Theme 2 - 'Prepare for the future', and all four in Theme 3 - 'Focus on organisational effectiveness'.
- All three 'Amber' workstreams related to Theme 1 - 'Deliver public transport today': 'Improve Metro performance', 'Embed new technology' and 'Deliver non-ARP capital projects'.

**Theme:**

**Workstream:**

**Deliver public transport today**

- Improve Metro performance
- Enhance local and national rail services
- Embed new technology
- Deliver the Metro Asset Renewal Plan
- Deliver non-ARP capital projects
- Deliver public transport services and facilities

**Prepare for the future**

- Obtain a new Fleet and prepare for the new Metro contract
- Secure long term improvements to Metro's infrastructure
- Plan for the expansion of Metro and local rail networks
- Plan for local bus service improvements
- Innovate through technology
- Secure investment in public transport assets

**Focus on organisational effectiveness**

- Refine training and succession planning
- Ensure Nexus is an employer of choice
- Focus on health, safety and the environment
- Service review to balancing the budget

## Overview and Scrutiny Committee

### 1.3 Notable aspects from 2017/18 included:-

#### **New Fleet**

- Securing £337 million from government for a new Metro fleet and commencing the procurement process.
- Developing proposals for design of the new fleet based on the outcome of extensive public consultation.

#### **Metro Service Delivery**

- Metro Operations being successfully handed back to Nexus, with service delivery being improved against the final year of the concession.
- Replacing Killingworth Road Bridge and renewing an extended section of track through a Major Line Closure during the summer.
- Rebranding the Stadium of Light Metro station in Sunderland AFC colours.
- Launching the 'Baby on board' and 'I need a seat' badge schemes.
- Focusing staff efforts to combat the impacts of Low Rail Adhesion.
- Providing defibrillators at two key Metro stations.
- Reinstating service promptly following a burst water main at Ilford Road in the busy Gosforth corridor.
- Increasing the number of cleaners on the Metro.
- Facilitating service delivery during severe weather associated with the 'Beast from the East'.

#### **Payment, Ticketing and Technology Initiatives**

- Introducing Pop Blue smart ticketing and a £1 flat Metro fare for young people aged 18 and under.
- Modifying the Metro Ticket Vending Machines to accommodate the new £1 coins and the new £10 note.
- Making PAYG cards free-issue.
- Starting a cashless Ticket Vending Machine trial at Central, once half of Metro fares were being paid for by card.
- Using Metro infrastructure to help develop proposals for a 5G pilot test-bed in the North East.
- Updating and rebranding Nexus' website.

#### **Staff Training & Development**

- Providing 'Safety stand-down' days for staff training.
- Continuing the Nexus Frontline Leadership programme.
- Commencing work on an £8.4m Learning Centre and a new £21m Metro and bus interchange in South Shields.
- Promoting mental health awareness, health and wellbeing initiatives for staff.

#### **Financial Position**

- Nexus reported a budget surplus, despite a reduction in Metro patronage and fare revenue.

## Overview and Scrutiny Committee

### **2. Proposals**

- 2.1 There are no specific proposals for decision arising from this year-end summary performance monitoring update report.

### **3. Reasons for the Proposals**

- 3.1 There are no proposals for decision arising from this year-end summary performance monitoring update report.

### **4. Alternative Options Available**

- 4.1 There are no proposals from on which to base alternative options arising from this year-end summary performance monitoring update report.

### **5. Next Steps and Timetable for Implementation**

- 5.1 A report updating on Nexus' performance will be presented to each scheduled meeting of the Transport North East (Tyne and Wear) Sub-Committee in order to enable the required monitoring considerations and assurance activity to take place in accordance with the NECA's Constitution and the role and functions that are thereby delegated to the Transport North East (Tyne and Wear) Sub-Committee.

### **6. Potential Impact on Objectives**

- 6.1 Monitoring Nexus' performance helps to provide assurance that the NECA's policies and objectives are being implemented efficiently and effectively in accordance with the approved plan.

### **7. Financial and Other Resources Implications**

- 7.1 There are no direct financial or resource considerations arising from this year-end summary performance monitoring update report.

### **8. Legal Implications**

- 8.1 There are no direct legal considerations arising from this year-end summary performance monitoring update report.

### **9. Key Risks**

- 9.1 There are no direct risk considerations arising directly from this report, though monitoring and reviewing performance information plays an important role in risk management. A separate review of Nexus' corporate risk register is provided to

## Overview and Scrutiny Committee

each meeting of the Transport North East (Tyne and Wear) Sub-Committee in parallel with the performance monitoring update report.

### 10. Equality and Diversity

10.1 There are no specific equalities and diversity considerations arising from this year-end summary performance monitoring update report.

### 11. Crime and Disorder

11.1 There are no specific crime and disorder considerations arising from this year-end summary performance monitoring update report.

### 12. Consultation/Engagement

12.1 There are no specific consultation or engagement considerations arising from this year-end summary performance monitoring update report.

### 13. Other Impact of the Proposals

13.1 There are no direct proposals arising from this year-end summary performance monitoring update report, and therefore no consequential impacts.

### 14. Appendices

14.1 None.

### 15. Background Papers

15.1 Nexus' Corporate Plan 2017/18 to 2019/20 as considered and approved at the Transport North East (Tyne and Wear) Sub-Committee meeting held on 26 January 2017.

### 16. Contact Officers

16.1 Tobyn Hughes,  
Managing Director (Transport Operations)  
Email: [tobyn.hughes@nexus.org.uk](mailto:tobyn.hughes@nexus.org.uk)  
Tel: 0191 203 3236

### 17. Sign off

- Head of Paid Service: ✓

## Overview and Scrutiny Committee

- Monitoring Officer: ✓
- Chief Finance Officer: ✓

### 18. Glossary

The meaning of any abbreviations used is explained in the body of the report.

## **Overview and Scrutiny Committee**

**Date:** 20 September 2018

**Subject:** Forward Plan and Scrutiny Work Programme

**Report of:** Monitoring Officer and Policy and Scrutiny Officer

### **Executive Summary**

The purpose of this report is to provide Members with an opportunity to consider the items on the Forward Plan for the current 28-day period and discuss items for the Work Programme for 2018/2019.

The report also provides an update and annual review on the items considered as part of the NECA Decision Making process, which includes the consultation of the Chair of the Overview and Scrutiny Committee.

### **Recommendations**

The Overview and Scrutiny Committee is recommended to:

- i. Review the current Forward Plan and consider which items they may wish to examine in more detail;
- ii. Suggest any items for the Work Programme for 2018/2019;

## Overview and Scrutiny Committee

### 1. Background Information

- 1.1 The Forward Plan is a document which NECA is required to maintain under the Combined Authorities (Overview and Scrutiny, Access to Information and Audit Committees) Order 2017. The Forward Plan is published on NECA's website and lists the decisions that the North East Combined Authority intends to take in the coming months and must include all decisions to be made in the next 28 days. The Forward Plan template contains specific information relating to each decision, including the date the decision will be made, a brief explanation of the topic, the consultation to be undertaken, and contact details of the author. The Forward Plan template has recently been updated and now includes further information including if the decision is a 'Key Decision' and if an item will be discussed in private.
- 1.2 Details of each decision are included on the Forward Plan 28 days before the report is considered and any decision is taken. This supports the transparency of decision making within the Combined Authority and allows members of the public to see the items that will be discussed. There are special procedures for circumstances where publication for the full 28 clear day period is impractical or where there is special urgency. Both of these procedures involve the Chair of the Overview and Scrutiny Committee and would be reported to the committee at the next meeting.

### Role of Overview and Scrutiny

- 1.3 The Overview and Scrutiny Committee examine any decisions of the Authority – be that by the principal decision-making body or a committee or officer holding delegated authority. This Scrutiny occurs in public and ensures democratic and public accountability.
- 1.4 One of the main functions of this Committee is the review and scrutiny of decisions 'Key Decisions' made by the North East Leadership Board (NELB), the Transport North East Committee (TNEC), Transport North East (Tyne and Wear) Sub-Committee (TWSC) and Officers. The relevant regulations set out a test for what should be considered a Key Decision – being those which are most significant in financial or other terms. This is explained in the Decision-Making Protocol adopted by the Committee on the 15 March 2018:  
<https://northeastca.gov.uk/wp-content/uploads/2018/08/NECA-Decision-Making-Protocol.pdf>
- 1.5 It is NECA's practice to include formal decisions on the forward plan to maximise the opportunity for review and scrutiny. In considering items in the Forward Plan, the Overview and Scrutiny Committee should determine which areas scrutiny can add most value to in relation to the decisions being made.



## Overview and Scrutiny Committee

- 1.6 The Forward Plan at the date this paper was issued is attached marked as Appendix 1. The up to date forward plan is always published at <https://www.northeastca.gov.uk/committee-meetings/forward-plan>.

### Update on Requests for Special Urgency / General Exception

- 1.7 In accordance with the Decision Making Protocol, it was agreed by Members that the request of any Short Notice Procedure that involved the Chair of the Overview and Scrutiny Committee would be reported at the next Committee. The table below shows the number of requests made since the last meeting was held:

Type of Short Notice Procedure	Number of Requests since previous Committee
Requests for Special Urgency	0
Request for General Exception	1

- 1.8 The above request for the General Exception, relates to the decision of the “Consent to the Newcastle upon Tyne, Northumberland and North Tyneside Combined Authority (Establishment and Functions) Order 2018.”
- 1.9 To provide background regarding this decision, the Leadership Board delegated authority to Helen Golightly, NECA Head of Paid Service to give consent to the Order once received.
- 1.10 The process for this particular decision and General Exception involved:

- Full discussion of this matter and approval of delegation given at the Leadership Board on the 26<sup>th</sup> April 2018
- The anticipated delegated decision being placed in advance on the Forward Plan for 28 days
- The Monitoring Officer providing regular updates to the Chair and Vice Chair of the Committee, and Members regarding the process involved with the Order

Following receipt of the Order being received on the 20<sup>th</sup> July:

- As a full 28 days’ notice had been provided of the delegated decision being placed on the Forward Plan, as explained and updated previously, the Chair of the Overview and Scrutiny was sent the Notice of General Exception the same day and approved the process
- The Notice of General Exception along with the Delegated Decision was published on the NECA Website.

## **Overview and Scrutiny Committee**

- 1.11 It is important to highlight that the exceptional process required by the MHCLG, truncated aspects of the usual call-in process as consent to the Order was required by 5pm the same day. The procedure for the Notice of General Exception was therefore undertaken, however, in accordance with the Decision Making Protocol the correct use of this procedure was undertaken.

### **Annual Work Programme - Update**

- 1.12 The most recent version of the work programme has been compiled to allow the Overview and Scrutiny Committee the opportunity to consider items that they have requested.
- 1.13 The work programme is also designed to give an overview of all performance, decision-taking and developments within the NECA, as well as being focused and flexible to allow for new issues and recognising the capacity of the scrutiny committee to respond in a timely way to emerging developments throughout the year. The Plan allows the Committee to take a longer term view than the Forward Plan, adding a longer term perspective to the Committee's work. Advantages of a longer term perspective is the opportunity to gain a deeper understanding of matters and to allow for more constructive engagement and scrutiny.
- 1.13 Members are also invited to comment and give consideration to any additional items they would wish to consider on the Forward Plan or those decisions where they can add value.

### **Building on effectiveness and governance changes**

- 1.14 Due to the governance changes that will occur, members agreed at a workshop earlier in the year that the work programme would maintain a focus of these changes when they could consider organisational assurances, decision making and the implications of scrutiny.
- 1.15 It is proposed to consider and discuss these in more details during the December Committee, when Members can discuss the impact of the role of the Overview and Scrutiny Committee and how this continues to develop.

## **2. Proposals**

- 2.1 Committee members are invited to review the Forward Plan for the current 28-day period – giving consideration to any items they may wish to examine in more detail – and to suggest any items for addition to the Annual Work Programme for 2018/19.

## **3. Reasons for the Proposals**

- 3.1 To provide an opportunity for Committee members to input on any additional items as part of continued planning for the Work Programme for 2018/19.

## Overview and Scrutiny Committee

### 4. Alternative Options Available

4.1 Option 1 – The Overview and Scrutiny Committee may review Forward Plan and suggest additional items for the Work Programme.

Option 2 – The Overview and Scrutiny Committee may choose not to review the Forward Plan or consider any additional items for the Work Programme.

Option 1 is the recommended option.

### 5. Next Steps and Timetable for Implementation

5.1 In considering the Forward Plan, Members are asked to consider those issues where the Scrutiny Committee could make a contribution and add value.

5.2 If the Overview and Scrutiny Committee determines to review or scrutinise a decision notified in the Forward Plan, a meeting of the Committee will be arranged to allow scrutiny members to carry out their role in a timely way.

5.3 The work programme will be refreshed and updated at each meeting of the Committee throughout the year.

### 6. Potential Impact on Objectives

6.1 Development of a work programme and review and scrutiny of decisions in the Forward Plan will contribute towards the development and implementation of the policy framework of the NECA, Nexus and the North East LEP as well as providing appropriate challenge to decisions taken.

### 7. Financial and Other Resources Implications

7.1 No financial or other resource implications are identified at this stage.

### 8. Legal Implications

8.1 There are no specific legal implications arising from these recommendations.

### 9. Key Risks

9.1 There are no key risks associated with the recommendations made in this report.

### 10. Equality and Diversity

10.1 There are no specific equality and diversity implications arising from this report.

### 11. Crime and Disorder

11.1 There are no crime and disorder implications arising from this report.

## Overview and Scrutiny Committee

### 12. Consultation/Engagement

- 12.1 On-going consultation takes place with Officers and Scrutiny Members across the NECA in regard to the items for the Annual Work Programme as Appendix 2.

### 13. Other Impact of the Proposals

- 13.1 The proposals consider the wider impact and take into account the Principles of Decision Making as set out in the NECA Constitution. They allow Members consideration of the items on the Forward Plan and allow them the opportunity to have an overview of all performance, decision making and developments across NECA.

### 14. Appendices

- 14.1 Appendix 1 – Forward Plan  
Appendix 2 – Annual Work Programme

### 15. Background Papers

- 15.1 None.

### 16. Contact Officers

- 16.1 Peter Judge, Monitoring Officer  
Email: [peter.judge@northeastca.gov.uk](mailto:peter.judge@northeastca.gov.uk)  
Telephone Number: 07342069371
- Nicola Laverick, Policy and Scrutiny Officer  
Email: [nicola.laverick@northeastca.gov.uk](mailto:nicola.laverick@northeastca.gov.uk)  
Telephone Number: 07342 069 369

### 17. Sign off

- 17.1
- Head of Paid Service: ✓
  - Monitoring Officer: ✓
  - Chief Finance Officer: ✓

### 18. Glossary

- 18.1 NECA - North East Combined Authority  
NELB - North East Leadership Board  
North East LEP - North East Local Enterprise Partnership



## Forward Plan of Decisions

Published 10 September 2018 \*

The Forward Plan for the North East Combined Authority (NECA) is prepared and published by the Monitoring Officer for the purpose of;

- A. Giving 28 days' notice of key decisions that are planned to be taken by the NECA, its Boards, Committees or Chief Officers
- B. Complete transparency about decisions - the Plan also includes an overview of non-key decisions to be taken by the Combined Authority or its Chief Officers

Unless otherwise indicated, if you require any further information or wish to make representations about any of the matters contained in the Forward Plan please contact the appropriate officer as detailed against each entry at least 7 days before the meeting.

**\*The most recent entries are referred to as "NEW". Updated entries are referred to as "Updated". Items withdrawn since the last publication are referred to as "Withdrawn", and following that will be removed altogether.**

Further information about the Forward Plan and NECA Decision Making can be found in 'The Combined Authorities (Overview and Scrutiny Committees, Access to Information and Audit Committees) Order 2017' and the NECA Overview and Scrutiny Committee's Decision Making Protocol which can be found at

<http://northeastca.gov.uk/wp-content/uploads/2018/08/NECA-Decision-Making-Protocol.pdf>

Reference Number and Thematic Area	Decision expected to be made on or within 60 days of	Decision Type: Key or Non-Key	Decision Maker	Details of Decision to be taken	Additional Documents for consideration	Contact Officer	Decision to be made in Public or Private (and relevant category of exemption)
<b>Leadership Board</b>							
LB 3 (a) Economic Development and Regeneration	18 September 2018 (Standing Item)	Non-Key	Leadership Board	Thematic Lead Updates  Economic Development and Regeneration Thematic Lead Update report – for information and comment.	To be confirmed.	Sarah McMillan Corporate Lead Strategic Development 0191 424 7948 <a href="mailto:sarah.mcmillan@southtyneside.gov.uk">sarah.mcmillan@southtyneside.gov.uk</a>	Public
Employability and Inclusion				Employability and Inclusion Thematic Lead Update report – for information and comment.	To be confirmed	Janice Rose Economic and Inclusion Policy Manager 01670 624747 <a href="mailto:Janice.rose@northumberland.gov.uk">Janice.rose@northumberland.gov.uk</a>	
Transport and Digital Connectivity				Transport and Digital Connectivity Thematic Lead Update Report – to provide Leadership Board with an update in the thematic lead area of Transport (and Digital Connectivity).	To be confirmed	Tobyn Hughes Managing Director Transport Operations (0191) 2033246 <a href="mailto:Tobyn.hughes@nexus.org.uk">Tobyn.hughes@nexus.org.uk</a>	

Reference Number and Thematic Area	Decision expected to be made on or within 60 days of	Decision Type: Key or Non-Key	Decision Maker	Details of Decision to be taken	Additional Documents for consideration	Contact Officer	Decision to be made in Public or Private (and relevant category of exemption)
<b>Updated</b> LB 3 (b) Corporate Issue Economic Development and Regeneration Transport and Digital Connectivity	18 September 2018	Key	Leadership Board	Project Approvals The report provides Members with an update on the below projects and may seek approval for: <ul style="list-style-type: none"> <li>• EZ infrastructure Programme - <b>Holborn, Port of Blyth and North Bank of Tyne extension</b>, project funding approvals.</li> <li>• LGF Programme project – <b>Institute of Technology, SUDS project and Energy Centre</b> (significant revision), project funding approvals</li> <li>• More details on elements of a <b>5G funding bid</b>.</li> <li>• Approval to delegate accountable body arrangements for <b>Housing Investment Fund projects in Sunderland and North Tyneside</b></li> <li>• <b>“Smarter Travel, Smarter Cities” ERDF bid</b> – match funding approvals.</li> <li>• <b>“Smarter Travel, Smarter Cities” ERDF bid</b> – continuation of the existing delegated authority to submit the bid, subject to the match funding approvals referred to above.</li> </ul>	Project Approvals Report – Confidential Report – 31st July 2018 Confidential reports to the North East LEP Investment Board – 17 September 2018	Paul Woods Chief Finance Officer 07446936840 <a href="mailto:paul.woods@northeastca.gov.uk">paul.woods@northeastca.gov.uk</a>	Private – the report will contain “exempt” information which falls within paragraph 3 of part 1 of schedule 12A to the Local Government Act 1972)

Reference Number and Thematic Area	Decision expected to be made on or within 60 days of	Decision Type: Key or Non-Key	Decision Maker	Details of Decision to be taken	Additional Documents for consideration	Contact Officer	Decision to be made in Public or Private (and relevant category of exemption)
<b>Withdrawn</b> Recommendations relating to "Smarter Travel, Smarter Cities" are now included within the Project Approvals report (item LB 3 (b)) LB 3 (c) Transport and Digital Connectivity	18 September 2018	Non-Key	Leadership Board	"Smarter Travel, Smarter Cities" proposed European Regional Development Fund bid – Update The North East Leadership Board are asked to: <ol style="list-style-type: none"> <li>i. Note the content of the updated report and the intention to submit the final European Regional Development Fund bid (named "Smarter Travel, Smarter Cities") to the Ministry of Housing, Communities and Local Government on the 22nd October.</li> <li>ii. Confirm approval of the delegated authority previously given on the 19<sup>th</sup> June to the Head of Paid Service, in consultation with the Chief Finance Officer and the Monitoring Officer, to sign-off and approve the submission of the final bid.</li> </ol>	<a href="#">North East Leadership Board minutes from meeting on 19 June</a> Smarter Travel, Smarter Cities draft application (confidential document).	Rachelle Forsyth-Ward Senior Specialist Transport Planner 0191 2116445 <a href="mailto:rachelle.forsyth-ward@northeastca.gov.uk">rachelle.forsyth-ward@northeastca.gov.uk</a> John Bourn Senior Specialist Transport Planner 0191 2778972 <a href="mailto:john.bourn@northeastca.gov.uk">john.bourn@northeastca.gov.uk</a>	Private – the report will contain "exempt" information which falls within paragraph 3 of part 1 of schedule 12A to the Local Government Act 1972)
<b>Transport North East Committee (TNEC)</b>							
TN 2 (a) Corporate Issue	11 October 2018 (moved from 18 October 2018) (Standing Item)	Non-Key	TNEC	Capital Programme Monitoring Report This standing item provides the Transport North East Committee with a progress update in relation to delivery of the transport related capital programme. This is a requirement of the NECA constitution and is a function delegated to TNEC.	NECA Budget and Capital Programme	Katy Laing Principal Accountant 0191 3387428 <a href="mailto:katherine.laing@northeastca.gov.uk">katherine.laing@northeastca.gov.uk</a>	Public



Reference Number and Thematic Area	Decision expected to be made on or within 60 days of	Decision Type: Key or Non-Key	Decision Maker	Details of Decision to be taken	Additional Documents for consideration	Contact Officer	Decision to be made in Public or Private (and relevant category of exemption)
TN 2 (b) Corporate Issue	11 October 2018 <b>(moved from 18 October 2018)</b> (Standing Item)	Non-Key	TNEC	Revenue Budget Monitoring Report This standing item provides the Transport North East Committee with a progress update in relation to how the transport related budgets for the delivery agencies are being managed. This is a requirement of the NECA constitution and is a function delegated to TNEC.	NECA Budget and Capital Programme	Katy Laing Principal Accountant 0191 3387428 <a href="mailto:katherine.laing@northeastca.gov.uk">katherine.laing@northeastca.gov.uk</a>	Public
TN 2 (c) Transport and Digital Connectivity	11 October 2018 <b>(moved from 18 October 2018)</b> (Standing Item)	Non-Key	TNEC	Transport for the North This standing item is intended to provide a regular update on the programme to improve the transport network in Northern England and thereby promote economic growth.	The Northern Transport Strategy Spring 2016 report published at: <a href="http://www.transportforthenorth.com/pdfs/The-Northern-Transport-Strategy-Spring-2016-Report.PDF">http://www.transportforthenorth.com/pdfs/The-Northern-Transport-Strategy-Spring-2016-Report.PDF</a>	Tobyn Hughes Managing Director Transport Operations (0191) 2033246 <a href="mailto:tobyn.hughes@nexus.org.uk">tobyn.hughes@nexus.org.uk</a>	Public
<b>Transport North East (Tyne and Wear) Sub-Committee (TWSC)</b>							
TW 2 (a) Transport and Digital Connectivity	11 October 2018 (Standing Item)	Non-Key	TWSC	Tyne Tunnel Update The report will provide an update for Members on the operation and management of the Tyne Tunnel.	Held by the Contact Officer	Alastair Swan Principal Engineer 0191 211 5931 <a href="mailto:alastair.swan@newcastle.gov.uk">alastair.swan@newcastle.gov.uk</a>	Public
TW 2 (b) Transport and Digital Connectivity	11 October 2018 (Standing Item)	Non-Key	TWSC	Monitoring Nexus' Performance and Metro Performance Update The purpose of these reports is to advise TWSC of Nexus' corporate performance in respect of service and	Held by the Contact Officer	Tobyn Hughes Managing Director Transport Operations (0191) 2033246	Public

Reference Number and Thematic Area	Decision expected to be made on or within 60 days of	Decision Type: Key or Non-Key	Decision Maker	Details of Decision to be taken	Additional Documents for consideration	Contact Officer	Decision to be made in Public or Private (and relevant category of exemption)
				<p>project delivery such that the Sub-Committee exercises the monitoring and oversight functions in respect of Nexus activities delegated to it from the NECA Leadership Board.</p> <p>The report also reports on the measures in place to improve Metro performance.</p>		<a href="mailto:Tobyn.hughes@nexus.org.uk">Tobyn.hughes@nexus.org.uk</a>	
TW 2 (c) Transport and Digital Connectivity	11 October 2018 (Standing Item)	Non-Key	TWSC	<p>Update on Nexus Corporate Risks 2018/19</p> <p>The purpose of this report is to provide an update to TWSC of the strategic risks identified by Nexus for the year.</p>	Held by the Contact Officer	<p>Tobyn Hughes Managing Director Transport Operations (0191) 2033246 <a href="mailto:Tobyn.hughes@nexus.org.uk">Tobyn.hughes@nexus.org.uk</a></p>	Public
<b>Audit and Standards Committee</b>							
AS 3 (a) Corporate Issue	4 December 2018 (Standing Item)	Non-Key	Audit and Standards Committee	<p>Internal Audit Progress Report</p> <p>Members are requested to note the internal audit activity to date.</p>	Final Internal Audit Reports & Internal Audit Plan	<p>Philip Slater Audit, Risk and Insurance Service Manager 0191 2116511 <a href="mailto:Philip.slater@newcastle.gov.uk">Philip.slater@newcastle.gov.uk</a></p>	Public
AS 3 (b) Corporate Issue	4 December 2018 (Standing Item)	Non-Key	Audit and Standards Committee	<p>External Audit Progress Update</p> <p>This report provides an update for information on the work of Mazars, the External Auditors to the Combined Authority.</p>	None	<p>Jim Dafter External Audit Senior Manager, Mazars <a href="mailto:jim.dafter@mazars.co.uk">jim.dafter@mazars.co.uk</a></p>	Public

Reference Number and Thematic Area	Decision expected to be made on or within 60 days of	Decision Type: Key or Non-Key	Decision Maker	Details of Decision to be taken	Additional Documents for consideration	Contact Officer	Decision to be made in Public or Private (and relevant category of exemption)
						Katy Laing Principal Accountant 0191 3387428 <a href="mailto:katherine.laing@northeastca.gov.uk">katherine.laing@northeastca.gov.uk</a>	
AS 3 (c) Corporate Issue	4 December 2018 (Standing Item)	Non-Key	Audit and Standards Committee	Strategic Risks and Opportunities Register  The report is for Governance Committee member's information	Risk Management Strategy	Philip Slater Audit, Risk and Insurance Service Manager 0191 2116511 <a href="mailto:Philip.slater@newcastle.gov.uk">Philip.slater@newcastle.gov.uk</a>	Public
<b>Audit and Standards Sub-Committee</b>							
There are no meetings currently scheduled							
<b>Overview and Scrutiny Committee</b>							
OS 2 (a) Corporate Issue	20 September 2018 (Standing Item)	Non-Key	Overview and Scrutiny Committee	NECA Forward Plan and Scrutiny Work Programme  To receive the latest version of the Forward Plan and annual work programme.	Held by the Contact Officer	Nicola Laverick Policy and Scrutiny Officer 07342069369 <a href="mailto:nicola.laverick@northeastca.gov.uk">nicola.laverick@northeastca.gov.uk</a>	Public
OS 2 (b) Transport and Digital Connectivity	20 September 2018	Non-Key	Overview and Scrutiny Committee	Monitoring Nexus' Performance  To advise Overview and Scrutiny of Nexus' corporate performance in respect of service and project delivery.	None	Tobyn Hughes Managing Director, Transport Operations 0191 203 3246	Public

Reference Number and Thematic Area	Decision expected to be made on or within 60 days of	Decision Type: Key or Non-Key	Decision Maker	Details of Decision to be taken	Additional Documents for consideration	Contact Officer	Decision to be made in Public or Private (and relevant category of exemption)
						<a href="mailto:Tobyn.hughes@nexus.org.uk">Tobyn.hughes@nexus.org.uk</a>	
OS 2 (c) Corporate Issue	20 September 2018	Non-Key	Overview and Scrutiny Committee	Major Project's Report The report is to provide an update to the members of the Overview and Scrutiny Committee on current major projects of NECA and the North East LEP.	Confidential Project Approvals Reports to North East Leadership Board 31 July 2018 and 18 September 2018.	Paul Woods Chief Finance Officer 07446936840 <a href="mailto:paul.woods@north-eastca.gov.uk">paul.woods@north-eastca.gov.uk</a>	Private – the report will contain “exempt” information which falls within paragraph 3 of part 1 of schedule 12A to the Local Government Act 1972)
<b>Economic Development and Regeneration Advisory Board (EDRAB)</b>							
ED 2 (a) Economic Development and Regeneration	23 October 2018 (Standing Item)	Non-Key	EDRAB	Economic Development and Regeneration – Progress Update Members are requested to receive an update on, and provide views in relation to, progress within the key areas of the Economic Development and Regeneration theme. The update will include progress on the development of the regional Inward Investment function and Regional Investment Plan.	<a href="#">North East Strategic Economic Plan - More and Better Jobs</a>	Sarah McMillan Corporate Lead Economic Strategy and Skills 0191 424 7948 <a href="mailto:sarah.mcmillan@southtyneside.gov.uk">sarah.mcmillan@southtyneside.gov.uk</a>	Public
<b>Officer Delegated Decisions</b>							

Reference Number and Thematic Area	Decision expected to be made on or within 60 days of	Decision Type: Key or Non-Key	Decision Maker	Details of Decision to be taken	Additional Documents for consideration	Contact Officer	Decision to be made in Public or Private (and relevant category of exemption)
DD 90 Transport and Digital Connectivity	31 August 2018	Non-Key	Head of Paid Service in consultation with Monitoring Officer and Chief Finance Officer	Sunderland Electric Vehicle Filling Station Lease Payment- Approval to Proceed  To seek agreement from Head of Paid Service in consultation with Head of Monitoring and Chief Finance Officer to authorise a lease payment for the Sunderland EV filling station to enable the project to proceed and contracts to be signed.	<a href="#">Report to Leadership Board 19 June 2018</a>	Kim Farrage Senior Specialist Transport Planner 0191 2778971 <a href="mailto:Kim.farrage@north-eastca.gov.uk">Kim.farrage@north-eastca.gov.uk</a>	Private – the report will contain “exempt” information which falls within paragraph 3 of part 1 of schedule 12A to the Local Government Act 1972)
DD 92 Economic Development and Regeneration	25 August 2018	Non-Key	Monitoring Officer	Innovation Project Development Fund: National Centre for Rural Innovation  To agree to the financial and legal matters associated with any North East LEP decision to award a grant from the Innovation project development fund application relating to the National Centre for Rural project.	Confidential LEP Delegated Decision report  Confidential project business case and supporting documents  Confidential project appraisal report	Ben McLaughlin Programme Support Officer, North East LEP 0191 3387446 <a href="mailto:ben.mclaughlin@n-elep.co.uk">ben.mclaughlin@n-elep.co.uk</a>	Private – the report will contain “exempt” information which falls within paragraph 3 of part 1 of schedule 12A to the Local Government Act 1972)
DD 93	12 October 2018	Key	Head of Paid Service, in consultation	“Smarter Travel, Smarter Cities” proposed European Regional Development Fund bid	Delegated decision report and the NECA SUD, Smarter Travel,	Rachelle Forsyth-Ward Senior Specialist	Private – the report will contain

Reference Number and Thematic Area	Decision expected to be made on or within 60 days of	Decision Type: Key or Non-Key	Decision Maker	Details of Decision to be taken	Additional Documents for consideration	Contact Officer	Decision to be made in Public or Private (and relevant category of exemption)
Transport and Digital Connectivity			with the Chief Finance Officer and the Monitoring Officer	The Head of Paid Service, in consultation with the Chief Finance Officer and the Monitoring Officer is recommended to sign-off and approve the submission of the final European Regional Development Fund bid (named "Smarter Travel, Smarter Cities").	Smarter Cities application (confidential document).	Transport Planner 0191 2116445 <a href="mailto:rachelle.forsyth-ward@northeastca.gov.uk">rachelle.forsyth-ward@northeastca.gov.uk</a>  John Bourn Senior Specialist Transport Planner 0191 2778972 <a href="mailto:john.bourn@northeastca.gov.uk">john.bourn@northeastca.gov.uk</a>	"exempt" information which falls within paragraph 3 of part 1 of schedule 12A to the Local Government Act 1972)

## Overview and Scrutiny Work Programme 2018/2019

### Standing Items for each Committee Meeting:

- Declaration of Interest
- Minutes of Previous Meeting
- NECA Forward Plan and Work Programme Report

### Source of work programme and items of importance:

The Overview and Scrutiny Committee obtains work programme items from the following sources:

- Items submitted by Members of the Committee (and including items referred by other members of the Combined Authority);
- Suggestions from Nicola Laverick, Policy and Scrutiny Officer or NECA Chief Officers; Helen Golightly, Peter Judge and Paul Woods
- The Budget and Policy Framework; Transport Plan and Strategic Economic Plan
- The Forward Plan;
- The three Thematic Leads; Cllr Malcolm (Economic Development and Regeneration), Cllr Jackson (Employability and Inclusion) and Cllr Forbes (Transport and Digital Connectivity),
- Evidence for any policy review work of relevance to NECA

### Planned items:

Items	Reason for item	Lead Officer
<b>Committee: 15<sup>th</sup> March 2018, 10.00 am: Durham Council</b>		
Employability and Inclusion Thematic Update	Thematic Lead Update	Cllr Jackson
Durham Youth Employment Initiative	Requested by Members at 28 <sup>th</sup> March 2017 Committee	Linda Bailey
Refurbishment of the Tyne Pedestrian Tunnel and Cyclist Tunnel	Requested update by Members at 13 <sup>th</sup> September 2017 Committee	Alastair Swann
Decision Making Protocol	Updated Protocol agreed to return to March Committee at February 2018 meeting	Peter Judge/Nicola Laverick
<b>Committee: 16<sup>th</sup> July 2018, 10.00 am: Durham Council</b>		
Economic Development and Regeneration Thematic Update & Appendix: Update on NEECC and relationship with DIT	Thematic Lead Update	Cllr Malcolm
Digital Connectivity Update Report	Requested by Members at 5 <sup>th</sup> February 2018 Committee to explore digital connectivity in rural areas	Steve Smith
<b>Committee: 20 September 2018 – 10 am: Gateshead Council</b>		
Major Project's Report	Requested by Members at 15 <sup>th</sup> March 2018 to give an overview of NECA major projects	Paul Woods
Monitoring Nexus Performance	Yearly update to the Committee	Tobyn Hughes

<b>Committee: 20 December 2018 – 10am: South Tyneside Council</b>		
NECA Budget Proposals	Yearly update	Paul Woods
Strategic Economic Plan Update	Yearly update	Helen Golightly
Governance Changes and Overview and Scrutiny Arrangements	Requested by Members at workshop early in 2018	Peter Judge, Nicola Laverick
<b>Committee: 14 March 2019 – 10am: Sunderland Council</b>		
Work Programme Planning 2019 - 2020	Yearly review of committee work programme	Peter Judge, Nicola Laverick
Tyne Pedestrian Tunnel Update	To be coordinated once review has been undertaken by the Tyne and Wear Sub Committee.	Alastair Swan

**Pending Items 2018/2019 (Dates to be arranged at the appropriate time):**

<b>Item</b>	<b>Comment</b>
North of Tyne Combined Authority	Members agreed to keep a focus on the work programme on any implications for NECA
Thematic Lead Updates	Yearly Update for 2018-2019 Work Programme
Digital Connectivity Update	Members asked for an update to be returned following the paper they received at the Committee on the 16.07.2018. Members agreed to discuss the broadband issues raised with their Local Authorities in particular regarding new homes and planning arrangements regarding broadband and whether this was part of Local Plans. This is to feed into discussion.



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