



Overview and Scrutiny Committee

Wednesday, 13th September 2017 at 1.30 pm

Meeting to be held: North Tyneside Council, Quadrant, The Silverlink, Cobalt Business Park, Tyne & Wear, NE27 0BY

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AGENDA

Page No

1. **Apologies for Absence**

2. **Declarations of Interest**

Please remember to declare any personal interest where appropriate both verbally and by recording it on the relevant form (to be handed to the Democratic Services Officer). Please also remember to leave the meeting where any personal interest requires this.

3. **Minutes of the Previous Meeting**

1 - 6

4. **North East Strategic Economic Plan**

7 - 14

5. **NECA Budget Process and Timetable 2018/19**

15 - 20

6. **Forward Plan and Work Programme**

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7. **Date and Time of the Next Meeting**

Thursday 14 December 2017 at 10.00am

Contact Officer: Janet Howard Tel: (0191) 211 5048 E-mail: janet.howard@northeastca.gov.uk

To All Members

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North East Combined Authority, Overview and Scrutiny Committee

10 July 2017

(10.01 - 10.48 am)

Meeting held Gateshead Council, Civic Centre, Regent Street, Gateshead NE8 1HH

Present:

Councillor: D Taylor-Gooby (Chair)

Councillors: R Crute, J Eagle, N Weatherley, A Lower, O Avery, R Glindon, R Dodd, J Amar, N Wright, D Snowdon, A Batey and K Stephenson

1 APOLOGIES FOR ABSENCE

Apologies were received from Councillors Flynn and Graham.

2 DECLARATIONS OF INTEREST

Councillor Eagle declared an interest as an employee of Nexus and informed Committee that dispensation had been granted for him to take part in discussions of transport related items but he would not be voting in decision making.

3 MINUTES OF THE PREVIOUS MEETING HELD ON 28 MARCH 2017

The Committee reviewed the minutes of the previous meeting held on 28 March 2017. It was noted that Councillor Graham had also been present and officers agreed to amend the minutes accordingly. The minutes were otherwise agreed to be an accurate record of the meeting.

RESOLVED: That the minutes of the previous meeting held on 28 March 2017 be amended to show Councillor Graham's attendance, and be approved as a correct record and signed by the Chair.

4 TERMS OF REFERENCE FOR THE OVERVIEW AND SCRUTINY COMMITTEE, & OVERVIEW AND SCRUTINY SUB-COMMITTEE

Submitted: A report of the Monitoring Officer (previously circulated and copy attached to the Official Minutes).

Viv Geary (Monitoring Officer) presented the report which provided Members with the proposed Terms of Reference for both the Overview and Scrutiny Committee and the Sub-Committee.

Members were reminded that there had been a material change in the role of the Overview and Scrutiny Committee following the introduction of new Government regulations in May 2017 that included provision for call-in of decisions made by the Combined Authority. The Overview and Scrutiny Committee had made recommendations to the Leadership Board in March 2017 on revised governance arrangements that would meet the new requirements, including the establishment of a Sub-Committee specifically to deal with any call-in.

Comments, questions and points raised by members

- Members who were newly appointed to the Committee, and had not been part of previous discussions about the revised governance arrangements, queried why it was necessary to have a Sub-Committee to deal with call-in.

Officers advised that there were tight timescales involved in the process, as a meeting was required to take place within 14 days of any decision being called-in. If it were not possible to hold a meeting within those timescales then the call-in would lapse, and the decision would proceed to implementation. Officers also clarified that only members of the Overview and Scrutiny Committee were able to call-in decisions, and that the four (or more) members who called a decision in would be excluded from sitting on the Sub-Committee.

- Some Members expressed concern that the timescales imposed could mean that the process was likely to fail, and asked whether it would be possible to amend the Terms of Reference for the Sub-Committee.

Officers advised that the Terms of Reference for the Sub-Committee had been derived from the recommendations put forward to the Leadership Board by the Overview and Scrutiny Committee, that they met all of the requirements for call-in, and that they were not intended to create a barrier but to allow for efficient and effective management of the process. It was suggested that the process should be monitored for a reasonable period of time to assess the effectiveness of the process. Members would however have the opportunity at any time to review and to consider appropriate amendments to the Terms of Reference if and when any issues were identified.

- Whether there had been any progress with appointment of an Independent Vice-Chair for the Committee. Members expressed concern that it was taking so long for someone to be appointed to the role.

Officers advised that the role had been originally advertised alongside that of the Chair, and that there had been substantial interest, but that it had not been possible to find someone who met all of the required statutory criteria so the role was being re-advertised.

It was highlighted by a Member that the advertisement currently on the NECA website referred only to a Chair, and not to a Vice-Chair. Officers agreed to review the website content.

- What the process had been for the appointment of the Independent Chair of the Committee.

The Chair explained that he had applied for the position after seeing an advertisement in a newspaper, and had been interviewed by officers of the Combined Authority. Officers further clarified that the position had been widely advertised, in line with Government regulations, and that the Chair had met all of the required criteria for the role.

RESOLVED: - That

- The report be received
- The Committee considered and agreed the Terms of Reference.

5 FORWARD PLAN AND SCRUTINY WORK PROGRAMME

Submitted: Report of the Monitoring Officer (previously circulated and copy attached to the Official Minutes).

Nicola Laverick (Policy and Scrutiny Officer) presented the report which provided Members with an opportunity to consider the items on the Forward Plan for the current 28 day period and to endorse the Scrutiny Annual Work Programme for 2017/18.

Nicola drew Members attention to the following additional items that were still to be scheduled on the Work Programme: National Audit Office Case Study report; Final Report DCLG Inquiry Overview and Scrutiny; Health and Wealth report. Members were also reminded that they could request any additional items they would want to see added to the Work Programme.

RESOLVED: That Committee accepted the Forward Plan and endorsed the work programme for 2017/18.

6 TRANSPORT PLAN FOR THE NORTH EAST

Submitted: Report of the Lead Chief Executive for Transport (previously circulated and copy attached to the Official Minutes).

Ian Coe (Principal Transport Planner) presented the report which provided an update on development of a Transport Plan for the NECA area, and advised Members that the NECA had a statutory duty to produce a Transport Plan (replacing the existing plans for Durham, Northumberland and Tyne and Wear) but that there was no set timescale in which it had to be completed. Next steps for production of the Plan were set out in the report, including a proposal for a workshop session for members of Overview and Scrutiny.

Ian Coe also drew Members attention the fifth bullet point in paragraph 2.1 of the report and clarified that the Transport Thematic Lead Update report would be brought to the 14 December meeting of the Committee.

Comments, questions and points raised by members

- How realistic the proposals for promotion of the freight sector, set out in the Transport Manifesto, were felt to be and what the likelihood was of being able to achieve the ambitions stated.

Members were advised that it was necessary to have aspirational plans in place that the NECA could refer to in order to demonstrate strategic fit for any future funding opportunities, and also to ensure that NECA could compete for funding against ambitious proposals from other combined authority areas. It was acknowledged that some proposals may not be realistic in the short term, but officers explained that the Transport Plan was intended to cover the next 20 years.

- Why there was no reference made to air quality in the 'Bus Services' section of the manifesto. Members expressed concern that older fleet vehicles were being moved out of London and up to the North East, and suggested that there should be a minimum air quality standard imposed on service providers.

Officers explained that since the publication of the Transport Manifesto in December 2016 the Government had published a report on air quality, to which the NECA had responded. Members were advised that the issue had moved up the agenda as a result and would be made much more prominent in the full Transport Plan with a range of options explored.

- Whether the NECA had an accurate record of licensed taxis across the area and an understanding of the scale of unlicensed taxis operating.

Officers explained that there would be no proposals in the Transport Plan around taxi licensing as it was the responsibility of each constituent authority and not of the NECA. Members were advised that officers dealing with taxi licensing did hold regional meetings.

- That it would be important to consult as widely as possible on the Transport Plan. The difficulties of consulting in rural areas and with hard to reach groups, including those without access to a computer or to the internet, were noted. Members suggested that the NECA should be innovative in the ways in which it consults on the plan, and that there should be specific proposals for how to consult with the disabled and with young people.

Officers explained that detailed proposals for consultation would be brought to Members for their approval and that the proposals would reflect consideration given to accessibility issues, in terms of both geography and digital connectivity. Members were advised that Transport officers were working with Communications officers from all seven authorities, and with experts from Newcastle University, to draft the consultation proposals. It was noted that there had been positive feedback from disabled people's organisations about consultation that had taken place on the Transport Manifesto, and that strong links had since been developed with young

peoples' organisations which officers hoped to build on. Officers highlighted that details of the budget allocated to consultation were included in the report.

- Whether any feedback had been received from Government on the Transport Manifesto, particularly since the election in June 2017.

Officers advised that while Government Departments had been invited to respond to consultation on the Manifesto they had not done so, but that this was not considered to be surprising and that a formal response to consultation on the full Plan was more likely.

RESOLVED: That Committee received the report and noted its contents.

7 **DATE AND TIME OF THE NEXT MEETING**

Wednesday 13 September 2017 at 2.00pm; to take place at North Tyneside Council (Quadrant, The Silverlink North).

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Overview and Scrutiny Committee

Date: 13 September 2017

Subject: North East Strategic Economic Plan

Report of: Head of Paid Service

Executive Summary

The purpose of this report is to update the Committee on the North East Strategic Economic Plan, which has been refreshed and relaunched by the North East Local Enterprise Partnership (LEP) in conjunction with the North East Combined Authority.

Recommendations

The Overview and Scrutiny Committee is asked to note the content of this report.

Overview and Scrutiny Committee

1.0 Background

1.1 The North East Strategic Economic Plan (SEP) sets out the economic opportunities and challenges for the North East and the strategic direction required to achieve the region's economic ambitions. It builds on the Independent Economic Review, completed in 2012 by Lord Andrew Adonis and a team of major influential figures, which recommended future pathways for the North East economy, focussing on growth and productivity. The review provided a detailed, independent evidence base which has informed all aspects of the Strategic Economic Plan, published in 2014.

1.2 In recognition of the changing economic climate and the UK's decision to leave the European Union, the North East LEP, with the North East Combined Authority, reviewed the SEP in 2016. The evidence base was revisited and updated and, significant stakeholder engagement was carried out to test the direction of travel. The SEP was refreshed to ensure a robust and focussed way forward. The review reinforced the positive impact of the policy document to date and reaffirmed the overarching economic policy required to grow the North East economy.

The refreshed SEP, published in March 2017, sets out how we can build on our recent successes and continue to create more and better jobs for the region. The SEP is jointly endorsed and delivered by the North East Local Enterprise Partnership (North East LEP) and the North East Combined Authority (NECA) and brings together a number of key partners behind the vision of 'more and better jobs'.

The SEP can be found on the North East LEP website at:

<http://www.nelep.co.uk/wp-content/uploads/2017/04/North-East-SEP-FINAL-March-2017.pdf>

2.0 Proposals

2.1 The SEP is ambitious for the North East. There is significant headroom for growth and employment with the right support to the economy and continuing levels of investment. Indeed, in the context of the delivery of the Northern Powerhouse vision, it is encouraging that recent evidence developed by Transport for North to support the Northern Independent Economic Review has suggested that the North East LEP area has the strongest potential for GVA and productivity growth of any of the functional economic areas of the North of England.

2.2 The refreshed SEP reveals the following headlines about the North East's economic prosperity:

- The North East is ahead of schedule to reach its 100,000 more and better jobs target before 2024. Over 58,000 jobs have been created since 2014, with 59% of these classed as 'better', i.e. higher skilled jobs.

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- There is a revised target for 70% of new jobs created over the next seven years to be 'better' jobs.
- Opportunities to drive a surge in economic growth and employment through digital and tech, advanced manufacturing, healthcare innovation and subsea and offshore energy and in key services including finance, professional and business services, transport and education.
- Job growth increased at a rate of 6.6% over the past two years, eclipsing the national rate of 5.4% in England.
- Key challenges remain – delivering higher regional productivity is essential as the gap widens with national performance, while economic exclusion remains a persistent concern in some parts of the region.

2.3 There are six programmes detailing how the SEP will be delivered in a partnership approach. These are:

Innovation

Central to the long term ambition of the SEP is to build a more productive North East with world class science and business base. We will do this by:

- Creating an innovation ecosystem that embeds open innovation and commercialises knowledge.
- Promoting business growth and social improvement through delivery of new products, processes, and technologies.
- Improving our region's innovation infrastructure.
- Developing strategies around our areas of opportunity.

Business Growth and access to finance

We will increase the density of our high growth businesses by 50% through the creation of a business ecosystem that enables more businesses to scale and secure investment. We will do this by:

- Improving access to support and finance.
- Supporting businesses to achieve and sustain high growth.
- Strengthening inward investment and trade flows.
- Making the North East Growth Hub the most comprehensive place for business support in the region.

Skills

Improving skills is fundamental to our future economic prosperity and will help ensure that our region has better jobs. We will continue to improve the skills

Overview and Scrutiny Committee

pipeline in our region by:

- Developing ‘North East Ambition’ – a programme that will deliver outstanding careers guidance.
- Excelling in technical and professional education through our Further Education Colleges and private providers.
- Working in partnership with higher education and business, to deliver job ready graduates and high quality employment opportunities.
- Reducing the gap between our best and lowest performing schools.
- Maintaining employment in our 50+ workforce by helping older workers to develop their skills.

Employability and Inclusion

Strengthening our employment rate is key to our economic resilience. Ensuring local people are prepared and fit for work and have access to good quality employment opportunities will improve their lives and ensure that businesses can recruit and retain the people they need in a changing labour market. We will:

- Support people with health conditions to find and maintain employment.
- Develop pathways for the most vulnerable and disadvantaged young people to help them to move into education, training and employment.
- Reduce inactivity levels in our 50 plus workforce, by reconnecting older people to work.

Economic Assets and Infrastructure

We must supply the right land and develop our critical infrastructure and sites to support the growth of our industrial strengths and clusters, accommodate the changing structure of the economy, foster innovation and deliver the housing required for our changing population. We’ll make this happen by:

- Delivering growth focused local plans in our local authority areas.
- Agreeing a pipeline of key projects for investment.
- Developing the regional spatial planning framework.
- Delivering business growth through our Enterprise Zones.

Connectivity

Connectivity plays a crucial role in enabling economic growth and sustaining jobs. Transport and digital infrastructure provides access to national and international markets, facilitates trade and inward investment, and ensures the effective flows of goods, services and people. We will continue to build on this by:

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- Helping people, services and goods move quickly, safely and reliably Improving national and international connectivity.
- Developing and implementing the regional transport plan.
- Developing our digital connectivity through the availability of superfast broadband and development of 5G.

2.4 There is a focus on four areas of opportunity. These are the sectors where we believe will have the greatest impact on productivity and growth. They are:

- Digital - Our digital specialisms include:
 - Software development
 - Cloud computing shared services
 - Systems design and communications
 - Building information modeling
 - Games design and delivery.
- Advanced manufacturing, *with a particular focus on:*
 - *Automotive*
 - *Medicines advanced manufacturing*
- Health and Life Science - Our national centres of excellence are:
 - Ageing and age related diseases
 - Personalised medicine
 - Formulation
 - Emerging national centres in healthcare photonics and dementia.
- Subsea, Offshore and Energy, with world leading technologies and assets to build upon.

3.0 Reasons for the Proposals

3.1 The SEP is the principal umbrella economic policy document for the North East which partners recognise locally and nationally. The North East LEP's role is to provide the leadership to facilitate the SEP's delivery, working with the public, private, education and voluntary sectors.

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4.0 Next Steps and Timetable for Implementation

4.1 Following the refresh of the SEP, delivery against the six programmes has continued at pace. Progress is reported to each meeting of the North East LEP Board.

5.0 Potential Impact on Objectives

5.1 The SEP is recognised by Government as the policy document that sets a strategic direction for the regional economy. It outlines the medium term economic plan and helps identify interventions and investments to support economic growth and to create more and better jobs for our economy.

7.0 Financial and Other Resources Implications

7.1 There are no specific financial and other resources implications arising from this report.

8.0 Legal Implications

8.1 There are no specific legal implications arising from this report.

9. Key Risks

9.1 The key risk are:

- Insufficient public resources made available to deliver the SEP;
- Insufficient European funding secured in the North East prior to leaving the European Union to deliver the SEP;
- The North East's private, public, education and voluntary sectors not supporting the SEP's delivery and not connecting their own strategic planning to the ambitions within the SEP.

10. Equality and Diversity

10.1 There are no specific equality and diversity implications arising from this report.

Overview and Scrutiny Committee

11.0 Crime and Disorder

11.1 There are no specific crime and disorder implications arising from this report.

12.0 Consultation/Engagement

12.1 An extensive consultation and engagement exercise was carried out during summer 2016 which informed the refreshed SEP.

13.0 Other Impact of the Proposals

13.1 There are no other impacts arising from the proposals. All policies are within the SEP.

14.0 Appendices

14.1 None

15.0 Background Papers

15.1 The North East Strategic Economic Plan – January 2017

16.0 Contact Officers

16.1 Helen Golightly

Executive Director of the North East LEP and Head of Paid Service for the North East Combined Authority

Email: helen.golightly@nelep.co.uk

Tel: 07900226078

17.0 Sign off

- Head of Paid Service: ✓
- Monitoring Officer: ✓
- Chief Finance Officer: ✓

Overview and Scrutiny Committee

18.0 Glossary

SEP	Strategic Economic Plan
LEP	Local Enterprise Partnership
NECA	North East Combined Authority

Overview and Scrutiny Committee

Date: 13 September 2017

Subject: Budget Process and Timetable 2018/19

Report of: Chief Finance Officer

Executive Summary

The purpose of this report is to set out the process and timetable for the preparation, consultation and approval of the 2017/18 budget and indicative medium term financial strategy, including consultation with the Overview and Scrutiny Committee.

Recommendations

The Overview and Scrutiny Committee is recommended to receive the report for information.

Overview and Scrutiny Committee

1. Background Information

1.1 2018/19 Budget and Medium Term Financial Strategy

1.1.1 NECA is required to set its budget and Transport Levies in its area for 2018/19 before 15 February 2018. It is currently planned that the NECA Leadership Board will agree these at its meeting on 16 January 2018.

1.1.2 To achieve this, Transport levies must be considered by the Tyne and Wear Transport Sub-Committee and in Durham and Northumberland by mid-December 2017.

1.1.3 While the statutory requirement is for an annual budget and levies to be set for 2018/19, it is good practice to set a budget and in particular a capital programme within the context of a Medium Term Financial Strategy covering a three to five year period. In the last two years external factors have meant that NECA has only been able to set annual budgets. The authority will need to take into account the information available about the availability of revenue and capital resources for future years when it considers its budget position for future years.

1.2 Budget Timetable

1.2.1 An indicative timetable has been prepared (see Appendix 1) to enable the budget and levy decisions to be taken on 16 January 2018, and to meet the need for consultation over the 2018/19 budget proposals as set out in NECA's constitution.

1.2.2 The timetable will be challenging, and requires an outline budget for 2018/19 to be agreed on 21 November for consultation, meaning discussion of substantive policy, strategy and financial considerations need to take place and be substantially complete in September and October.

1.2.3 Outline budget proposals will highlight the overall level of the capital and revenue budgets for 2018/19 and any significant service impacts upon which consultation would be required. It should set out the level of reserves considered prudent for NECA and an assessment of the opportunities and risks facing the authority. More detail can be agreed in early December to be added to the budget report for 16 January.

1.2.4 As in previous years, consultation with Overview and Scrutiny Committee will take place at the committee's meeting in December, to enable any comments to be reflected in the report to the January meeting of the Leadership Board.

1.3 Reserves

1.3.1 The budget proposals need to include an assessment of the level of financial risk facing the NECA budget. Risks to the budget are currently considered to be

Overview and Scrutiny Committee

generally relatively low in respect of Transport as, under the Levy rules, the in-year Transport cost risks are taken by Durham and Northumberland Councils, and by Nexus for Tyne and Wear.

- 1.3.2 Risks from the pension fund are minimal as NECA's fund is currently in a surplus position.
- 1.3.3 Risks associated with Treasury Management loans and investments will be covered in a separate statement as part of the budget process.
- 1.3.4 Risks relating to NELEP funds will be managed within NELEP budgets, including access to funds where the use is determined by the NELEP board.
- 1.3.5 While NECA has substantial total reserves, these are virtually all earmarked for specific purposes, particularly capital investment and the Tyne Tunnels. The NECA corporate reserve is low (£283k at 1 April 2017). The adequacy of this reserve will be reviewed as part of the budget preparation process.

2. Proposals

- 2.1 The proposals are as set out above as information for the Overview and Scrutiny Committee.

3. Reasons for the Proposals

- 3.1 This report is for information. The proposed timetable has been developed in order to meet the Authority's responsibilities for budget setting in line with regulations and its own constitution.

4. Alternative Options Available

- 4.1 This report is presented for information, with no decision required.

5. Next Steps and Timetable for Implementation

- 5.1 During August and September the budget process is being discussed with Economic Development Officer Group, the Directors of Finance Group, the Heads of Transport Group and individual Treasurers. Senior officers have been encouraged to have discussions within each authority as part of their budget process.

Overview and Scrutiny Committee

- 5.2 Meetings in September and October with Senior officers and members will identify the key issues that need to be reflected in the initial budget reports in November and December.

6. Potential Impact on Objectives

- 6.1 The budget and Medium Term Financial Strategy will be prepared to reflect the objectives of the Authority including the delivery of the Strategic Economic Plan. Future reports will set out revenue and capital budget proposals in detail that will help deliver the objectives of the Authority.

7. Financial and Other Resources Implications

- 7.1 This report sets out the timetable and process for agreement of the necessary budgets and funding for the Authority. The Chief Finance Officer will draw on available resources to deliver the budget report and plan.

8. Legal Implications

- 8.1 NECA is required by the Transport Levying Bodies (Amendment) Regulations 2015 to issue the transport levies by 15 February preceding the commencement of the financial year in respect of which it is issued.
- 8.2 In accordance with the Budget and Policy Framework Rules of Procedure of the NECA's Constitution, at least 2 months before the calculation of the revenue budget and transport levies are required to be finalised, the Leadership Board will produce initial outline proposals to the NECA's Overview and Scrutiny Committee.
- 8.3 Once the consultation process has been completed, details of the final proposals in relation to the revenue budget and levies will be referred to the Overview and Scrutiny Committee.

9. Key Risks

- 9.1 The budget timetable has been developed to manage the risk that the budget is not agreed unanimously by the requisite deadline through early discussion with all constituent authorities. The budget proposals will include an assessment of the risk facing the NECA budget, which will be used to inform the level of reserves which is determined to be prudent.

Overview and Scrutiny Committee

10. Equality and Diversity

10.1 There are no equality and diversity implications arising from this report.

11. Crime and Disorder

11.1 There are no crime and disorder implications arising from this report.

12. Consultation/Engagement

12.1 The budget timetable proposed includes provision for consultation and engagement with key stakeholders, including the various committees of NECA, the North East Chamber of Commerce and officer groups representing all of the NECA constituent authorities.

13. Other Impact of the Proposals

13.1 There are no other impacts arising from this report.

14. Appendices

14.1 Appendix 1 – Budget Timetable

15. Background Papers

15.1 None

16. Contact Officers

16.1 Paul Woods, Chief Finance Officer, paul.woods@northeastca.gov.uk, Tel: 07446936840

Eleanor Goodman, Principal Accountant, eleanor.goodman@northeastca.gov.uk, Tel: 0191 277 7518

17. Sign off

- Head of Paid Service: ✓
- Monitoring Officer: ✓
- Chief Finance Officer: ✓

Overview and Scrutiny Committee

Appendix 1 – Budget Timetable 2018/19

DATE	EVENT/MEETING	ACTION
August-September 2017	Budget preparation – discussions with local authorities and officer groups	Consider and comment on NECA budget issues
12 September 2017	NECA Governance Committee	Update on Budget Process and Timetable
14 September 2017	Transport North East Committee – Tyne and Wear Sub Committee	Update on budget process with specific reference to the Tyne and Wear Transport Levy and the Tyne Tunnels budget.
19 September 2017	NECA Leadership Board	Consider and agree 2018/19 budget process/timetable and updated 2017/18 budget position
October 2017	Meetings with individual authorities	Consult/discuss budget proposals; identify and resolve issues
16 November 2017	Transport North East Committee	Consider Transport budget/levy proposals for consideration by Leadership Board
21 November 2017	NECA Leadership Board	Consider and agree draft budget report for wider consultation
5 December 2017	Governance Committee	Consider budget report as part of consultation process
December 2017 (date to be confirmed)	Tyne and Wear Sub Committee	Consider/agree transport budget/levy proposals for consideration by Leadership Board
12 December 2017	LA7 Leadership Briefing	Informal consideration of the content of the budget report and levy proposals
14 December 2017	NECA Overview & Scrutiny Committee	Consider budget report as part of consultation process
8 January 2018	Paper circulation	Final budget report published
16 January 2018	NECA Leadership Board	Formally agree levies and budget

Overview and Scrutiny Committee

Date: 13 September 2017

Subject: Forward Plan and Scrutiny Work Programme

Report of: Monitoring Officer

Executive Summary

The purpose of this report is to provide Members with an opportunity to consider the items on the Forward Plan for the current 28 day period and the revised and updated Work Programme for 2017/18.

Recommendations

The Overview and Scrutiny Committee is recommended to consider the Forward Plan and the updated Work Programme for 2017/18.

Overview and Scrutiny Committee

1. Background Information

- 1.1 The Forward Plan is a document which lists the decisions that the North East Combined Authority intend to take in the coming months. The Forward Plan contains specific information relating to each decision, including the date the decision will be made, a brief explanation of the topic, the consultation to be undertaken, and contact details of the author.
- 1.2 Details of each decision are included on the Forward Plan 28 days before the report is considered and any decision is taken.

2. Role of Overview and Scrutiny

- 2.1 One of the main functions of this Committee is the review and scrutiny of decisions made by the North East Leadership Board (NELB), the Transport North East Committee (TNEC), Transport North East (Tyne and Wear) Sub-Committee (TWSC) and Nexus.
- 2.2 In considering items in the Forward Plan, the Scrutiny Committee should determine whether scrutiny can add value in relation to the decision being made.
- 2.3 The Forward Plan is attached marked as Appendix 1.

3. Annual Work Programme

- 3.1 The work programme has been compiled to allow the Scrutiny Committee to have an overview of all performance, decision-taking and developments within the NECA, as well as being focused and flexible to allow for new issues and recognising the capacity of the scrutiny committee to respond in a timely way to emerging developments throughout the year.
- 3.2 The NECA Scrutiny Committee obtains work programme items from the following sources:
 - a) Items submitted by Members of the Committee (and including items referred by other members of the combined authority)
 - b) The Budget and Policy Framework
 - c) The Forward Plan
 - d) The three Thematic Leads
 - e) Evidence for any policy review work
- 3.3 The Annual Work Programme is attached as Appendix 2

Overview and Scrutiny Committee

4. Reasons for the Proposals

- 4.1 The report proposes to provide Members with an opportunity to consider the items on the Forward Plan for the current 28 day period and the updated Annual Work Programme for 2017/18.

5. Alternative Options Available

- 5.1 Committee members are asked to input on any additional items as part of continued planning for the Work Programme for 2017/18. The preferred option is for members to consider the Forward Plan and the updated Work Programme for 2017/18.

6. Next Steps and Timetable for Implementation

- 6.1 In considering the Forward Plan, Members are asked to consider those issues where the Scrutiny Committee could make a contribution and add value.
- 6.2 If the Scrutiny Committee determines to review or scrutinise a decision notified in the Forward Plan, a meeting of the Committee will be arranged to allow scrutiny members to carry out their role in a timely way.
- 6.3 The work programme will be refreshed and updated at each meeting of the scrutiny committee throughout the year.

7. Potential Impact on Objectives

- 7.1 Development of a work programme and review and scrutiny of decisions in the Forward Plan will contribute towards the development and implementation of the policy framework of the NECA, Nexus and NELEP as well as providing appropriate challenge to decisions taken.

8. Financial and Other Resources Implications

- 8.1 No financial or other resource implications are identified at this stage.

9. Legal Implications

- 9.1 There are no specific legal implications arising from these recommendations.

Overview and Scrutiny Committee

10. Key Risks

10.1 There are no key risks associated with the recommendations made in this report.

11. Equality and Diversity

11.1 Not applicable.

12. Crime and Disorder

12.1 Not applicable.

13. Consultation/Engagement

13.1 On-going consultation takes place with Officers and Scrutiny Members across the NECA in regards to the items for the Annual Work Programme as Appendix 2.

14. Other Impact of the Proposals

14.1 The proposals consider the wider impact and take into account the Principles of Decision Making as set out in the NECA Consultation. They allow Members consideration of the items on the Forward Plan and allow them the opportunity to have an overview of all performance, decision making and developments across NECA.

15. Appendices

15.1 Appendix 1 – Forward Plan

15.2 Appendix 2 – Annual Work Programme

16. Background Papers

16.1 None

Overview and Scrutiny Committee

17. Contact Officers

- 17.1 Nicola Laverick, Policy and Scrutiny Officer
Email: nicola.laverick@northeastca.gov.uk
Telephone Number: 07342 069 369

18. Sign off

- Head of Paid Service: ✓
- Monitoring Officer: ✓
- Chief Finance Officer: ✓

19. Glossary

NECA - North East Combined Authority

NELB - North East Leadership Board

NELEP - North East Local Enterprise Partnership

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Forward Plan of Decisions

Published 30 August 2017 *

The Forward Plan for the North East Combined Authority (NECA) is prepared and published by the Monitoring Officer for the purpose of giving the 28 days' notice of decisions that are planned to be taken by the NECA, its committees or a Chief Officer, which impact on the key areas of the NECA, namely Transport, Economic Development, Regeneration, Skills and Inclusion.

Unless otherwise indicated, if you require any further information or wish to make representations about any of the matters contained in the Forward Plan, please contact the appropriate officer as detailed against each entry at least 7 days before the meeting.

***The most recent entries are referred to as “NEW”. Updated entries are referred to as “Updated”.**

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
A. North East Leadership Board (Leadership Board)							
LB 9 (a)	19 September 2017 (Standing Item)	Leadership Board	Update reports on the following thematic lead areas: a) Economic Development and Regeneration; b) Employability and Inclusion; and c) Transport (and Digital Connectivity)	All	a) EDR: NECA Elected Members and officers; NELEP Members and officers b) To be confirmed c) To be confirmed	a) EDR: North East Strategic Economic Plan – More and Better Jobs b) Held by the Contact Officer c) Held by the Contact Officer	a) Sara Dunlop Business, Employment and Skills Manager 0191 424 6257 sara.dunlop@southtyneside.gov.uk b) Janice Rose Economic and Inclusion Policy Manager 01670 624747 Janice.rose@northumberland.gov.uk c) Tobyn Hughes Managing Director (Transport Operations) Tobyn.hughes@nexus.org.uk

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
							(0191) 2033246
LB 9 (b)	19 September 2017 (Standing Item)	Leadership Board	Project Approvals - Local Growth Fund Projects Approval of release of Local Growth Fund grant, following appraisal and approval by the LEP of business cases for schemes already included in the LGF programme.	Economic Development and Regeneration ; Transport	Local Enterprise Partnership	None	Paul Woods Chief Finance Officer paul.woods@north-eastca.gov.uk 07446936840
LB 9 (c)	19 September 2017	Leadership Board	Draft Budget 2018/19 and Transport Levies – Process and Timetable This report sets out the process and timetable for the preparation, consultation and approval of the 2018/19 budget and levies. The Leadership Board is recommended to agree the proposed timetable.	Corporate Issue	Consultation with Chief Execs and Treasurers on the proposed timetable during August/Sept 2017.	None	Paul Woods Chief Finance Officer 07446936840 paul.woods@north-eastca.gov.uk Eleanor Goodman Principal Accountant 0191 277 7518

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
							eleanor.goodman@northeastca.gov.uk
LB 9 (d)	19 September 2017	Leadership Board	<p>Statement of Accounts 2016/17</p> <p>This report summarises the findings of the audit of the 2016/17 Statement of Accounts and presents the final accounts for approval. The Leadership Board is recommended to agree to approve the final accounts and the Annual Governance Statement.</p>	Corporate Issue	The accounts presented to this meeting have been subject to a period of public inspection in July and August 2017, and have been presented to the Governance Committee in September 2017. Feedback from that committee will be presented to this meeting.	NECA Statement of Accounts 2016/17	<p>Paul Woods Chief Finance Officer 07446936840 paul.woods@northeastca.gov.uk</p> <p>Eleanor Goodman Principal Accountant 0191 277 7518 eleanor.goodman@northeastca.gov.uk</p>
LB 9 (e)	19 September 2017	Leadership Board	Tyne Tunnels – Update Report (confidential report)	Transport	NECA Elected Members and officers	Confidential Tyne Tunnels - Update Reports, Held	Alastair Swan Principal Engineer 0191 211 5931

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
			The report seeks authorisation for the NECA to enter into Legal Agreements with EE Ltd and Shared Access Ltd relating to their request to install apparatus in the New Tyne Crossing.			by the Contact Officer	alastair.swan@newcastle.gov.uk
LB 9 (f)	19 September 2017	Leadership Board	Update on proposed Auditor Appointment from 2018/19 Proposed Auditor Appointment from 2018/19 presented for information.	Corporate Issue	Consultation with statutory officers.	Public Sector Audit Appointments – Overview of Appointing Person scheme http://www.psa.a.co.uk/wp-content/uploads/2017/07/Overview_of_Appointing_Person_scheme_July_2017.pdf	Paul Woods Chief Finance Officer 07446936840 paul.woods@north-eastca.gov.uk Eleanor Goodman Principal Accountant 0191 277 7518 eleanor.goodman@northeastca.gov.uk

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
LB 9 (g)	19 September 2017	Leadership Board	<p>Agreement for the provision of a temporary accommodation protocol across the North East Combined Authority area.</p> <p>NECA Leadership board to consider a draft protocol agreement for the provision of temporary accommodation across the North East Combined Authority area.</p>	Corporate Issue	Local Authority Housing Officers, Economic Directors and Chief Executives have been consulted on the preparation of the agreement.	None	<p>David Cramond Corporate Director, Economic Regeneration 0191 424 7969 david.cramond@southtyneside.gov.uk</p>
LB 10 (h)	19 September 2017	Leadership Board	<p>Housing Infrastructure Fund</p> <p>NECA Leadership board to consider NECA's submission for the forward funding element of the Housing Infrastructure Fund.</p>	Corporate Issue	Local Authority Economic Directors and Chief Executives have been consulted on the fund and the process to develop a NECA	<p>All guidance documents are available here https://www.gov.uk/government/publications/housing-infrastructure-fund</p>	<p>Helen Golightly Head of Paid Service 0191 3387422 helen.golightly@nelnep.co.uk</p>

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
					submission.		
LB 10 (a)	21 November 2017 (Standing Item)	Leadership Board	Update reports on the following thematic lead areas: a) Economic Development and Regeneration; b) Employability and Inclusion; and c) Transport (and Digital Connectivity)	All	a) EDR: NECA Elected Members and officers; NELEP Members and officers b) To be confirmed c) To be confirmed	a) EDR: North East Strategic Economic Plan – More and Better Jobs b) Held by the Contact Officer c) Held by the Contact Officer	a) Sara Dunlop Business, Employment and Skills Manager 0191 424 6257 sara.dunlop@southtyneside.gov.uk b) Janice Rose Economic and Inclusion Policy Manager 01670 624747 Janice.rose@northumberland.gov.uk c) Tobyng Hughes Managing Director (Transport Operations) Tobyng.hughes@nexus.org.uk (0191) 2033246

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
LB 10 (b)	21 November 2017 (Standing Item)	Leadership Board	Project Approvals - Local Growth Fund Projects Approval of release of Local Growth Fund grant, following appraisal and approval by the LEP of business cases for schemes already included in the LGF programme.	Economic Development and Regeneration ; Transport	Local Enterprise Partnership	None	Paul Woods Chief Finance Officer 07446936840 paul.woods@north-eastca.gov.uk
LB 10 (c)	21 November 2017	Leadership Board	Draft Budget 2018/19 and Transport Levies Agree that the draft proposals set out in the report form the basis of consultation about the NECA Budget and Transport Levies for 2018/19	Corporate Issue	Consultation with statutory officers	None	Paul Woods Chief Finance Officer 07446936840 paul.woods@north-eastca.gov.uk Eleanor Goodman Principal Accountant 0191 277 7518

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
							eleanor.goodman@northeastca.gov.uk
LB 10 (d)	21 November 2017	Leadership Board	<p>Treasury Management 2017/18 Mid-Year Review</p> <p>Leadership Board is asked to agree the investment criteria and limits and the updated 2017/18 prudential indicators set out in the report.</p>	Corporate Issue	Consultation with statutory officers	2017/18 Treasury Management and Investment Strategy	<p>Paul Woods Chief Finance Officer 07446936840 paul.woods@northeastca.gov.uk</p> <p>Eleanor Goodman Principal Accountant 0191 277 7518 eleanor.goodman@northeastca.gov.uk</p>
LB 10 (e)	21 November 2017	Leadership Board	<p>Contractual and financial arrangements for the procurement of new rolling stock for the Tyne and Wear Metro</p> <p>Leadership Board is asked to approve the</p>	Transport	The report has been prepared through Nexus' internal reporting arrangements and submitted to TNEC on 13 th July 2017.	None	<p>Tobyn Hughes Managing Director (Transport Operations) Tobyn.hughes@nexus.org.uk (0191) 2033246</p>

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
			proposed contractual and financial arrangements for the procurement of new rolling stock for the Tyne and Wear Metro.				
LB 11 (a)	16 January 2018 (Standing Item)	Leadership Board	Update reports on the following thematic lead areas: a) Economic Development and Regeneration; b) Employability and Inclusion; and c) Transport (and Digital Connectivity)	All	a) EDR: NECA Elected Members and officers; NELEP Members and officers b) To be confirmed c) To be confirmed	a) EDR: North East Strategic Economic Plan – More and Better Jobs b) Held by the Contact Officer c) Held by the Contact Officer	a) Sara Dunlop Business, Employment and Skills Manager 0191 424 6257 sara.dunlop@southtyneside.gov.uk b) Janice Rose Economic and Inclusion Policy Manager 01670 624747 Janice.rose@northumberland.gov.uk c) Tobyn Hughes Managing Director (Transport Operations)

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
							Tobyn.hughes@ne-xus.org.uk (0191) 2033246
LB 11 (b)	16 January 2018 (Standing Item)	Leadership Board	Project Approvals - Local Growth Fund Projects Approval of release of Local Growth Fund grant, following appraisal and approval by the LEP of business cases for schemes already included in the LGF programme.	Economic Development and Regeneration ; Transport	Local Enterprise Partnership	None	Paul Woods Chief Finance Officer 07446936840 paul.woods@north-eastca.gov.uk
LB 11 (c)	16 January 2018	Leadership Board	Budget 2018/19 and Transport Levies The Leadership Board is recommended to agree the revenue budget and the Transport Levies as set out in the report.	Corporate Issue	Consultation with statutory officers	Budget report to Leadership Board 21 November 2017	Paul Woods Chief Finance Officer 07446936840 paul.woods@north-eastca.gov.uk Eleanor Goodman

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
							Principal Accountant 0191 277 7518 eleanor.goodman@northeastca.gov.uk
LB 11 (d)	16 January 2018	Leadership Board	Capital Programme 2018/19 and Treasury Management Policy and Strategy 2018/19 The Leadership Board is recommended to agree the capital programme for 2018/19 and its funding as set out in the report, and agree the Treasury Management and Investment Policy and Strategy for 2018/19.	Corporate Issue	Consultation with statutory officers	Budget report to Leadership Board 21 November 2017	Paul Woods Chief Finance Officer 07446936840 paul.woods@northeastca.gov.uk Eleanor Goodman Principal Accountant 0191 277 7518 eleanor.goodman@northeastca.gov.uk
B. Transport North East Committee (TNEC)							

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
TN 4 (a)	16 November 2017 (Standing Item)	TNEC	Transport for the North This standing item is intended to provide a regular update on the programme to improve the transport network in Northern England and thereby promote economic growth	Transport	As required	The Northern Transport Strategy Spring 2016 report published at: http://www.transportforthenorth.com/pdfs/The-Northern-Transport-Strategy-Spring-2016-Report.PDF	Tobyn Hughes Managing Director (Transport Operations) Tobyn.hughes@nexus.org.uk (0191) 2033246
TN 4 (b)	16 November 2017 (Standing Item)	TNEC	Transport Plan for the North East This standing item provides a regular update on progress in developing the NECA's Transport Plan	Transport	Wide public consultation will take place on the Transport Plan, in line with statutory guidance.	"Our Journey" – the NECA Transport Manifesto published at http://www.northeastca.gov.uk/sites/default/files/file_attachments/NECA%20Transport%20Manifesto%201.pdf	Tobyn Hughes Managing Director (Transport Operations) Tobyn.hughes@nexus.org.uk (0191) 2033246

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
TN 4 (c)	16 November 2017 (Standing Item)	TNEC	Capital Programme Monitoring Report This standing item provides the Transport North East Committee with a progress update in relation to delivery of the transport related capital programme. This is a requirement of the NECA constitution and is a function delegated to TNEC.	Corporate issue	Consultation on the capital programme with Treasurers and Chief Executives, and capital programme agreed in April 2016.	NECA Budget and Capital Programme	Eleanor Goodman Principal Accountant 0191 277 7518 eleanor.goodman@newcastle.gov.uk
TN 4 (d)	16 November 2017 (Standing Item)	TNEC	Revenue Budget Monitoring Report This standing item provides the Transport North East Committee with a progress update in relation to how the transport related budgets for the delivery agencies are being managed. This is a requirement of the NECA constitution and	Corporate issue	Consultation on the budget with Treasurers and Chief Executives, and budget agreed by Leadership Board.	NECA Budget and Capital Programme	Eleanor Goodman Principal Accountant 0191 277 7518 eleanor.goodman@newcastle.gov.uk

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
			is a function delegated to TNEC.				
TN 4 (e)	16 November 2017	TNEC	Go Ultra Low update To update members on progress made with the Go Ultra Low programme	Transport	Consultation has taken place with all NECA constituent authorities, the University of Newcastle and other relevant stakeholders	‘NECA wins funding for the filling stations of the Future’ http://www.northeatca.gov.uk/news/neca-wins-funding-filling-stations-future -January 2016 Office for Low Emission Vehicles Go Ultra Low City Scheme Funding Opportunity-Transport North East Committee Report <i>April 2015</i>	Tobyn Hughes Managing Director (Transport Operations) Tobyn.hughes@nebus.org.uk (0191) 2033246

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
TN 4 (f)	16 November 2017	TNEC	<p>Draft Transport Budget and Levies 2018/19</p> <p>This report provides the Transport North East Committee with a summary of the latest information about the draft transport budgets and transport levies for 2018/19 for consideration and comment in order to inform the recommendations to be made in the report to the NECA Leadership Board meeting on 16 January 2018.</p>	Corporate Issue	Consultation with statutory officers, Chief Execs, Treasurers and Heads of Transport.	Previous budget reports to Leadership Board.	<p>Paul Woods Chief Finance Officer 07446936840 paul.woods@northeastca.gov.uk</p> <p>Eleanor Goodman Principal Accountant 0191 277 7518 eleanor.goodman@northeastca.gov.uk</p>
TN 5 (a)	8 February 2018 (Standing Item)	TNEC	<p>Transport for the North</p> <p>This standing item is intended to provide a regular update on the programme to improve the transport network in Northern England</p>	Transport	As required	The Northern Transport Strategy Spring 2016 report published at: http://www.transportforthenorth	<p>Tobyn Hughes Managing Director (Transport Operations) Tobyn.hughes@nexus.org.uk (0191) 2033246</p>

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
			and thereby promote economic growth			h.com/pdfs/The-Northern-Transport-Strategy-Spring-2016-Report.PDF	
TN 5 (b)	8 February 2018 (Standing Item)	TNEC	Transport Plan for the North East This standing item provides a regular update on progress in developing the NECA's Transport Plan	Transport	Wide public consultation will take place on the Transport Plan, in line with statutory guidance.	“Our Journey” – the NECA Transport Manifesto published at http://www.northeastca.gov.uk/sites/default/files/file_attachments/NECA%20Transport%20Manifesto_1.pdf	Tobyn Hughes Managing Director (Transport Operations) Tobyn.hughes@newcastle.gov.uk (0191) 2033246
TN 5 (c)	8 February 2018 (Standing Item)	TNEC	Capital Programme Monitoring Report This standing item provides the Transport North East Committee with a progress update in relation to delivery of the transport related	Corporate issue	Consultation on the capital programme with Treasurers and Chief Executives, and capital programme	NECA Budget and Capital Programme	Eleanor Goodman Principal Accountant 0191 277 7518 eleanor.goodman@newcastle.gov.uk

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
			capital programme. This is a requirement of the NECA constitution and is a function delegated to TNEC.		agreed in April 2016.		
TN 5 (d)	8 February 2018 (Standing Item)	TNEC	Revenue Budget Monitoring Report This standing item provides the Transport North East Committee with a progress update in relation to how the transport related budgets for the delivery agencies are being managed. This is a requirement of the NECA constitution and is a function delegated to TNEC.	Corporate issue	Consultation on the budget with Treasurers and Chief Executives, and budget agreed by Leadership Board.	NECA Budget and Capital Programme	Eleanor Goodman Principal Accountant 0191 277 7518 eleanor.goodman@newcastle.gov.uk
C. Transport North East (Tyne and Wear) Sub-Committee (TW)							

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
TW 7 (a)	14 September 2017 (Standing Item)	TWSC	<p>Tyne Tunnel Update (confidential report)</p> <p>The report will provide an update for Members on the operation and management of the Tyne Tunnel</p> <p>The report will be exempt from the publication by virtue of paragraphs 3 and 5 of Part 1 of Schedule 12A of The Local Government Act 1972</p>	Transport	To be confirmed	Held by the Contact Officer	<p>Alastair Swan Principal Engineer 0191 211 5931 alastair.swan@newcastle.gov.uk</p>
TW 7 (b)	14 September 2017 (Standing Item)	TWSC	<p>Monitoring Nexus' Performance and Metro Performance Update 2017/18</p> <p>The purpose of these reports is to advise TWSC of Nexus' corporate performance in respect of service and project delivery such that the Sub-</p>	Transport	The report has been prepared through Nexus' internal reporting arrangements.	Held by the Contact Officer	<p>Tobyn Hughes Managing Director (Transport Operations) 0191 203 3246 tobyn.hughes@nexus.org.uk</p>

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
			<p>Committee exercises the monitoring and oversight functions in respect of Nexus activities delegated to it from the NECA Leadership Board.</p> <p>The report also reports on the measures in place to improve Metro performance.</p>				
TW 7 (c)	14 September 2017 (Standing Item)	TWSC	<p>Update on NEXUS Corporate Risks 2017/18</p> <p>The purpose of this report is to provide an update to TWSC of the strategic risks identified by Nexus for the year.</p>	Transport	The report has been prepared through Nexus internal reporting arrangements.	Held by the Contact Officer	<p>Tobyn Hughes Managing Director (Transport Operations) 0191 203 3246 tobyn.hughes@nexus.org.uk</p>
TW 7 (d)	14 September 2017	TWSC	<p>NECA Budget Process and Timetable 2018/19</p> <p>TWSC to be given an update regarding the timetable and process for NECA's Budget</p>	Corporate Issue	Consultation regarding the NECA budget for 2018/19 will be undertaken along with Chief	None	<p>Eleanor Goodman Principal Accountant 0191 277 7518</p>

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
			Consultation 2018/19 in relation to the Tyne and Wear Transport budgets.		Executives and Leaders. This will also be taken to the Leadership Board.		eleanor.goodman@northeastca.gov.uk
D. Governance Committee							
G 4 (a)	12 September 2017 (Standing Item)	Governance Committee	Internal Audit Progress Report Members are requested to note the internal audit activity to date.	Corporate issue	Head of Paid Service, Monitoring Officer and Chief Finance Officer	Final Internal Audit Reports & Internal Audit Plan	Philip Slater Audit, Risk and Insurance Service Manager 0191 2116511 Philip.slater@newcastle.gov.uk
G 4 (b)	12 September 2017 (Standing Item)	Governance Committee	External Audit Completion Report - year ended 31 March 2017 This report provides an update for information on the work of Mazars, the External Auditors	Corporate Issue	Consultation with statutory officers and Governance Committee chair.	None.	Jim Dafter External Audit Senior Manager, Mazars jim.dafter@mazars.co.uk Eleanor Goodman

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
			to the Combined Authority.				Principal Accountant 0191 277 7518 eleanor.goodman@northeastca.gov.uk
G 4 (c)	12 September 2017	Governance Committee	Draft Budget 2018/19 – Process and Timetable Note the report which sets out details of the process and timetable for setting the NECA Budget and Transport Levies 2018/19	Corporate Issue	Consultation with statutory officers and Governance Committee Chair	None	Paul Woods Chief Finance Officer 07446936840 paul.woods@northeastca.gov.uk Eleanor Goodman Principal Accountant 0191 277 7518 eleanor.goodman@northeastca.gov.uk
G 4 (d)	12 September 2017	Governance Committee	Statement of Accounts 2016/17 Governance Committee is recommended to	Corporate Issue	Consultation with statutory officers and Governance Committee	NECA Statement of Accounts 2016/17	Paul Woods Chief Finance Officer 07446936840

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
			review and comment on the Statement of Accounts for 2016/17 and recommend them to the Leadership Board for approval.		chair.		paul.woods@north-eastca.gov.uk Eleanor Goodman Principal Accountant 0191 277 7518 eleanor.goodman@northeastca.gov.uk
G 4 (e)	12 September 2017	Governance Committee	Treasury Management 2017/18 Mid-Year Update Note the report which provides a mid-year update on Treasury Management activity.	Corporate Issue	Consultation with statutory officers and Governance Committee Chair.	Treasury Management Policy and Strategy 2017/18.	Paul Woods Chief Finance Officer 07446936840 paul.woods@north-eastca.gov.uk Eleanor Goodman Principal Accountant 0191 277 7518 eleanor.goodman@northeastca.gov.uk

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
G 4 (f)	12 September 2017	Governance Committee	Annual Governance Statement The purpose of this report is to approve the 2016/17 Annual Governance Statement for inclusion in the 2016/17 Accounts. Members are requested to approve the 2016/17 Annual Governance Statement and include within the 2016/17 Accounts.	Corporate Issue	Head of Paid Service, Monitoring Officer and Chief Finance Officer	Assurance Statements from – Partnerships, Monitoring Officer, Chief Finance Officer, Senior Information Risk Owner, Nexus	Philip Slater Audit, Risk and Insurance Service Manager 0191 2116511 Philip.slater@newcastle.gov.uk
G 4 (g)	12 September 2017	Governance Committee	Strategic Risks and Opportunities Register The purpose of this report is to provide an update on the strategic risks and opportunities for the Combined Authority.	Corporate Issue	Head of Paid Service, Monitoring Officer and Chief Finance Officer	Risk Management Strategy	Philip Slater Audit, Risk and Insurance Service Manager 0191 2116511 Philip.slater@newcastle.gov.uk
G 4 (h)	12 September 2017	Governance Committee	Consultation on Auditor Appointment from 2018/19	Corporate Issue	Consultation with statutory officers and Governance	Public Sector Audit Appointments – Overview of	Paul Woods Chief Finance Officer

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
			Views of the Governance Committee sought on proposed auditor appointment from 2018/19		Committee chair.	Appointing Person scheme http://www.psa.a.co.uk/wp-content/uploads/2017/07/Overview_of_Appointing_Person_scheme_July_2017.pdf	07446936840 paul.woods@northeastca.gov.uk Eleanor Goodman Principal Accountant 0191 277 7518 eleanor.goodman@northeastca.gov.uk
G 5 (a)	5 December 2017 (Standing Item)	Governance Committee	Internal Audit Progress Report Members are requested to note the internal audit activity to date.	Corporate issue	Head of Paid Service, Monitoring Officer and Chief Finance Officer	Final Internal Audit Reports & Internal Audit Plan	Philip Slater Audit, Risk and Insurance Service Manager 0191 2116511 Philip.slater@newcastle.gov.uk
G 5 (b)	5 December 2017 (Standing Item)	Governance Committee	External Audit Progress Update This report provides an update for information on the work of Mazars, the External Auditors	Corporate Issue	Consultation with statutory officers and Governance Committee chair.	None.	Jim Dafter External Audit Senior Manager, Mazars jim.dafter@mazars.co.uk

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
			to the Combined Authority.				Eleanor Goodman Principal Accountant 0191 277 7518 eleanor.goodman@northeastca.gov.uk
G 5 (c)	5 December 2017	Governance Committee	Annual Audit Letter 2016/17 The report presents the Annual Audit letter on the 2016/17 accounts which has been provided by the External Auditors, Mazars.	Corporate Issue	Consultation with statutory officers and Governance Committee Chair.	Statement of Accounts 2016/17	Jim Dafter External Audit Senior Manager, Mazars jim.dafter@mazars.co.uk Eleanor Goodman Principal Accountant 0191 277 7518 eleanor.goodman@northeastca.gov.uk

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
G 5 (d)	5 December 2017	Governance Committee	Draft Budget 2018/19 Consultation Members are requested to note the report which sets out details of the draft budget proposals for 2018/19 for consultation.	Corporate Issue	Consultation with statutory officers and Governance Committee Chair.	Report on budget to Leadership Board 21 November 2017	Paul Woods Chief Finance Officer 07446936840 paul.woods@north-eastca.gov.uk Eleanor Goodman Principal Accountant 0191 277 7518 eleanor.goodman@northeastca.gov.uk
G 6 (a)	3 April 2018 (Standing Item)	Governance Committee	Internal Audit Progress Report Members are requested to note the internal audit activity to date.	Corporate issue	Head of Paid Service, Monitoring Officer and Chief Finance Officer	Final Internal Audit Reports & Internal Audit Plan	Philip Slater Audit, Risk and Insurance Service Manager 0191 2116511 Philip.slater@newcastle.gov.uk
G 6 (b)	3 April 2018	Governance Committee	External Audit Progress Update	Corporate Issue	Consultation with statutory officers and	None.	Jim Dafter External Audit

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
	(Standing Item)		This report provides an update for information on the work of Mazars, the External Auditors to the Combined Authority.		Governance Committee chair.		Senior Manager, Mazars jim.dafter@mazars.co.uk Eleanor Goodman Principal Accountant 0191 277 7518 eleanor.goodman@northeastca.gov.uk
G 6 (c)	3 April 2018	Governance Committee	Accounting Policies 2017/18 The report will set out the accounting policies to be used in the preparation of the 2017/18 accounts, with an explanation of any changes which may have been introduced, and an update on changes to the Code of Practices on Local Authority Accounting. Governance	Corporate Issue	Consultation with statutory officers and Governance Committee Chair.	Code of Practice on Local Authority Accounting 2017/18	Paul Woods Chief Finance Officer 07446936840 paul.woods@northeastca.gov.uk Eleanor Goodman Principal Accountant 0191 277 7518 eleanor.goodman@northeastca.gov.uk

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
			Committee will be asked to approve the policies.				northeastca.gov.uk
E. Governance Sub-Committee							
There are no meetings currently scheduled.							
F. Overview and Scrutiny Committee (O and S)							
OS 6 (a)	13 September 2017 (Standing Item)	O and S	Forward Plan and Work Programme To receive the latest version of the Forward Plan and annual work programme	Corporate matter	The Chair of the Committee	Held by the Contact Officers	Nicola Laverick Policy and Scrutiny Office 07342069369 nicola.laverick@northeastca.gov.uk
OS 6 (b)	13 September 2017	O and S	NECA Budget Process and Timetable 2018/19 Members are asked to note an update regarding the timetable and process for NECA's Budget Consultation 2018/19.	Corporate Issue	Consultation regarding the budget for 2017/18 will be undertaken along with Chief Executives and Leaders.	None	Paul Woods Chief Finance Officer 07446936840 paul.woods@northeastca.gov.uk

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
					This will also be taken to the Leadership Board.		Eleanor Goodman Principal Accountant 0191 277 7518 eleanor.goodman@northeastca.gov.uk
OS 6 (c)	13 September 2017	O and S	North East Strategic Economic Plan Members are asked to receive an update report for information.	Corporate Issue	The Strategic Economic Plan's update process included Chief Executives and Leaders across the Combined Authority.	North East Strategic Economic Plan - More and Better Jobs	Helen Golightly Head of Paid Service 0191 3387422 helen.golightly@nel.ep.co.uk
OS 7 (a)	14 December 2017	O and S	Forward Plan and Work Programme To receive the latest version of the Forward Plan and annual work programme	Corporate Issue	The Chair of the Committee	Held by the Contact Officers	Nicola Laverick Policy and Scrutiny Office 07342069369 nicola.laverick@northeastca.gov.uk

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
OS 7 (b)	14 December 2017	O and S	NECA Budget Consultation 2018/2019 Members are asked to receive the NECA Budget Consultation 2018/2019.	Corporate Issue	Consultation regarding the budget for 2018/19 will be undertaken along with Chief Executives and Leaders. This will also be taken to the Leadership Board.	Report on budget to Leadership Board 21 November 2017	Paul Woods Chief Finance Officer 07446936840 paul.woods@north-eastca.gov.uk Eleanor Goodman Principal Accountant 0191 277 7518 eleanor.goodman@northeastca.gov.uk
G. Economic Development and Regeneration Advisory Board (EDRAB)							
ED 5 (a)	24 October 2017 (Standing Item)	EDRAB	Economic Development and Regeneration – Progress Update Members are requested to receive an update on, and provide views in	Economic Development and Regeneration	NECA Elected Members and officers; and NELEP Members and officers	North East Strategic Economic Plan - More and Better Jobs	Sara Dunlop Business, Employment and Skills Manager 0191 424 6257 sara.dunlop@south-tyneside.gov.uk

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
			relation to, progress within the key areas of the Economic Development and Regeneration theme. The update will include progress on the development of the regional Inward Investment function and Regional Investment Plan.				
F. Officer Delegated Decisions							
DD 71	1 September 2017	Head of Paid Service in consultation with the NECA Chair, Monitoring Officer and Chief Finance Officer	Transport for the North – Sub-National Transport Body Status To consent to: •The making by the Secretary of State of Regulations under section 102E of the Local Transport Act 2008 to establish Transport for the North as a Sub-National Transport Body	Transport	Transport North East Committee Chief Executives and Legal Officers	Report to NECA Leadership Board 7 August 2017 Details of Transport for the North and its Sub-Regional Transport Body status are available at	Tobyn Hughes Managing Director (Transport Operations) Tobyn.hughes@nebus.org.uk (0191) 2033246

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
			<ul style="list-style-type: none"> •The transfer of Rail North Limited to Transport for the North so that it can be subsumed within Transport for the North; •The signing of a new Rail Franchise Management Agreement with Transport for the North replicating as far as possible the current Rail North Limited Members Agreement; and •Continuation of the payment of the current funding for Rail North Limited to Transport for the North after its inauguration. 			http://www.transportforthenorth.com/about-transport-for-the-north/sub-national-transport-body/	
DD 73	22 September 2017	Head of Paid Service, in consultation with the Chairs and Vice Chairs	<p>The setup of a not for profit company – Company Limited by Guarantee.</p> <p>This is a delegated decision following</p>	Corporate Issue	Consultation and approval for this delegated decision has taken place with the	NELEP Board Report	Michelle Rainbow Skills Director, NELEP 0191 338 7439 Michelle.rainbow@

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
		of the LEP Board and the NECA Monitoring Officer	<p>agreement by the Leadership Board and LEP Board to agree to a company limited by guarantee being established.</p> <p>This will sit alongside the current LEP model to be responsible for procuring external funding and the delivery of specific projects in furtherance of the SEP.</p> <p>The report will seek final approval of the company structure.</p>		Executive Director NELEP/Head of Paid Service for NECA and the NELEP Board.		nelep.co.uk

Overview and Scrutiny Work Programme 2017/18

Date	Items	Lead Officer	Informal Briefings / Development Days
Monday 10 th July 10.00 am Gateshead	Terms of Reference for the Overview and Scrutiny Committee, & Overview and Scrutiny Sub-Committee	Viv Geary	
	Forward Plan and Annual Work Programme 2017/18	Nicola Laverick	
	Transport Plan for the North East	Ian Coe	
Wednesday 13 th September 2.00 pm North Tyneside	2018/19 NECA Budget process and timeline	Eleanor Goodman	
	North East Strategic Economic Plan	Helen Golightly	
	Forward Plan and Work Programme	Nicola Laverick	
Thursday 14 th December 10.00 am South Tyneside	NECA Budget Consultation 2018/19	Paul Woods	
	Transport Thematic Lead Update	Councillor Nick Forbes, Lead Member	
	Monitoring Nexus Performance	Tobyn Hughes	
	Forward Plan and Work Programme	Nicola Laverick	
Thursday 15 th March 10.00 am Durham	Economic Development and Regeneration Thematic Update	Councillor Iain Malcolm, Lead Member	
	Employability and Inclusion Thematic Update	Councillor Peter Jackson, Lead Member	
	North East England Chamber of Commerce – supporting the delivery of key activities and commissioning work of DIT	Ross Smith & Julie Underwood, International Trade Director - NEECC	
	Durham Youth Employment Initiative	Linda Bailey, Strategic Lead Progression and Learning	
	Forward Plan and Work Programme	Nicola Laverick	
In addition to the schedule items, the following items will be included in the work programme			
Date to be confirmed	National Audit Office Case Study report		
Date to be confirmed	Final Report DCLG Inquiry Overview and Scrutiny		
Date to be confirmed	Health and Wealth Report - to be confirmed by health partners		

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