



## North East Combined Authority, Overview and Scrutiny Committee

Tuesday 1st November, 2016 at 2.00 pm

Meeting to be held: Jarrow Town Hall

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## AGENDA

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	<b>Page No</b>
1. <b>Apologies for Absence</b>	
2. <b>Declarations of Interest</b>	
Please remember to declare any personal interest where appropriate both verbally and by recording it on the relevant form (to be handed to the Democratic Services Officer). Please also remember to leave the meeting where any personal interest requires this.	
3. <b>Minutes of the previous meeting</b>	<b>1 - 6</b>
4. <b>Appointment of Chair/Vice-Chair</b>	<b>7 - 10</b>
5. <b>Monitoring Nexus Performance</b>	<b>11 - 30</b>
6. <b>NELEP Local Growth Fund Programme</b>	<b>31 - 36</b>
7. <b>Forward Plan &amp; Work Programme</b>	<b>37 - 74</b>
8. <b>Date and Time of the Next Meeting</b>	
2:00pm on 14 December 2016	

Contact Officer: Brenda Joyce Tel: E-mail:

**To All Members**

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## North East Combined Authority, Overview and Scrutiny Committee

27 September 2016

(2.00 - 4.00 pm)

Meeting held Sunderland Civic Centre

### Present:

Councillor: Wright (Chair)

Councillors: Armstrong, Crute, Eagle, Flux, Graham, Lower, Meling, and Pearson

### 8 APOLOGIES FOR ABSENCE

Apologies for absence were received from Councillors Dillon, Glindon, Pidcock and Snowdon.

### 9 DECLARATIONS OF INTEREST

None.

At this point the Committee was advised by Louise Watson (Legal Services) that the meeting was inquorate (with nine members present) and that no decisions could be made; however, for the benefit of members present, it was suggested that the meeting would continue to discuss agenda items in an informal way.

Karen Brown (Scrutiny Officer) clarified that, following changes to the NECA Constitution, nominees for substitute members had now been submitted by most of the constituent authorities.

A member commented that conference season was perhaps not the best time to hold meetings.

### 10 MINUTES OF THE PREVIOUS MEETING

The Committee reviewed the minutes of the previous meeting held on 7 July 2016 and those present agreed their accuracy.

**It was agreed:** that the minutes would formally be approved and signed by the Chair at the next scheduled meeting on 1 November 2016.

## **Matters arising**

The minutes of the previous meeting to be amended to reflect that Councillor Pearson had submitted apologies.

### **11 APPOINTMENT OF CHAIR AND VICE CHAIR**

Submitted: Report of the Monitoring Officer to invite the Committee to appoint its Chair and Vice-Chair for the Municipal Year 2016/17 (previously circulated and copy attached to the official minutes).

Councillor Wright advised that L Watson would present this item.

L Watson stated that as previously noted, the meeting remained inquorate, and a formal resolution could not be passed on this item.

Councillor Armstrong moved, seconded by Councillor Meling that Councillor Wright continue in the chair for the purposes of this meeting.

**It was agreed:** that Councillor Wright would remain in the chair for this meeting and that the report be re-submitted to next business meeting scheduled in November 2016.

### **12 POLICY REVIEW: TRANSPORT RELATED BARRIERS TO EMPLOYMENT: EVIDENCE FROM ARRIVA**

Submitted: Report of the Regional Head of Commercial, Arriva North East (previously circulated and copy attached to the official minutes)

Paul De Santis (Head of Commercial, Arriva) introduced the report which provided evidence for the policy review on transport related barriers to education, employment and training. As Committee was aware, the review would contribute to the Transport Plan for the North East, due to be completed in 2016.

#### **Comments, question and points raised by members**

- The lack of pre 6:00am bus services was raised; this meant that workers were forced to use cars and therefore exacerbated congestion problems.

P De Santis acknowledged the knock-on effect of this situation. Car use, due to congestion meant the buses could not run on time; if the buses weren't used these services would be taken off. He also said that different patterns of employment existed now and that there were different types of 'industry' eg. business parks. If there was a critical mass of users for a service the bus companies would most certainly consider it.

- A concern about artificially high fares was raised. P De Santis advised that competition was necessary between bus service providers to ensure that fares were not artificially high.

He went on to state that there were several competitive routes in the region including three major routes in Newcastle and North Tyneside as well as several in Durham City and radiating around the city. However, providers only competed where they fully understand the market. Arriva looked for and investigated opportunities for taking forward any possible sustainable opportunities.

- With regard to the news that 2.5% of concessionary passes were fraudulently used, Committee was advised that technology was now in place to identify this. An amnesty period would operate until the end of October after which cards would be withdrawn; all of the bus companies had signed up to this.
- The frequent Newcastle to Morpeth direct bus route was raised. A member asked why, during off peak times, the service could not offer limited provision to the villages near the route to ensure that everyone had the opportunity to use the buses. The lack of services had the knock on effects of forcing people to use cars and disenfranchised young people.

P De Santis advised that as the 15 minute service was proving popular with users, it was unattractive to divert off the route and would also complicate the offer. The service was currently clear and understandable to users, and, with continued usage, sustainable. Evidence from when services had gone into villages illustrated that they were not well used.

- Consulting with potential users was more difficult than with current users. However, to target potential users Arriva would be likely to approach town and parish councils and ask for information to be included in their newsletters.
- In response to a statement that public transport was a service, P De Santis agreed that bus operations was a service but Arriva was also a business. Operators would not exist if they did not make money but also recognised that they had to be responsive to customer needs.
- It would be difficult for Arriva to operate services in South Tyneside and Sunderland as the company did not have a depot in that area. It was likely that other operators would be looking at opportunities to service hospital routes.
- Fuel had to be purchased on contract and in advance by operators and therefore forecourt price drops could not be reflected in fares.
- The position of multi-operator tickets would be taken back and raised with officers by the Durham representative.
- Real Time Information was now available to local authorities and via the Arriva app. Wifi enabled buses allowed users to use their gadgets in transit.

- A member commented that she would like to see more collaborative working between bus companies and that they should all try to inspire loyalty in customers for services.

P De Santis informed members that companies had a legal duty to make services as punctual as possible. Services could get less punctual for various reasons and on occasion certain routes had to be taken out of service.

**It was agreed:** that the Committee receive the evidence from Arriva as part of its programme of evidence gathering to contribute towards the policy review.

## 13 **NORTH EAST LOCAL ENTERPRISE PARTNERSHIP UPDATE**

Submitted: Report of the Chief Operating Officer, North East Local Enterprise Partnership (NELEP) to appraise the Committee on the role, operations and progress of the NELEP (previously circulated and copy attached to the official minutes).

Helen Golightly (NELEP Chief Operating Officer and Interim Head of Paid Service) presented the report which provided information on the Role of the North East LEP, the North East Strategic Economic Plan and the Business Plan 2016 – 2017 and Operations.

### **Comments, question and points raised by members**

- The Chair asked that the official minutes record the committees formal thanks to Adam Wilkinson (former Head of Paid Service) for his contribution to the work of the combined authority.
- A question was asked with regard to the review of the Strategic Economic Plan, changes to the national economy and potential risks going forward from Brexit.
- H Golightly's perspective was that the strategy was the strategy and that it should not change. Discussions had taken place at sectoral workshops and it was acknowledged that EU funding changes could be the biggest challenge.
- Although NECA was the accountable body for the NELEP in relation to government funding, the Overview and Scrutiny Committee required further detail about the three advisory groups that fed into the LEP Board
- Membership information and the terms of reference the terms of reference for the advisory groups would be circulated to members.
- Revenue funding in terms of the team was £2m from government, with a small amount from the local authorities and a top slice from capital funding.
- Capital funding was £220m over 5 years allocated via the Local Growth Fund. There was also £55m from the North East Investment Fund and business rate growth income from the enterprise zones.

- All of the £220m capital funds were currently allocated to projects (although the vast majority of the money was pre-allocated by government).
- In terms of loan funding the LEP allocated grants to businesses in a similar way to a bank.
- H Golightly agreed to provide information on the numbers of jobs created and safeguarded by the LEP to scrutiny members.
- Recruitment to the Board and the advisory groups was done via an open recruitment process and advertised through a recruitment agency.

**It was agreed that:** the Committee would be provided with further information on:

- Advisory board membership and terms of reference;
- Numbers of jobs created and safeguarded, and;
- Grant allocations and outcomes.

#### 14 **2017/18 BUDGET PROCESS AND TIMETABLE**

Submitted: Report of the Chief Finance Officer setting out the process and timetable for the preparation, consultation and approval of the 2017/18 budget and indicative medium term financial strategy (previously circulated and copy attached to the official minutes).

The process and timetable was considered by the Leadership Board at its meeting on 20 September, with the Final Budget proposals for 2017/18 to be considered and approved at the Leadership Board meeting on 17 January 2017.

The North East Combined Authority was required by law to set its Transport Levies for 2017/18 by 15 February 2017, and had previously agreed its overall budget in January in order to enable constituent authorities to take the levies and other contributions to the Authority into account in setting their own budgets for 2017/18.

The timetable involved a draft budget report being considered by the Leadership Board at its meeting on 15<sup>th</sup> November, which would then be available for consultation and comment. This was scheduled to be considered by Overview and Scrutiny Committee at its meeting on 14 December 2016.

**It was agreed:** that the Overview and Scrutiny Committee noted the Budget process and timetable and would receive and consider the draft Budget report at its next meeting in December 2016.

#### 15 **NORTH EAST COMBINED AUTHORITY - DEVOLUTION UPDATE**

Submitted: Report of the Interim Head of Paid Service (previously circulated and copy attached to the official minutes) to provide the Scrutiny Committee with the current position in relation to the devolution agreement.

L Watson introduced the report and advised that on the 6 September, after reviewing the additional assurances received from government, the Leadership Board decided not to publish and consult on the Governance Review and Scheme at that stage. The Leadership Board reiterated its support for the principle of devolution, however, was not able to reach a majority decision to proceed with the deal and launch the consultation. Subsequently, on the 8 September the government announced that the deal had been withdrawn and the implementation work being conducted by central government had come to an end.

The proposed agreement provided for the transfer of significant powers from central government to the North East in order to deliver the funding and responsibilities required to accelerate the area's economic growth. These powers and additional resources would now not be transferred to NECA.

Although current devolution talks had been brought to an end, NECA's work in a number of areas would continue, including the work of the Health and Social Care Commission and projects relating to transport, employability and inclusion and economic development and regeneration.

#### **Comments, question and points raised by members**

- Members considered the correct approach had been adopted and informally endorsed the decision of the Leadership Board as the government had failed to provide the assurances sought for a properly funded devolution deal.
- A report was being prepared for the November meeting of the Leadership Board to provide information on spend to date.
- Much of the work done could still be built on going forward.

**It was agreed:** that at the next meeting Overview and Scrutiny Committee endorse the approach for properly funded real devolution.

#### 16 **FORWARD PLAN AND SCRUTINY WORK PROGRAMME**

Submitted: Report of the Monitoring Officer (previously circulated and copy attached to the official minutes) on the Forward Plan and scrutiny annual work programme for 2016/17.

**It was agreed:** that Committee agree that the NECA Forward Plan be received and the scrutiny work programme be agreed subject to ratification at the next meeting.

#### 17 **DATE AND TIME OF THE NEXT MEETING**

Tuesday 1 November 2016 at 2:00pm; venue TBC

## North East Combined Authority

### Overview and Scrutiny Committee

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**DATE:** 1<sup>st</sup> November 2016

**SUBJECT:** Appointment of Chair and Vice-Chair

**REPORT OF:** Monitoring Officer

#### **EXECUTIVE SUMMARY**

The purpose of this report is to invite the Committee to appoint its Chair and Vice-Chair for the Municipal Year 2016/17.

#### **RECOMMENDATIONS**

It is recommended that the Committee appoints a Chair and Vice-Chair for the Municipal Year 2016/17.

# North East Combined Authority

## Overview and Scrutiny Committee

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### **1. Background Information**

- 1.1 The North East Combined Authority (NECA) is created pursuant to the Local Democracy, Economic Development and Construction Act 2009 (LDEDCA 2009) and by the Durham, Gateshead, Newcastle upon Tyne, North Tyneside, Northumberland, South Tyneside and Sunderland Combined Authority Order 2014 ('the Order') which came into force on 15 April 2014.
- 1.2 The NECA is required to have an Overview and Scrutiny Committee and has appointed the Overview and Scrutiny Committee comprising of two Members from each of the Constituent Authorities with 14 Members of the Committee in total.
- 1.3 The Leadership Board appointed the membership of the Overview and Scrutiny Committee at its annual meeting on 21<sup>st</sup> June 2016. The Leadership Board also agreed for the Scrutiny Committee to have provision for Substitute Members, which is in line with the approach currently applied to other committees of the Authority
- 1.4 The Leadership Board has previously agreed that the appointment of Chair and Vice-Chair should be delegated to the Scrutiny Committee.

### **2. Proposal**

- 2.1 The Scrutiny Committee is invited to appoint its Chair and Vice-Chair for the Municipal Year 2016/17.

### **3. Next Steps**

- 3.1 Information on the appointment of the Committee's Chair and Vice-Chair will be made available to all interested parties and published on the North East Combined Authority's website.

### **4. Potential Impact on Objectives**

- 4.1 This appointment will assist the Scrutiny Committee to discharge its functions.

### **5. Finance and other resources**

- 5.1 There are no direct financial or resource implications for the NECA in relation to the appointment of the Chair and Vice-Chair of the Committee

### **6. Legal**

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6.1 The appointment of Chair and Vice Chair of the Committee complies with the requirements under the Constitution of the NECA.

### **7. Other Considerations**

#### **7.1 Consultation / Community Engagement**

The proposal set out in this report is the result of the decision of the Leadership Board which includes representatives of the seven constituent Councils.

#### **7.2 Human Rights**

There are no human rights implications identified at this stage.

#### **7.3 Equalities and Diversity**

There are no specific equality and diversity implications arising from this report.

#### **7.4 Risk Management**

There are no specific risk management implications arising from this report.

#### **7.5 Crime and Disorder**

There are no specific crime and disorder implications arising from this report.

#### **7.6 Environment and Sustainability**

There are no specific environment and sustainability implications arising from this report.

### **8. Background Documents**

- The Durham, Gateshead, Newcastle upon Tyne, North Tyneside, Northumberland, South Tyneside and Sunderland Combined Authority Constitution;
- The report to the 21<sup>st</sup> June 2016 meeting of the North East Leadership Board titled "Appointment of Committees, Agreement of Membership and Appointment of Chairs and Vice-Chairs";
- Draft minutes of the 21<sup>st</sup> June 2016 meeting of the North East Leadership Board.

### **9. Links to Plans in the Policy Framework**

9.1 This report has no direct links to plans in the Policy Framework.

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### 10. Appendices

There are no appendices to this report

### 11. Contact Officers

Vivienne Geary, Monitoring Officer.

E-mail address: [Viv.Geary@northtyneside.gov.uk](mailto:Viv.Geary@northtyneside.gov.uk) Tel: 0191 643 5339

Karen Brown, Scrutiny Officer [karen.brown@sunderland.gov.uk](mailto:karen.brown@sunderland.gov.uk)

### 12. Sign Off

Monitoring Officer ✓

Head of Paid Service ✓

Chief Finance Officer ✓

## North East Combined Authority

### Overview and Scrutiny Committee

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**Date:** 1 November 2016

**Subject:** Monitoring Nexus' Performance: 1 April to 17 September 2016

**Report of:** Managing Director (Transport Operations)

#### **EXECUTIVE SUMMARY**

The purpose of this report is to provide an update on Nexus' performance against its Corporate Business Plan (CBP) targets and objectives for 2016/17 for the period from 1 April to 17 September 2016 and findings from the Nexus Service Review which took place between 6 June-1August 2016.

#### **RECOMMENDATIONS**

It is recommended that the Scrutiny Committee notes the performance information and the feedback from the service review consultation.

# North East Combined Authority

## Overview and Scrutiny Committee

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### 1 Background Information

1.1 This report provides a review of Nexus' performance against its Corporate Business Plan (CBP) targets and objectives for 2016/17.

1.2 Nexus' CBP for 2016/17 has three main themes and fifteen workstreams which are identified in Appendix 1 (attached).

Of the 15 work streams:-

- Fourteen workstreams are rated 'Green' in status, three from four in Theme 1 'Improve public transport today'; all eight in Theme 2 'Prepare for the future', and all three in Theme 3 'Be an effective delivery agent for the NECA'.
- There is one workstream under 'Improve public transport today' categorised as 'Amber' relating to 'Reverse unsatisfactory Metro performance'. The Metro concessionaire DBTW is being required to implement specified remedial plans particularly in the area of customer communications as well as being subjected to special monitoring measures including the application of contractually permitted interventions as a means of helping stimulate further improvement.

1.3 In regard to unsatisfactory Metro performance, it is worth noting that the Metro punctuality figures, now 0.8% percentage points below the agreed target of 87.2%, have continued to improve. However, as has been previously advised, the most recent customer satisfaction survey results (for May 2016) were below target.

1.4 A decline in patronage, largely from leisure trips and trips taken using third-party sales has been identified, with marketing and promotional measures being implemented to counter this.

1.5 The Committee is referred to Appendix 1 for a fuller review of Nexus' performance over the period from 1 April to 17 September 2016 with particular reference being drawn from the eight weeks from 24 July to 17 September, the earlier period having already been the subject of report to the Tyne & Wear Sub-Committee at its meetings in July and September.

1.6 Attached as Appendix 2 are the results of the consultation on local transport services in Tyne and Wear.

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### **2 Proposals**

- 2.1 The Committee is invited to consider and comment upon the performance of Nexus during the relevant period.

### **3 Next Steps**

- 3.1 The next performance update will be presented at the January meeting of the T&W Sub-Committee.

### **4 Potential Impact on Objectives**

- 4.1 Performance monitoring and review can help ensure the achievement of desired objectives.

### **5 Finance and Other Resources**

- 5.1 There are no direct financial or resource considerations arising from this report.

### **6 Legal**

- 6.1 There are no direct legal considerations arising from this report.

### **7 Other Considerations**

#### **7.1 Consultation/Community Engagement**

There are no specific consultation/community engagement considerations arising from this report.

#### **7.2 Human Rights**

There are no specific human rights considerations arising from this report.

#### **7.3 Equalities and Diversity**

There are no specific equalities and diversity considerations arising from this report.

#### **7.4 Risk Management**

There are no specific risk management considerations arising from this report as there are no issues requiring escalation at this time. Applying performance management can help mitigate and avoid the occurrence of risks.

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### 7.5 Crime and Disorder

There are no specific crime and disorder considerations arising from this report.

### 7.6 Environment and Sustainability

There are no specific environment and sustainability considerations arising from this report.

## 8 Background Documents

8.1 Nexus' Corporate Business Plan 2016/17.

## 9 Links to the Local Transport Plans

9.1 This report has links to the delivery of policies and objectives set out in the Local Transport Plan for Tyne and Wear.

## 10 Appendices

10.1 Nexus' summary performance report on the delivery of services and projects against its business plan.

## 11 Contact Officers

11.1 Tobyn Hughes  
Managing Director (Transport Operations)  
E-mail: [tobyn.hughes@nexus.org.uk](mailto:tobyn.hughes@nexus.org.uk)  
Tel: 0191 203 3246

## 12 Sign off

- Head of Paid Service ✓
- Monitoring Officer ✓
- Chief Finance Officer ✓

# North East Combined Authority

## Overview and Scrutiny Committee

### Appendix 1

Theme 1: Improve Public Transport Services Today		
Workstream:	RAG Status	Key Considerations:
<b>Reverse unsatisfactory Metro performance</b> (RAG status: Amber)	A	As at 17 September the Metro punctuality figure was 86.3%, an improvement of 0.8 percentage points compared to the 85.5% reported for 23 July, but still 0.9 percentage points below the agreed target of 87.2%. Over the 27 days excluding the day of the Great North Run, Charter Punctuality was 87.2%, meeting the target.
		Fleet availability over the eight weeks to 17 September meant that 60% of peak services (47 out of 78) did not meet the service delivery requirement compared to 84% over the previous eight weeks.
		In the year to 17 September the (provisional) cumulative total figure for Metro passenger boardings, at 17.191m, was 5% below the target of 18.096m, and 5.2% below the 18.144m over the same period in 2015/16. The forecast to the end of the year is 37.9m, which is 6% below the 2015/16 level.
		The most recent Metro Customer Satisfaction tracking survey score was 7.45 (May 2016), below the contract target of 8.3: performance penalties are being applied.
<b>Improve local rail services</b> (RAG status: Green)	G	Through Rail North agreement is being sought from Northern and Trans Pennine Express on the level of performance and patronage data to be produced on a monthly basis. In addition a local North East Rail Management Unit (NERMU) agreement is also being sought. This data will enable NERMU members to develop a detailed understanding of current and trend performance.
		NERMU officers have worked with Northern on their investment plans to upgrade stations in the area which have been submitted to Rail North for approval. The funding is split across four themes: <ul style="list-style-type: none"> <li>Physical Comfort; (e.g. seats and waiting areas)</li> </ul>

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		<ul style="list-style-type: none"> <li>• Customer information; (e.g. CIS)</li> <li>• Customer Safety ; (e.g. CCTV and help points)</li> <li>• Retail Choice (e.g. new digital ticket vending machines)</li> </ul>
<b>Innovate through technology</b> <b>(RAG status: Green)</b>	<b>G</b>	All three major Bus operators now accept the POP PAYG card as a form of payment for daily products.
		Commercial and legal negotiations are underway with Go North East and Arriva to accept cash top up of PAYG on-bus on all their services.
		Nexus remains actively involved with respect to Transport for the North's (TfN) smart initiatives and is developing the specification of the back office functions, in conjunction with TfGM, on behalf of TfN with a technical specification being developed by end-December.
		Nexus is a partner in a NELEP led bid to integrate the existing "Wi-Fi & Ducting" project into a wider plan to improve the digital connectivity of the North East; this is an integral part of the Strategic Economic Plan.
		The "hotlisting" of ENCTS cards (blocking those cards that have been reported lost or stolen) will commence in P7 for Tyne and Wear and Durham. The process was introduced in P6 in Northumberland and Tees Valley, using the NESTI infrastructure.
<b>Deliver the Asset Renewal Plan</b> <b>(RAG status: Green)</b>	<b>G</b>	The Metro Asset Renewal Plan (ARP) forecast for 2016/17 at 17th September was £42.455m. This will be closely managed to ensure that spend for the year is within the maximum/minimum spend targets set by the DfT.
		Overall the programme remains relatively stable although some projects have needed to be re-scheduled and, if applicable, cost pressures have either been contained within project contingencies or funded from savings elsewhere in the programme. Expressions of interest in funding for station development works have been submitted to the Single Local Growth Fund to supplement Metro ARP funding.

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Theme 2: Prepare for the Future		
Workstream:	RAG Status	Key Considerations:
<b>Secure Metro's financial future</b> (RAG status: Green)	G	Outline Business Cases and related documentation seeking to secure a long-term funding agreement for Metro have been submitted to DfT.
		Meetings with civil servants have been held in preparation for completing the required assurance procedure which includes Nexus' participation in a DfT led Office of Government Commerce (OGC) gateway review process by the end of the calendar year.
<b>Design a new Metro fleet</b> (RAG status: Green)	G	Transport Focus is undertaking market research with customers on the new design. Nexus is working with Newcastle University's 'Open Lab'. Wider consultation about key passenger facilities on the new fleet is also being carried out.
		Informal engagement with manufacturers of rolling stock and train operators is progressing and intelligence gathered from this informal market sounding will help shape any future proposals.
<b>Restructuring of Metro operations</b> (RAG status: Green)	G	With regard to the transition from DBTW operation to Nexus, effective from 1 April 2017, progress on the agreed workstreams is being periodically reported to Nexus' Corporate Management Team and its Transition Assurance Committee which draws on external members with appropriate expertise. The Tyne & Wear Sub-Committee is also periodically updated.
<b>Influence Network Rail's planning process</b> (RAG status: Green)	G	A small working group of officers from across the North is assisting the Rail North Investment Manager to develop a bid for ring fenced funding for Network Rail's Control Period 6 (2019 to 2024).
		Nexus is working directly with Network Rail, through the East Coast Main Line Network Working Group, to influence the draft Initial Industry Advice (IIA) statement to take account of Northern Powerhouse Rail (NPR) options for the East Coast mainline and move towards the railway being HS2 / NPR ready. The IIA sub group met on the 7th September and agreed a working remit.

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		Transport for the North (TfN) is preparing an Integrated Rail Report (IRR) as part of the Regional Transport Strategy. The IRR is to set out the longer term regional rail infrastructure priorities and programmes taking as its base the existing Rail North Long Term Rail Strategy and develop this alongside NPR priorities.
<b>Develop a new local rail strategy</b> (RAG status: Green)	<b>G</b>	Nexus is scoping the development of a strategic business case for Metro and Local Rail extensions and enhancements. This will involve discussions with officers from across the whole of the NECA area to develop a long-list of options which align with the strategy, local plans, and housing & employment sites.
<b>Plan next step for buses</b> (RAG status: Green)	<b>G</b>	The options scoping study requested by the Leadership Board at its meeting on 24 March 2016 is being progressed with detailed feedback from the NECA partners being incorporated. An update on progress of the Bus Services Bill will be presented to TNEC at its meeting on 3 November, along with an update on progress and implications in the NECA area.
		Options that relate to partnerships require the involvement of commercial bus operators in the NECA area. The large operators across the NECA area have recently been invited to commence dialogue on partnership options.
		A plan and programme to deliver the next phase of work is being developed with Northumberland and Durham. Research into bus passenger priorities in the NECA area commenced during September.
<b>Review of Nexus' services</b> (RAG status: Green)	<b>G</b>	The initial public consultation exercise ended and the outcomes have been reported to TWSC. Budget scenarios are now being developed with a view to developing service delivery options and proposals for further consideration.
<b>Develop business cases to improve transport assets</b> (RAG status: Green)	<b>G</b>	Work continues on developing the business cases for improvement schemes, as well as for Rolling Stock and Essential Renewals.
		A pipeline of schemes is under development for future funding opportunities.

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<b>Theme 3: Be an Effective Delivery Agent for the NECA</b>		
<b>Workstream:</b>	<b>RAG Status</b>	<b>Key Considerations:</b>
<b>Develop training and succession planning (RAG status: Green)</b>	<b>G</b>	Succession planning information, including identification of training needs, has been gathered. Analysis of the requirements will be completed by end-November. Arrangements for the planning and delivery of training will then be reviewed.
<b>Focus on health, safety and the environment (RAG status: Green)</b>	<b>G</b>	A programme of 'Influencing for Safety' sessions, to be delivered throughout Nexus Rail, is now underway. These sessions focus on the positive impact that supervisors and junior managers can and do have on day-to-day health and safety performance:
		Strong emphasis continues to be placed on the need to improve the reporting of low-level hazards (as part of the corporate "Close Call" initiative); this has been supported by a poster publicity campaign.
		A RIDDOR reportable accident in P5, the third this year, means that Nexus Rail's Accident Frequency Rate (AFR) remains high, with an adverse trend over the last 13 periods. A direct follow-up to RIDDOR accidents, to take every opportunity to learn from these and prevent recurrence, is being applied.
<b>Exercise due diligence in managing capital and revenue resources (RAG status: Green)</b>	<b>G</b>	As at 17 September a surplus of £0.205m is forecast against the 2016/17 budget deficit of £0.293m. This demonstrates a positive variation of £0.498m against the current year budget.
		The finance department is working closely with budget managers to identify further savings as part of the on-going Review of Nexus Services.
		Over 90% of this year's capital programme relates to the Metro ARP which is subject to separate independent scrutiny by DfT. As described earlier, expenditure targets are currently forecast to be met.





# have **your** **say**

Results of consultation on local transport  
services in Tyne and Wear

September 2016

# Have Your Say: Review of Transport Services

## Consultation feedback report

### Executive Summary

1. Nexus has undertaken a public consultation into the relative importance that its customers place on the various services that it provides. It is anticipated that Nexus may have to reduce its spending over the next few years and the outcomes of the consultation outlined in this report will be used, alongside other sources of information, including levels of subsidy, the numbers of people who use our services, accessibility, equality implications, legislative requirements and unintended consequences to inform proposals.
2. These criteria will be used to make a recommendation to the North East Combined Authority (NECA) in November 2016 in order to ensure that Nexus can balance its budget no later than 2019/20. A further round of public consultation will help inform this.
3. 1,697 responses were received to the consultation, and the responses were broadly representative, by age and gender, of the population.
4. Overall, bus services, group travel and ferry services were prioritised as being most important, with public transport information second. The following table shows how Nexus services were prioritised:-

Priority	
1	Bus services, Group travel and Ferry Services
2	Public Transport Information
3	Local Voluntary Concessions
4	Bus Waiting Facilities
5	Major Projects

### Introduction

5. In the three years to 2014/15, and despite making a number of efficiency savings, Nexus used £4.1m of its financial reserves in order to balance its budget. Although no reserve funding was expended in 2015/16, Nexus' medium term financial forecast indicates that in future, the amount of money it will need to spend will exceed the income that it receives from the NECA, Central Government and through farebox income, and that this is likely to require a reduction in the services it provides.

6. Therefore Nexus wished to seek its customers' and stakeholders' views on which of the non-statutory services it provides, are the most important.
7. To this end, a public consultation was held between 6<sup>th</sup> June and 1<sup>st</sup> August 2016. This report presents the outcomes of this consultation and the next steps.

## **Methodology**

8. The questionnaire, presented as phase one of a two phase process, focused on asking customers and stakeholders to prioritise the services Nexus offer by order of importance. Respondents were asked to rank service categories by order of importance to them, and then also rank the various service elements under each category by importance. A copy of the questionnaire can be seen at appendix 1.
9. The questionnaire could be completed in a variety of ways:
  - a. Online via [nexus.org.uk/consultation](https://nexus.org.uk/consultation)
  - b. Paper copy available via libraries, travel shops and on request
10. Paper copies were also provided to local councillors and at events attended by Nexus Customer Relations Officers (CROs).
11. 1,035 surveys were completed on line and 150 paper copies received by Nexus. In addition 512 surveys were completed on street, 100 in each of the Tyne and Wear districts. Briefing sessions were held with elected members in Newcastle, North Tyneside, South Tyneside and Sunderland and a formal response was received from Gateshead Council. Sessions were also held with a number of stakeholder groups across Tyne and Wear including transport forums, residents' associations, community and special interest groups.
12. Large print, easy read and audio and braille versions of the questionnaire were made available on request and the large print and easy read versions of the questionnaire were made available on [nexus.org.uk/consultation](https://nexus.org.uk/consultation)
13. The consultation was promoted via [nexus.org.uk](https://nexus.org.uk), local authority and NECA websites and through the local media and Council printed publications.
14. In total 1,697 responses to the questionnaire were received, a further 72 surveys were returned either blank or incomplete. This compares to the Transport Manifesto consultation, where the final total number of responses

received from across the whole of the NECA area was 1,736, of which 1,673 were online and 63 on paper and a recent similar exercise in West Yorkshire generated 617 responses while there were 1,089 responses to the Tyne and Wear Local Transport Plan 3 consultation in 2010.

## Sample

15. The following tables provide a breakdown of the sample by gender, age and residency:-

Gender	Sample	%	Population	%
Male	808	48%	787,889	49%
Female	878	52%	832,860	51%

Age	Sample	%	Population	%
16 – 24	163	11%	239,694	15%
25-34	274	19%	245,314	15%
35-59	555	38%	642,478	40%
60 or over	468	32%	493,263	30%

District	Sample	%	Population	%
Gateshead	392	26%	165,842	18%
Newcastle	442	28%	242,205	26%
North Tyneside	276	18%	166,556	18%
South Tyneside	189	13%	122,852	13%
Sunderland	216	14%	229,053	25%

16. In addition, 22 responses were also received from those under the age of 16. (Nexus do not actively seek responses from under 16's without parental permission).
17. And, 92 responses were received from Durham residents and 44 from Northumberland residents. 90 postcodes were not recognised
18. Two petitions were also received requesting additional services, one which was wholly in regard to commercial services and has been passed to the relevant operator. One written response was received from Gateshead Council, which was in line with responses received via the public consultation. One written response was received from Bus Users UK who identified that any proposed cuts would have negative implications, this is acknowledged and if service reductions are required, proposals would be accompanied by impact assessments to evaluate and mitigate any negative implications of decisions.

## Findings

### Overarching priorities

19. Overall findings show that the provision of bus services, group travel and ferry services are the most important to respondents, followed closely by the provision of public transport information. Major projects were considered the lowest priority.
20. There were minor variations in the responses when analysed by the profile of respondents; regular Metro users and those who used the bus infrequently or not at all placed slightly more importance on Major Projects than on Local Voluntary Concessions. This is unsurprising given this group has been more likely to see the impacts of investment in the Metro system and less likely to benefit from these concessions.
21. ENCTS pass holders placed slightly more importance on Local Voluntary Concessions, at the expense of public transport information. Again, this trend is unsurprising given this group is most likely to benefit from Concessions and likely to be heavy bus users, therefore placing less reliance on information to help them make their journey. Respondents from South Tyneside placed a lower level of importance on Local Voluntary Concessions than respondents from other Tyne and Wear Districts.

Priority	
1	Bus services, group travel and Ferry Services
2	Public Transport Information
3	Local Voluntary Concessions
4	Bus Waiting Facilities
5	Major Projects

### Bus and Ferry Services and Group travel

22. Across all profile characteristics, **providing links to where people work was considered to be the highest priority**, followed by journeys that could not otherwise be made by public transport. This was consistent across the profile groups, with the exception of younger people, those under 34, and respondents from Sunderland and South Tyneside, who showed a slight preference for links to Schools and Colleges.

Priority	
1	Links to where people work
2	Journeys which could not otherwise be made by Public Transport
3	Links to schools and colleges
4	Allowing people to take an active part in society

23. When asked about the importance of various aspects of bus services, responses showed a strong preference for high frequency services. Direct door to door services were seen as low priority. Minor exceptions to this came from the 16-24 year old group and those who never use Metro who prioritised direct door to door services over high frequency services.
24. Those aged 16-24 years seem less inclined to interchange than all other age groups, prioritising door to door services and placing low priority on links to other transport services, compared to other age groups. Additionally this group placed higher priority on quality than other ages.
25. People who use Metro regularly had slightly lower preference for services which are close to where they lived in favour of links to other public transport services.

Priority	
1	High Frequency Services
2	Services which are close to where I live
3	Links to other public transport services
4	High quality vehicles
5	Direct door to door services

## Public Transport Information

26. Across all profile groups, accessing information at bus stops was considered the highest priority and calling Nexus Customer Services the lowest, with TravelShops only slightly ahead of this. Online information was preferred over leaflets, TravelShops and Nexus Customer Services by all groups including ENCTS pass holders, those with disabilities and those aged over 60, groups whom it is often assumed preferred paper information or face-to-face interaction. There was a slight preference towards online information ([nexus.org.uk](http://nexus.org.uk)) over leaflets across the board, with the exception of those who never used Metro, who preferred leaflets and those aged 16-24 years who preferred to access information via tablets or smart phones.

Priority	
1	Information at bus stops
2	Information online at <a href="http://nexus.org.uk">nexus.org.uk</a>
3	Information on smart phones and/or tablets
4	Leaflets
5	Information at TravelShops
6	Calling Nexus Customer Services

27. When asked about information available on [nexus.org.uk](http://nexus.org.uk), the ticket finder facility was considered least important across all profile groups. The journey planner performed strongly across all categories, except 16-24 year olds who rated this element as least important, preferring service status updates. Service status updates also rated strongly for 25-34 year olds and frequent Metro users. Bus users and less frequent Metro users placed greater value on journey planning.
28. My Journey was most favoured, generally over service status, by those over 60, ENCTS pass holders, those with a disability and infrequent Metro users.

Priority	
1	Journey Planner
2	Service Status
3	My Journey
4	Ticket finder

### Local Voluntary Concessions

29. In terms of voluntary concessions, extension to the bus pass before 9.30am, the All Day disabled pass, Child fares and Gold Card all performed strongly in terms of their importance to respondents. The exceptions, as expected, were low priority for Gold Card for those who never used Metro and low priority for the before 9.30am extension for those who never used bus. There was strong support for the All Day disabled pass and Child fares across all profile groups.
30. Taxi card was a low priority across all profile groups, including Disabled users who valued the bus pass extension before 9.30, the All Day Disabled Pass, Gold Card, Companion Card and Child Fares more highly.

Priority	
1	Extension to the bus pass before 9.30am Monday to Friday
2	All day Disabled Pass
3	Child Fare 60p single ticket and £1.10 all day ticket
4	Metro Gold Card
5	Companion Card
6	50p single fare on Northern Rail services
7	Taxi card
8	Extension to the bus pass after 11pm Monday to Friday

### Bus Waiting Facilities

31. Across all respondents security, defined as staffing at interchanges where applicable, lighting and CCTV at bus shelters, was considered most important.

The provision of travel information also generally scored highly, in keeping with the previous question that valued information at bus stops. Exceptions to this were respondents aged 16-24, those who never used Metro and respondents from South Tyneside who all valued cleanliness over information provision. Staffing (where applicable) was the least valued, although this conflicts with responses to security and should be investigated further to understand passengers perceptions and values in these areas, for example is the lighting and CCTV element of security more important than a staff presence in influencing safety.

Priority	
1	Security e.g. staffing at interchanges where applicable and lighting and CCTV at bus shelters
2	Provision of travel information
3	Cleanliness
4	Staffing (where applicable)

## Major Projects

32. The lowest priority for respondents in terms of overall categories was investment in Major projects. However, where investment was made, public transport infrastructure investment was considered the priority across all profiles except 16-24 year olds and those who never used Metro, who felt the money should be reinvested in supporting services. Public transport infrastructure was defined as Metro Stations and Bus Station etc.
33. The lowest priority for investment was considered to be part funding investment in sustainable travel e.g. to encourage the take up of cycling and walking. This was consistent across all profile groups, except those respondents who never used Metro who valued this investment over investment in technology such as smarting ticketing and payment systems.
34. Respondents in Gateshead, Sunderland and South Tyneside were more likely than respondents in Newcastle and North Tyneside to support the redirection of money towards supporting services. Those aged 16-24 and over 60 were more supportive of redirecting money towards supporting services, than those in the 25-34 and 35-59 age categories, who preferred investment in technology.

Priority	
1	To part fund investment in public transport infrastructure e.g. Metro Stations, Bus Stations etc
2	To redirect into supporting services such as discretionary concessions, secured buses etc
3	To part fund investment in technology e.g. smart ticketing and payment systems
4	To part fund investment in sustainable travel e.g. to encourage the take up of cycling and walking

## Conclusions

35. The consultation received a strong response from the public and stakeholders in Tyne and Wear and also from neighbouring authorities in the NECA area. Despite some relatively minor discrepancies, overall priorities for service provision and investment of Nexus resources are largely consistent across respondents amongst the profile groups considered.
36. The area where the results may be considered ambiguous is within the area of bus waiting facilities and further work, through focus groups, should be undertaken to ascertain what security and staffing are valued by customers at bus interchanges and stops.

## Next Steps

37. The consultation conclusions will be used by Nexus as part of the criteria for identifying service reductions, should it be directed to do so by the NECA. The results will sit alongside other criteria, including levels of subsidy, the numbers of people who use our services, accessibility, equality implications, legislative requirements and unintended consequences to inform proposals.
38. In January 2017, the NECA will agree the level of the grant it will pay to Nexus in 2017/18 and also approve Nexus' budget for 2017/18. In order that Nexus is able to achieve a balanced budget, without having to place reliance on its financial reserves by no later than 2019/20, it may be necessary for the NECA to consider proposals for reductions in services. Budget proposals will be initially considered by this Sub-Committee at its 4 November 2016 meeting before being subject to a public consultation during November and early December 2016.
39. Future levels of service provision will be considered during the budget setting process and the implications for future years will be considered and addressed as appropriate.

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North East Combined Authority

Overview and Scrutiny Committee

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**DATE:** 1<sup>st</sup> November 2016

**SUBJECT** North East LEP Local Growth Fund Programme

**REPORT OF :** Chief Operating Officer, North East LEP / Interim Head of Paid Service, NECA

## **1.0 EXECUTIVE SUMMARY**

1.1 The North East LEP Local Growth Fund programme is mid-way through its second year of operation. The programme comprises of 56 projects which are at different stages of development and sign off, amounting to £220.4m up to 2021. The projects within the programme are listed in appendix 1.

## **1.2 RECOMMENDATION**

The Overview and Scrutiny Committee is asked to note the content and progress to date in the delivery of the North East LEP LGF programme.

## 2.0 Background

### 2.1 North East Growth Deal Local Growth Fund 2015 -

The North East LEP has been awarded £220.4m local growth funding for the period 2015 – 2021 for 56 projects, which are listed in appendix 1. The LEP has a fund management role with NECA as the accountable body. The LEP and NECA rely on external project sponsors to deliver the portfolio of projects.

The funding is profiled as follows:

2015/16 = £53.91m

2016/17 = £79.4m

2017/18 = £31.82m

2018/19 = £24.07m

2019/20 = £16.65m

2020/21 = £14.55m

Total £220.4m

### 2.2 Programme outputs

There are no conditions attached to the grant offer from Government, although there is an indicative expectation that the funding will create 4,500 jobs.

The projects are at various stages of development from outline business case to full grant offer letter being awarded and in delivery. Each project's status is shown in appendix one.

The anticipated high level programme outputs are set out in the table below:

<b>KPI</b>	<b>Total</b>
Jobs Created	<b>6,283</b>
Commercial Floorspace Construction (m2)	<b>233,935</b>
Follow-on Investment at Site (£)	<b>255,178,000</b>
Total Length of Newly Built Roads (m)	<b>5,908</b>
Learning space refurbished (m2)	<b>7,508</b>

Each project sponsor must submit a quarterly monitoring return to the North East LEP which records progress in meeting delivery and outputs. The information is reported to Government on a quarterly basis.

## 2.3 **Governance**

Government determined the initial projects to be funded and the North East LEP Board oversees the delivery and determines new projects into the programme, when funding becomes available. This occurs either in the case of projects not progressing, projects under spending on the allocated budget or in order to manage the programme more effectively through over-programming. All funding decisions are referred to the North East Combined Authority in the capacity of accountable body.

## 2.4 **Assurance Framework**

The North East Assurance Framework sets out the process and governance model. This Framework has been audited by Government to ensure it is fit for purpose. There have also been two audits on the programme, one carried out by NECA and one by Government. No substantive issues were raised.

3.0 **Potential Impact on Objectives.** There is no impact on NECA's objectives.

4.0 **Finance and Other Resources.** The North East LEP LGF Programme continues to be managed within the budget set by NECA for 2016/17 and the assurance framework for the LGF programme and close.

5.0 **Legal.** All new projects are appraised prior to contract in relation to compliance with relevant legislation including procurement regulations and European regulations in regards to State aid.

## 6.0 **Other Considerations**

6.1 **Consultation/Community Engagement** – All projects are required to undertake appropriate consultation

6.2 **Human Rights** There are no specific human rights implications arising from this report.

6.3 **Equalities and Diversity** There are no specific equalities and diversity implications arising from this report

6.4 **8.4 Risk Management** The report highlights lifetime programme budget risks associated with the current over-programme. This risk is under regular review as part of the North East LEP Assurance Framework.

6.5 **Crime and Disorder** There are no specific crime and disorder implications arising from this report

6.6 **Environment and Sustainability** There are no specific environment and sustainability implications arising from this report.

7.0 **Background Documents.** Funding progress reports to the North East LEP Board in May and September 2016.

8.0 **Contact Officer. Ray Browning Programme Manager**  
[ray.browning@nelep.co.uk](mailto:ray.browning@nelep.co.uk)

9.0 **Sign off**

Head of Paid Service ✓

Monitoring Officer ✓

Chief Finance Officer ✓

10.0

**Appendices**

Appendix 1 – Local Growth Fund Programme Budget Breakdown

Appendix One - LGF Budget Summary

Local Authority	Project Title	SEP Theme	Project Status	Actual Spend	LGF Current Allocation (£,000s)				Total
				2015-16	16-17 April Forecast	16-17 Latest forecast	Change Apr-current	Future Years	
County Durham	Infrastructure for Forrest Park	Economic Assets	Approved	6,205	3,785	4,700	915	2,095	13,000
LEP-wide	North East Rural Growth Network	Economic Assets	Approved	1,582	2,506	2,506	0	2,112	6,200
North Tyneside	Swans Wet Berth Infilling	Economic Assets	Approved	163	3,000	1,000	(2,000)	6,837	8,000
Sunderland	Sunderland Central Business District	Economic Assets	Approved	1,076	9,208	5,100	(4,108)	4,624	10,800
Newcastle upon Tyne	River Tyne Economic Development	Economic Assets	Approved	1,599	2,231	2,231	0	2,170	6,000
Northumberland	East Sleekburn Site Reclamation & Dock Works	Economic Assets	Approved		4,441	4,441	0	-	4,441
County Durham	Explorer - NetPark	Economic Assets	Business case		3,200	2,558	(642)	642	3,200
County Durham	Auckland Castle Welcome	Economic Assets	Approved		864	2,020	1,156	144	2,164
North Tyneside	Phase II, Intersect 19, Tyne Tunnel Trading Estate	Economic Assets	Approved		746	680	(66)	679	1,359
South Tyneside	Monkton South	Economic Assets	Business case		372	253	(119)	1,018	1,271
County Durham	Durham City Incubator	Economic Assets	Pipeline		1,250	1,250	0	-	1,250
County Durham	Centre for Innovation in Formulation	Innovation	Approved	820	4,647	3,855	(792)	4,225	8,900
Newcastle upon Tyne	Newcastle Laboratory and Life Science Incubation Hub	Innovation	Approved	560	4,285	1,900	(2,385)	6,140	8,600
Sunderland	Sunderland Enterprise & Innovation Hub	Innovation	Approved	2,151	2,749	2,749	0	-	4,900
Newcastle upon Tyne	Low Carbon Energy Centre and Heat Network Newcastle Science Centre	Innovation	Approved	406	384	384	0	2,010	2,800
County Durham	Netpark Infrastructure Phase 3	Innovation	Approved	35	2,385	1,357	(1,028)	3,788	5,180
County Durham	National Centre for Healthcare Photonics - Stage 2	Innovation	Pipeline	-	-	-	0	8,160	8,160
County Durham	National Centre for Healthcare Photonics - Stage 1	Innovation	Approved		340	340	0	-	340
Gateshead	Northern Centre for Emerging Technologies	Innovation	Pipeline		2,000	969	(1,031)	-	969
County Durham	Medicine Manufacturing & Medical Technology Innovation Infrastructure	Innovation	Pipeline		250	250	0	-	250
Newcastle upon Tyne	Smart @ Pendower Hall	Innovation	Business case		761	761	0	410	1,171
North Tyneside	STEM and Innovation Centre (Tyne Met College)	Skills	Approved	1,000	-	-	0	-	1,000
South Tyneside	Facilities for Marine and Offshore Engineering	Skills	Approved	1,118	-	-	0	-	1,118
County Durham	Rural Skills Development (East College Durham)	Skills	Approved	7,500	2,500	2,500	0	-	10,000
Northumberland	Offshore and Wind Energy Training Facility (BEACH)	Skills	Approved	400	-	-	0	-	400
Northumberland	Development of a STEM Specialist Skills Centre (Northumberland College)	Skills	Approved	78	2,130	2,130	0	42	2,250
Sunderland	Beacon of Light - World of Work	Skills	Approved		1,790	1,793	3	-	1,793
Newcastle upon Tyne	Eagles Community Arena	Skills	Approved		1,100	486	(614)	614	1,100
South Tyneside	Lindisfarne Roundabout	Transport	Approved	798	2,509	2,552	43	2,800	6,150
Newcastle upon Tyne	Central Metro Refurbishment	Transport	Approved	2,510	-	-	0	-	2,510
Newcastle upon Tyne	Northern Access Corridor Phase 3 - Osborne Road to Haddrick's Mill - Stage2	Transport	Business case	-	3,780	2,154	(1,626)	1,640	3,794
Newcastle upon Tyne	Northern Access Corridor Phase 3 - Osborne Road to Haddrick's Mill - Stage 1	Transport	Approved	289	361	346	(15)	-	635
LEP-wide	Local Sustainable Transport Fund Package**	Transport	Approved	2,678	4,822	3,822	(1,000)	1,000	7,500
North Tyneside	A19 employment corridor access improvements (North Tyne)	Transport	Approved	186	1,750	1,750	0	2,764	4,700
Newcastle upon Tyne	A191 junctions including Coach Lane and Tyne View Park	Transport	Approved	167	1,333	1,333	0	-	1,500
Newcastle upon Tyne	Newcastle Central Station to Stephenson Quarter - Stage 2	Transport	Pipeline	-	2,810		(2,810)	5,150	5,150
Newcastle upon Tyne	Newcastle Central Station to Stephenson Quarter - Stage 1	Transport	Approved	660	190	190	0	-	850
North Tyneside	A1056-A189 Weetslade roundabout improvements and A1-A19 link (A1056)	Transport	Approved	439	3,891	3,031	(860)	860	4,330
Newcastle upon Tyne	Scotswood Bridgehead - Stage 2	Transport	Business case	-	2,160	2,161	1	1,039	3,200
Newcastle upon Tyne	Scotswood Bridgehead - Stage 1	Transport	Approved	361	139	139	0	-	500
South Tyneside	Six Majors - South Shields Transport Hub - Stage 2	Transport	Business case		-	-	0	7,800	7,800
South Tyneside	Six Majors - South Shields Transport Hub - Stage 1	Transport	Approved	727	873	873	0	-	1,600
Sunderland	Six Majors - Sunderland Low Carbon Zone	Transport	Approved	1,367	4,583	4,583	0	-	5,950
North Tyneside	Six Majors - A1058 Coast Road	Transport	Approved	1,188	4,129	3,730	(399)	1,605	6,523
Gateshead	Six Majors - A167 Park and Ride corridor	Transport	Pipeline	-	500	-	(500)	4,999	4,999
Newcastle upon Tyne	Six Majors - Northern Access Corridor Phase 2 (Cowgate to Osborne Rd) Stage 1	Transport	Business case	-	478	410	(68)	-	410
Newcastle upon Tyne	Six Majors - Northern Access Corridor Phase 2 (Cowgate to Osborne Rd) Stage 2	Transport	Approved	3,612	-	68	68	-	3,680
County Durham	Six Majors - Horden Rail Station	Transport	Pipeline	-	750	750	0	2,590	3,340
South Tyneside	Traffic movements along A185/A194/A19 (The Arches)	Transport	Pipeline	-	720	720	0	4,490	5,210
County Durham	Western Relief Road, Durham City	Transport	Pipeline	-	-	-	0	6,300	6,300
South Tyneside	Metro Enhancements	Transport	Pipeline	-	-	-	0	7,000	7,000
South Tyneside	Southern Portal Tyne Tunnel	Transport	Pipeline	-	-	-	0	3,550	3,550
North Tyneside	A19 North Bank Tyne (Swans)	Transport	Pipeline	-	300	300	0	4,400	4,700
County Durham	A1-A690 junction	Transport	Pipeline	-	-	-	0	1,500	1,500
County Durham	A19/A189 Seaham Murton interchange	Transport	Pipeline	-	-	-	0	4,200	4,200
Northumberland	Blyth Cowpen Road	Transport	Approved		600	600	0	-	600
	EZ Project 2015-16 contributions	Economic Assets	Approved	10,729	-	-	0	-	10,729
	Programme Management	Prog Mgmt	Approved	1,068	1,985	1,300	(685)	3,024	5,392
<b>Totals</b>				<b>51,472</b>	<b>95,587</b>	<b>77,025</b>	<b>(18,562)</b>	<b>112,421</b>	<b>230,189</b>

Lifetime Budget	220,397
Potential overcommitment	-9,792

## North East Combined Authority

### Overview and Scrutiny Committee

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**Date:** 1<sup>st</sup> November 2016

**Subject:** Forward Plan & Scrutiny Work Programme

**Report of:** Monitoring Officer

#### **Executive summary**

The purpose of this report is to provide Members with an opportunity to consider the items on the Forward Plan for the current 28 day period and to endorse the Scrutiny Annual Work Programme for 2016/17.

#### **Recommendations**

It is recommended that the Overview and Scrutiny Committee considers the Forward Plan in relation to the development of the Committee's Work Programme and endorses the draft Work Programme for 2016/17.

# North East Combined Authority

## Overview and Scrutiny Committee

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### **1. Background Information**

- 1.1 The Forward Plan is a document which lists the decisions that the North East Combined Authority committees intend to take in the coming months. The Forward Plan contains specific information relating to each decision, including the date the decision will be made, a brief explanation of the topic, the consultation to be undertaken, and contact details of the author.
- 1.2 Details of each decision are usually included on the Forward Plan 28 days before the report is considered and any decision is taken.

### **2. Role of Overview and Scrutiny**

- 2.1 One of the main functions of this Committee is the review and scrutiny of decisions made by the North East Leadership Board (NELB), the Transport North East Committee (TNEC), Transport North East (Tyne and Wear) Sub-Committee (TWSC) and Nexus. Durham County Council (DCC) and Northumberland County Council (NCC) are also subject to overview and scrutiny in relation to transport functions delegated to them, as is the North East Local Enterprise Partnership (NELEP) to the extent of the NECA's role as its accountable body. One of the ways this can be achieved is by considering the forthcoming decisions of those various decision making bodies
- 2.2 In considering items in the Forward Plan, the Scrutiny Committee should determine whether scrutiny can add value in relation to the decision being made.
- 2.3 To this end, the current Forward Plan is attached marked Appendix 1.

### **3. Annual Work Programme**

- 3.1 The Scrutiny Committee's draft Annual Work Programme is attached as Appendix 2.
- 3.2 The work programme has been compiled to allow the Scrutiny Committee to have an overview of all performance, decision-taking and developments within the NECA, as well as being focused and flexible to allow for new issues and recognising the capacity of the scrutiny committee to respond in a timely way to emerging developments throughout the year.

# North East Combined Authority

## Overview and Scrutiny Committee

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3.3 The NECA Scrutiny Committee obtains work programme items from the following sources:

- a) Items submitted by Members of the Committee (and including items referred by other members of the combined authority)
- b) The Budget and Policy Framework
- c) The Forward Plan
- d) The three Thematic Leads
- e) Evidence for any policy review work

3.4 The Scrutiny Committee had previously included devolution updates in its work programme. Members will be aware that discussions regarding North East devolution are on hold following a Government announcement on 8 September 2016 that the region's deal has been withdrawn.

Members of the Leadership Board had reached a majority decision on 6 September 2016 not to begin the next stage in the process at this present time. This was because they had been unable to reach an agreement on the terms under which they were being asked to proceed.

Although current devolution talks have been brought to an end, work in a number of areas will continue, including the work of the Health and Social Care Commission and projects relating to Transport, Employability and Inclusion and Economic Development and Regeneration and issues relating to these topics will continue to be included in the Scrutiny Work Programme.

### **4. Policy Review – Transport Related Barriers to Employment**

4.1 The Scrutiny Committee is continuing to gather evidence for a policy review of transport related barriers to education, employment and training. The review will contribute to the North East Transport Plan which in turn will contribute to the delivery of “More and Better Jobs”, the Strategic Economic Plan.

### **5. Next Steps**

5.1 In considering the Forward Plan, Members are asked to consider those issues where the Scrutiny Committee could make a contribution and add value.

5.2 If the Scrutiny Committee determines to review or scrutinise a decision notified in the Forward Plan, a meeting of the Committee will be arranged to allow scrutiny members to carry out their role in a timely way.

5.3 The draft work programme will be refreshed and updated at each meeting of the scrutiny committee throughout the year.

### **6. Potential Impact on Objectives**

# North East Combined Authority

## Overview and Scrutiny Committee

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6.1 Development of a work programme and review and scrutiny of decisions in the Forward Plan will contribute towards the development and implementation of the policy framework of the NECA, Nexus and NELEP as well as providing appropriate challenge to decisions taken.

### **7. Finance and Other Resources**

7.1 No financial or other resource implications are identified at this stage. The financial impact of any proposals or recommendations should be taken into account and any significant implications should be reflected in any considerations and comments made by the Scrutiny Committee.

### **8. Legal**

8.1 There are no specific legal implications arising from these recommendations.

### **9. Other Considerations**

#### **9.1 Consultation/Community Engagement**

Not applicable

#### **9.2 Human Rights**

There are no specific human rights implications arising from this report.

#### **9.3 Equalities and Diversity**

Not applicable

#### **9.4 Risk Management**

Not applicable

#### **9.5 Crime and Disorder**

Not applicable

#### **9.6 Environment and Sustainability**

Not applicable

### **10. Background Documents**

10.1 None

### **11. Links to the Local Transport Plans**

# North East Combined Authority

## Overview and Scrutiny Committee

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11.1 None

### 12. Appendices

12.1 Forward Plan - Appendix 1  
Work Programme - Appendix 2

### 13. Contact Officers

13.1 Karen Brown, Scrutiny Officer, karen.brown@sunderland.gov.uk

#### Sign off

- Head of Paid Service ✓
- Monitoring Officer ✓
- Chief Finance Officer ✓

### 14. Glossary

Forward Plan – list of decisions to be taken in the next 28 days  
Work Programme – schedule of reports to be taken over the year

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## **Forward Plan of Decisions**

**Published 17 October 2016 \***

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The Forward Plan for the North East Combined Authority (NECA) is prepared and published by the Monitoring Officer for the purpose of giving the 28 days' notice of decisions that are planned to be taken by the NECA, its committees or a Chief Officer, which impact on the key areas of the NECA, namely Transport, Economic Development, Regeneration, Skills and Inclusion.

Unless otherwise indicated, if you require any further information or wish to make representations about any of the matters contained in the Forward Plan, please contact the appropriate officer as detailed against each entry at least 7 days before the meeting.

**\*The most recent entries are referred to as "NEW". Updated entries are referred to as "Updated".**

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
<b>A. North East Leadership Board (Leadership Board)</b>							
LB 2 (a) <b>Updated</b>	15 November 2016	Leadership Board	<p>Update reports on the following thematic lead areas:</p> <p>a) Economic Development and Regeneration (EDR)</p> <p>Members are invited to receive an update on, and provide views in relation to, progress within the key areas of the Economic Development and Regeneration theme. The update will include progress on the development of the regional Inward Investment function and Regional Investment Plan;</p> <p>b) Employability and Inclusion; and</p>	All	<p>a) EDR: NECA Elected Members and officers; NELEP Members and officers</p> <p>b) To be confirmed</p> <p>c) To be confirmed</p>	<p>a) EDR: North East Strategic Economic Plan – More and Better Jobs</p> <p>b) Held by the Contact Officer</p> <p>c) Held by the Contact Officer</p>	<p>a) Sara Dunlop, Business, Employment and Skills Manager 0191 424 6257 <a href="mailto:sara.dunlop@southtyneside.gov.uk">sara.dunlop@southtyneside.gov.uk</a></p> <p>b) Janice Rose, Economic and Inclusion Policy Manager, Northumberland County Council 01670 624747, <a href="mailto:janice.rose@northumberland.gov.uk">janice.rose@northumberland.gov.uk</a></p> <p>c) Mark Wilson, Head of Transport Policy 0191 211 5679, <a href="mailto:mark.wilson@newcastle.gov.uk">mark.wilson@newcastle.gov.uk</a></p>

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
			c) Transport (and Digital Connectivity)				
LB 2 (b)	15 November 2016 and any future meeting	Leadership Board	<p>Project Approvals</p> <p>This is a standing item, which will include the approval of any funding or contractual arrangement that may be necessary from NECA for projects to proceed. This includes projects where funding is allocated directly to NECA or projects where funding is approved by the NELEP Board, where the approval may also be necessary from NECA in its role as accountable body.</p> <p>The report may include information about projects approved under delegated arrangements in between formal</p>	All/any	Any, where necessary.	Held by the Contact Officer	<p>Paul Woods Chief Finance Officer North East Combined Authority 07446936840 <a href="mailto:paul.woods@north-eastca.gov.uk">paul.woods@north-eastca.gov.uk</a></p>

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
			meetings, to enable projects to proceed in a timely fashion to achieve the outcomes of the Strategic Economic Plan.				
LB 2 (c)	15 November 2016	Leadership Board	Draft Budget 2017/18 and Transport Levies  Agree that the draft proposals set out in the report form the basis of consultation about the NECA 2017/18 Budget.	Corporate	As required	Held by the Contact Officer	Paul Woods, Chief Finance Officer, 07446936840, <a href="mailto:paul.woods@north-eastca.gov.uk">paul.woods@north-eastca.gov.uk</a>
LB 2 (d)	15 November 2016	Leadership Board	Treasury Management Mid-Year Review  Leadership Board is asked to agree the revised investment criteria and limits and the updated 2016/17 prudential indicators set out in the report.	Corporate	As required	Held by the Contact Officer	Eleanor Goodman, Principal Accountant, North East Combined Authority, 0191 277 7518, <a href="mailto:eleanor.goodman@newcastle.gov.uk">eleanor.goodman@newcastle.gov.uk</a>
LB 2 (e) <b>Updated</b>	15 November	Leadership Board	Information Report on the Membership of the Overview and Scrutiny	Corporate	As required	Minutes of the Annual Meeting held	Vivienne Geary Monitoring Officer

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
	2016		Committee – Appointment of Substitute Members Report for information.			on 21 June 2016	(0191) 6435466 <a href="mailto:Viv.geary@northtynside.gov.uk">Viv.geary@northtynside.gov.uk</a>
LB 2 (f)  <b>NEW</b>	15 November 2016	Leadership Board	North East Enterprise Zone Update To note EZ round 2 progress and requirements by LAs to meet the statutory requirements  <b>Confidential – commercially sensitive</b>  The report will be exempt from the publication by virtue of paragraph 3 of Part 1 of Schedule 12A of The Local Government Act 1972.	Economic Development and Regeneration	The development of the Enterprise Zone sites has been taken forward over the prior eighteen months and alongside performance information on current sites has including updates to Economic Directors, Financial Directors, Chief Executives and the North East LEP Board.	Enterprise Zone performance report  Draft Memorandum of Understanding	Helen Golightly Interim Head of Paid Officer/Chief Operating Officer 0191 338 7420 <a href="mailto:Helen.golightly@nelop.co.uk">Helen.golightly@nelop.co.uk</a>
LB 3 (a) <b>Updated</b>	17 January 2017	Leadership Board	Update reports on the following thematic lead areas:	All	a) EDR: NECA Elected Members and	a) EDR: North East Strategic Economic Plan	a) Sara Dunlop, Business, Employment and

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
			<p>a) Economic Development and Regeneration;</p> <p>b) Employability and Inclusion; and</p> <p>c) Transport (and Digital Connectivity)</p>		<p>officers; NELEP Members and officers</p> <p>b) To be confirmed</p> <p>c) To be confirmed</p>	<p>– More and Better Jobs</p> <p>b) Held by the Contact Officer</p> <p>c) Held by the Contact Officer</p>	<p>Skills Manager 0191 424 6257 <a href="mailto:sara.dunlop@southtyneside.gov.uk">sara.dunlop@southtyneside.gov.uk</a></p> <p>b) Janice Rose, Economic and Inclusion Policy Manager, Northumberland County Council 01670 624747, <a href="mailto:janice.rose@northumberland.gov.uk">janice.rose@northumberland.gov.uk</a></p> <p>c) Mark Wilson, Head of Transport Policy 0191 211 5679, <a href="mailto:mark.wilson@newcastle.gov.uk">mark.wilson@newcastle.gov.uk</a></p>
LB 3 (b)	17 January 2017 and any future meeting	Leadership Board	<p>Project Approvals</p> <p>This is a standing item, which will include the approval of any funding or contractual arrangement that may be necessary from NECA for projects to</p>	All/any	Any, where necessary.	Held by the Contact Officer	<p>Paul Woods Chief Finance Officer North East Combined Authority 07446936840 <a href="mailto:paul.woods@north-eastca.gov.uk">paul.woods@north-eastca.gov.uk</a></p>

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
			<p>proceed. This includes projects where funding is allocated directly to NECA or projects where funding is approved by the NELEP Board, where the approval may also be necessary from NECA in its role as accountable body.</p> <p>The report may include information about projects approved under delegated arrangements in between formal meetings, to enable projects to proceed in a timely fashion to achieve the outcomes of the Strategic Economic Plan.</p>				
LB 3 (c)	17 January 2017	Leadership Board	Budget 2017/18 and Transport Levies	Corporate issue	The budget for 2017/18 will be subject to consultation.	Reports to Leadership Board and other committees of	Paul Woods Chief Finance Officer North East Combined Authority 07446936840

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
						the Combined Authority.	<a href="mailto:paul.woods@north-eastca.gov.uk">paul.woods@north-eastca.gov.uk</a>
LB 4 (a) <b>Updated</b>	21 March 2017	Leadership Board	Update reports on the following thematic lead areas:  a) Economic Development and Regeneration;  b) Employability and Inclusion; and  c) Transport (and Digital Connectivity)	All	a) EDR: NECA Elected Members and officers; NELEP Members and officers  b) To be confirmed  c) To be confirmed	a) EDR: North East Strategic Economic Plan – More and Better Jobs  b) Held by the Contact Officer  c) Held by the Contact Officer	a) Sara Dunlop, Business, Employment and Skills Manager 0191 424 6257 <a href="mailto:sara.dunlop@south-tyneside.gov.uk">sara.dunlop@south-tyneside.gov.uk</a>  b) Janice Rose, Economic and Inclusion Policy Manager, Northumberland County Council 01670 624747, <a href="mailto:janice.rose@northumberland.gov.uk">janice.rose@northumberland.gov.uk</a>  c) Mark Wilson, Head of Transport Policy 0191 211 5679, <a href="mailto:mark.wilson@newcastle.gov.uk">mark.wilson@newcastle.gov.uk</a>
LB 4 (b)	21 March 2017 and	Leadership Board	Project Approvals	All/any	Any, where necessary.	Held by the Contact Officer	Paul Woods Chief Finance Officer North East

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
	any future meeting		<p>This is a standing item, which will include the approval of any funding or contractual arrangement that may be necessary from NECA for projects to proceed. This includes projects where funding is allocated directly to NECA or projects where funding is approved by the NELEP Board, where the approval may also be necessary from NECA in its role as accountable body.</p> <p>The report may include information about projects approved under delegated arrangements in between formal meetings, to enable projects to proceed in a timely fashion to achieve the outcomes</p>				<p>Combined Authority 07446936840 <a href="mailto:paul.woods@north-eastca.gov.uk">paul.woods@north-eastca.gov.uk</a></p>

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
			of the Strategic Economic Plan.				
<b>B. Transport North East Committee (TNEC)</b>							
TN 1 (a)	3 November 2016	TNEC	Devolution Update	Corporate matter	The consultation process will involve all constituent local authorities	Held by the Contact Officer	Head of Paid Service
TN 1 (b)	3 November 2016	TNEC	Transport Vision for the North East (Transport Manifesto for the North East) Report for approval.	Transport	To be confirmed	Held by the contact officer.	Mark Wilson, Head of Transport Policy 0191 211 5679, <a href="mailto:mark.wilson@newcastle.gov.uk">mark.wilson@newcastle.gov.uk</a>
TN 1 (c)	3 November 2016	TNEC	Transport for the North – an update on the programme to improve the transport network in Northern England. Report for information.	Transport	To be confirmed	The Northern Powerhouse: One Agenda, One Economy, One North: document published at <a href="https://www.gov.uk/government/publications/northern-">https://www.gov.uk/government/publications/northern-</a>	Mark Wilson, Head of Transport Policy 0191 211 5679, <a href="mailto:mark.wilson@newcastle.gov.uk">mark.wilson@newcastle.gov.uk</a>

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
						<u>transport-strategy</u>	
TN 1 (d)	3 November 2016	TNEC	<p>Capital Programme Monitoring Report</p> <p>This report provides the Transport North East Committee with a progress update in relation to delivery of the transport related capital programme. This is a requirement of the NECA constitution and is a function delegated to TNEC.</p>	Corporate issue	Consultation on the capital programme with Treasurers and Chief Executives, and capital programme agreed by Leaders in April.	NECA Budget and Capital Programme	Eleanor Goodman, Principal Accountant, 0191 277 7518, <a href="mailto:eleanor.goodman@newcastle.gov.uk">eleanor.goodman@newcastle.gov.uk</a>
TN 1 (e)	3 November 2016	TNEC	<p>Revenue Budget Monitoring Report</p> <p>This report provides the Transport North East Committee with a progress update in relation to how the transport related budgets for the delivery agencies are</p>	Corporate issue	Consultation on the budget with Treasurers and Chief Executives, and budget agreed by Leaders.	NECA Budget and Capital Programme	Eleanor Goodman, Principal Accountant, 0191 277 7518, <a href="mailto:eleanor.goodman@newcastle.gov.uk">eleanor.goodman@newcastle.gov.uk</a>

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
			being managed. This is a requirement of the NECA constitution and is a function delegated to TNEC.				
TN 1 (f)	3 November 2016 and any relevant future meeting	TNEC	<p>Transport Project Approvals (report for approval).</p> <p>This is a standing item, which will include the approval of any funding or contractual arrangement that may be necessary from NECA for projects to proceed. This involves projects where funding has previously been approved by the Local Transport Body and where the approval may also be necessary from TNEC, on behalf of NECA in its role as accountable body.</p>	Transport	To be confirmed	Held by the Contact Officer	<p>Paul Woods, Chief Finance Officer North East Combined Authority 07446936840, <a href="mailto:paul.woods@north-eastca.gov.uk">paul.woods@north-eastca.gov.uk</a></p> <p>And</p> <p>Mark Wilson, Head of Transport Policy, 0191 211 5679, <a href="mailto:Mark.Wilson@newcastle.gov.uk">Mark.Wilson@newcastle.gov.uk</a></p>

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
TN 1 (g)	3 November 2016	TNEC	Draft Budget for 2017/18 and Transport Levies	Corporate issue	As required.	Held by the Contact Officer	Paul Woods, Chief Finance Officer North East Combined Authority 07446936840 <a href="mailto:paul.woods@north-eastca.gov.uk">paul.woods@north-eastca.gov.uk</a>
TN 1 (h)	3 November 2016	TNEC	Bus Services Bill To update members on progress made by Parliament in considering the Bus Services Bill, and to review the implications for bus services in the NECA area.	Transport	The report has been prepared through Nexus' internal reporting arrangements.	Held by the Contact Officer	Tobyn Hughes, Managing Director (Transport Operations), 0191 203 3246, <a href="mailto:tobyn.hughes@nex.us.org.uk">tobyn.hughes@nex.us.org.uk</a>
TN 1 (i)	3 November 2016	TNEC	NECA Concessionary Ticketing To seek agreement from TNEC on a work stream regarding Concessionary Ticketing, with a particular focus on Under 19s tickets and over 60s.	Transport	The report has been prepared through Nexus' internal reporting arrangements and will be discussed at the TNEC Members Seminar on Friday 14 <sup>th</sup>	Held by the Contact Officer	Tobyn Hughes, Managing Director (Transport Operations), 0191 203 3246, <a href="mailto:tobyn.hughes@nex.us.org.uk">tobyn.hughes@nex.us.org.uk</a>

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
					October 2016		
TN 1 (j)	3 November 2016	TNEC	Rail Update To provide an update on rail activities	Transport	The report has been prepared through Nexus' internal reporting arrangements.	Held by the Contact Officer	Tobyn Hughes, Managing Director (Transport Operations), 0191 203 3246, <a href="mailto:tobyn.hughes@nex.us.org.uk">tobyn.hughes@nex.us.org.uk</a>
TN 1 (k)	3 November 2016	TNEC	Metro Futures Programme Update To provide an update to Members on the Metro Futures Programme	Transport	The report has been prepared through Nexus' internal reporting arrangements.	Leadership Board report – 19th July 2016.	Tobyn Hughes, Managing Director (Transport Operations), 0191 203 3246, <a href="mailto:tobyn.hughes@nex.us.org.uk">tobyn.hughes@nex.us.org.uk</a>
TN 2 (a)	20 April 2017 (annually)	TNEC	Discharge of Transport Functions by Durham County Council. The report provides an update on the discharge of delegated functions at Durham County Council.	Transport	The report refers to the discharge of delegated functions by officers in Durham County Council in consultation with the relevant cabinet portfolio	The North East Combined Authority Constitution The North East Combined Authority Deed of Operation dated the 29 <sup>th</sup> April 2014.	Adrian J White, Head of Transport and Contract Services, Durham County Council, 03000 267455, <a href="mailto:adrian.white@durham.gov.uk">adrian.white@durham.gov.uk</a>

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
					holder.		
TN 2 (b)	20 April 2017 (annually)	TNEC	Discharge of Transport Functions by Northumberland County Council.  The report provides an update on the discharge of delegated functions at Northumberland County Council.	Transport	To be confirmed	Held by the Contact Officer	Stuart McNaughton, Principal Transport Policy Officer, Northumberland County Council 01670 624 104, <a href="mailto:stuart.mcnaughton@northumberland.gov.uk">stuart.mcnaughton@northumberland.gov.uk</a>
<b>C. Transport North East (Tyne and Wear) Sub-Committee (TWSC)</b>							
TW 1 (a)	3 November 2016 and all future meetings	TWSC	Monitoring Nexus' Performance and Metro Performance Update  The purpose of these reports is to advise TWSC of Nexus corporate performance in respect of service and project delivery such that the Sub-Committee exercises	Transport	To be confirmed	Held by the Contact Officer	Tobyn Hughes, Managing Director (Transport Operations), 0191 203 3246, <a href="mailto:tobyn.hughes@nex.us.org.uk">tobyn.hughes@nex.us.org.uk</a>

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
			<p>the monitoring and oversight functions in respect of Nexus activities delegated to it from the NECA Leadership Board.</p> <p>The report also reports on the measures in place to improve Metro performance.</p>				
TW 1 (b)	3 November 2016	TWSC	<p>Update on NEXUS Corporate Risks 2016/17</p> <p>The purpose of this report is to provide an update to TWSC of the strategic risks identified by Nexus for the year.</p>	Transport	The report has been prepared through Nexus internal reporting arrangements.	Held by the Contact Officer	<p>Tobyn Hughes Managing Director (Transport Operations), 0191 203 3246, <a href="mailto:tobyn.hughes@nex.us.org.uk">tobyn.hughes@nex.us.org.uk</a></p>
TW 1 (c)	3 November 2016	TWSC	<p>Revision to Metro and Ferry Fares 2017</p> <p>To seek approval for changes to Metro and Ferry fares for 2017</p>	Transport	The report has been prepared through Nexus' internal reporting arrangements and will be discussed at	Held by the Contact Officer	<p>Tobyn Hughes Managing Director (Transport Operations), 0191 203 3246, <a href="mailto:tobyn.hughes@nex.us.org.uk">tobyn.hughes@nex.us.org.uk</a></p>

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
					TWSC Members Seminar on 5th October 2016.		
TW 1 (d)	3 November 2016	TWSC	Metro Transition Update  To provide an update on the Metro Transition Project.	Transport	The report has been prepared through Nexus internal reporting arrangements.	Held by the Contact Officer	Tobyn Hughes Managing Director (Transport Operations), 0191 203 3246, <a href="mailto:tobyn.hughes@nexus.org.uk">tobyn.hughes@nexus.org.uk</a>
TW 1 (e)	3 November 2016  26 January 2017	TWSC	Tyne Tunnel Update ( <b>confidential report</b> )  The report will provide an update for Members on the operation and management of the Tyne Tunnel  The report will be exempt from the publication by virtue of paragraphs 3 and 5 of Part 1 of Schedule 12A	Transport	To be confirmed	Held by the Contact Officer	Michael Murphy, Engineer to the Tyne, 0191 211 5950, <a href="mailto:michael.murphy@newcastle.gov.uk">michael.murphy@newcastle.gov.uk</a>

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
			of The Local Government Act 1972				
TW 1 (f)	3 November 2016	TWSC	Nexus Annual Activity and Expenditure Report 2015/16  To present the Nexus Annual Activity and Expenditure Report for 2015/16	Transport	The report has been prepared through Nexus internal reporting arrangements.	Held by the Contact Officer	Tobyn Hughes Managing Director (Transport Operations), 0191 203 3246, <a href="mailto:tobyn.hughes@nexus.org.uk">tobyn.hughes@nexus.org.uk</a>
TW 1 (g)	3 November 2016	TWSC	Nexus Budget Preparation 2017/18 to 2019/20  To outline the Nexus budget preparation for 2017/18 to 2019/20 and to ask TWSC to advise the Leadership Board of the preference to agree the Tyne and Wear levy on a multi-year basis and to agree to the budget and service planning approach as outlined in the report.	Transport	The report has been prepared through Nexus' internal reporting arrangements	Held by the Contact Officer	Tobyn Hughes Managing Director (Transport Operations) 0191 203 3246 <a href="mailto:tobyn.hughes@nexus.org.uk">tobyn.hughes@nexus.org.uk</a>

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
TW 2 (a)	26 January 2017	TWSC	<p>Tyne Tunnel Update (<b>confidential report</b>)</p> <p>The report will provide an update for Members on the operation and management of the Tyne Tunnel</p> <p>The report will be exempt from the publication by virtue of paragraphs 3 and 5 of Part 1 of Schedule 12A of The Local Government Act 1972</p>	Transport	To be confirmed	Held by the Contact Officer	<p>Michael Murphy, Engineer to the Tyne, 0191 211 5950, <a href="mailto:michael.murphy@newcastle.gov.uk">michael.murphy@newcastle.gov.uk</a></p>
TW 2 (b)	26 January 2017	TWSC	<p>Monitoring Nexus' Performance and Metro Performance Update</p> <p>The purpose of these reports is to advise TWSC of Nexus corporate performance in respect of service and project delivery such that the Sub-</p>	Transport	To be confirmed	Held by the Contact Officer	<p>Tobyn Hughes, Managing Director (Transport Operations), 0191 203 3246, <a href="mailto:tobyn.hughes@nexus.org.uk">tobyn.hughes@nexus.org.uk</a></p>

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
			<p>Committee exercises the monitoring and oversight functions in respect of Nexus activities delegated to it from the NECA Leadership Board.</p> <p>The report also reports on the measures in place to improve Metro performance.</p>				
TW 2 (c)	26 January 2017	TWSC	<p>Update on NEXUS Corporate Risks 2016/17</p> <p>The purpose of this report is to provide an update to TWSC of the strategic risks identified by Nexus for the year.</p>	Transport	The report has been prepared through Nexus internal reporting arrangements.	Held by the Contact Officer	<p>Tobyn Hughes, Managing Director (Transport Operations), 0191 203 3246, <a href="mailto:tobyn.hughes@nexus.org.uk">tobyn.hughes@nexus.org.uk</a></p>
TW 3 (a)	20 April 2017	TWSC	<p>Tyne Tunnel Update (<b>confidential report</b>)</p> <p>The report will provide an update for Members on the operation and</p>	Transport	To be confirmed	Held by the Contact Officer	<p>Michael Murphy, Engineer to the Tyne, 0191 211 5950, <a href="mailto:michael.murphy@newcastle.gov.uk">michael.murphy@newcastle.gov.uk</a></p>

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
			<p>management of the Tyne Tunnel</p> <p>The report will be exempt from the publication by virtue of paragraphs 3 and 5 of Part 1 of Schedule 12A of The Local Government Act 1972</p>				
TW 3 (b)	20 April 2016	TWSC	<p>Monitoring Nexus' Performance and Metro Performance Update</p> <p>The purpose of these reports is to advise TWSC of Nexus corporate performance in respect of service and project delivery such that the Sub-Committee exercises the monitoring and oversight functions in respect of Nexus activities delegated to it from the NECA Leadership Board.</p>	Transport	To be confirmed	Held by the Contact Officer	<p>Tobyn Hughes, Managing Director (Transport Operations), 0191 203 3246, <a href="mailto:tobyn.hughes@nex.us.org.uk">tobyn.hughes@nex.us.org.uk</a></p>

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
			The report also reports on the measures in place to improve Metro performance.				
TW 3 (c)	20 April 2017	TWSC	Update on NEXUS Corporate Risks 2016/17  The purpose of this report is to provide an update to TWSC of the strategic risks identified by Nexus for the year.	Transport	The report has been prepared through Nexus internal reporting arrangements.	Held by the Contact Officer	Tobyn Hughes Managing Director (Transport Operations), 0191 203 3246, <a href="mailto:tobyn.hughes@nexus.org.uk">tobyn.hughes@nexus.org.uk</a>
<b>D. Governance Committee</b>							
G 1 (a)	6 December 2016	Governance Committee	Annual Audit Letter  The report provides an update on the final closure of accounts sign off	Corporate issue	As required	Held by the Contact Officer	Philip Slater Audit, Risk and Insurance Service Manager Newcastle City Council 0191 2116511 <a href="mailto:philip.slater@newcastle.gov.uk">philip.slater@newcastle.gov.uk</a>

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
G 1 (b)	6 December 2016	Governance Committee	Internal Audit Progress Report Members are requested to note the internal audit activity to date.	Internal Audit covers all aspects of the Combined Authority's activity	Head of Paid Service, Monitoring Officer and Chief Finance Officer	Final Internal Audit Reports & Internal Audit Plan	Philip Slater Audit, Risk and Insurance Service Manager Newcastle City Council 0191 2116511 <a href="mailto:philip.slater@newcastle.gov.uk">philip.slater@newcastle.gov.uk</a>
G 1 (c)	6 December 2016	Governance Committee	External Auditor's Report This report provides an update for information on the work of the External Auditors to the Combined Authority	Corporate issue	Consultation with the Statutory Officers and the Governance Committee Chair.	Held by the Contact Officer	Eleanor Goodman Principal Accountant, 0191 277 7518 <a href="mailto:eleanor.goodman@northeastca.gov.uk">eleanor.goodman@northeastca.gov.uk</a>
G 1 (d)	6 December 2016	Governance Committee	Draft Budget 2017/18 Consultation Members will be asked to note the report which sets out details of the draft budget proposals for 2017/18 for consultation.	Corporate issue	Consultation with statutory officers and Governance Committee Chair	Held by the Contact Officer	Paul Woods, Chief Finance Officer, 07446936840, <a href="mailto:paul.woods@northeastca.gov.uk">paul.woods@northeastca.gov.uk</a>

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
G 2 (a)	4 April 2017	Governance Committee	Accounting Policies Members will be asked to review the Accounting Policies and approve their use in the preparation of the Statement of Accounts.	Corporate issue	As required	Held by the Contact Officer	Eleanor Goodman Principal Accountant, 0191 277 7518 <a href="mailto:eleanor.goodman@northeastca.gov.uk">eleanor.goodman@northeastca.gov.uk</a>
<b>E. Governance Sub-Committee</b>							
There are no meetings currently scheduled.							
<b>F. Overview and Scrutiny Committee (O and S)</b>							
OS 1 (a)	1 November 2016	O and S	Forward Plan and Work Programme To receive the latest version of the Forward Plan and annual work programme	Corporate issue	The Chair of the Committee	Held by the Contact Officers	Karen Brown, Scrutiny Officer, 0191 561 1004, <a href="mailto:karen.brown@sunderland.gov.uk">karen.brown@sunderland.gov.uk</a>  Representations may be forwarded to the contact

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
							officer by 16 October 2016
OS 1 (b)	1 November 2016	O and S	Appointment of Chair and Vice-Chair  To invite the Scrutiny Committee to appoint its Chair and Vice-Chair for the Municipal Year 2016/17	Corporate issue	As required	Constitution; Minutes of the June 2016 meeting of the Leadership Board	Karen Brown, Scrutiny Officer, 0191 561 1004, <a href="mailto:karen.brown@sunderland.gov.uk">karen.brown@sunderland.gov.uk</a>  Representations may be forwarded to the contact officer by 16 October 2016
OS 1 (c)	1 November 2016	O and S	Nexus review of performance and update on service review  The purpose of this report is to advise the scrutiny committee of Nexus corporate performance in respect of service and project delivery. The report also reports on the	Transport	To be confirmed	Held by the Contact Officer	Karen Brown, Scrutiny Officer, 0191 561 1004, <a href="mailto:karen.brown@sunderland.gov.uk">karen.brown@sunderland.gov.uk</a>  Representations may be forwarded to the contact officer by 16 October 2016

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
			outcome of a recent service review.				
OS 1 (d)	1 November 2016	O and S	Financial Monitoring Update – Outturn 2015/16  The budget and capital programme for 2015/16 was subject to consultation during the year and regular update reports to the Leadership Board	Corporate issue	As required	Held by the Contact Officers	Paul Woods Chief Finance Officer <a href="mailto:Paul.woods@northtyneside.gov.uk">Paul.woods@northtyneside.gov.uk</a> 07446936840 Representations to be forwarded to the Contact Officer by 16 October 2016
OS 1 (e)	1 November 2016	O and S	Local Growth Fund update The purpose of this report is to update the committee on the Local Growth Fund to achieve the objectives set out in the Strategic Economic Plan.	Economic Development and Regeneration	As required	Held by the Contact Officers	Karen Brown, Scrutiny Officer, 0191 561 1004, <a href="mailto:karen.brown@sunderland.gov.uk">karen.brown@sunderland.gov.uk</a> Representations to be forwarded to the Contact Officer by 16 October 2016
OS 2 (a)	14 December 2016,	O and S	Forward Plan and Work Programme	Corporate issue	The Chair of the Committee	Held by the Contact Officers	Karen Brown, Scrutiny Officer, 0191 561 1004,

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
	14 February 2017, 28 March 2017		To receive the latest version of the Forward Plan and annual work programme				<a href="mailto:karen.brown@sunderland.gov.uk">karen.brown@sunderland.gov.uk</a>
<b>G. Economic Development and Regeneration Advisory Board (EDRAB)</b>							
ED 1 (a)	25 October 2016	EDRAB	Appointment of Vice-Chair for the current municipal year	Corporate matter	As required	Constitution; and The Minutes of the Leadership Board, Annual Meeting, 21 June 2016	Vivienne Geary, Monitoring Officer (0191) 6435466 <a href="mailto:Viv.geary@northtyneside.gov.uk">Viv.geary@northtyneside.gov.uk</a>
ED 1 (b)	25 October 2016	EDRAB	Economic Development and Regeneration – Progress Update	Economic Development and Regeneration	NECA Elected Members and officers; and NELEP Members and	North East Strategic Economic Plan – More and Better Jobs	Sara Dunlop Business, Employment and Skills Manager

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
			Members are requested to receive an update on, and provide views in relation to, progress within the key areas of the Economic Development and Regeneration theme. The update will include progress on the development of the regional Inward Investment function and Regional Investment Plan.		officers		(0191) 424 6257 <a href="mailto:sara.dunlop@southtyneside.gov.uk">sara.dunlop@southtyneside.gov.uk</a>
ED 1 (c)	25 October 2016	EDRAB	Future Topics for Deep-Dives The Advisory Board is requested to provide views and suggestions for Deep-Dive topics to be discussed at future meetings of the Board.	Economic Development and Regeneration	NECA Elected Members and officers; NELEP Members and officers	North East Strategic Economic Plan – More and Better Jobs	John Scott Corporate Lead - Business, Employment and Skills (0191) 424 6250 <a href="mailto:john.scott@southtyneside.gov.uk">john.scott@southtyneside.gov.uk</a>

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
ED 1 (d)	25 October 2016	EDRAB	<p>Refresh of the North East Strategic Economic Plan and Local Growth Fund 3 Update</p> <p>Members are requested to receive an update on, and provide views in relation to, the refresh of the North East Strategic Economic Plan and Local Growth Fund 3.</p>	Economic Development and Regeneration	NECA Elected Members and officers; NELEP Members and officers	North East Strategic Economic Plan – More and Better Jobs	<p>John Scott Corporate Lead - Business, Employment and Skills (0191) 424 6250 <a href="mailto:john.scott@southtyneside.gov.uk">john.scott@southtyneside.gov.uk</a></p>
ED 2 (a)	3 January 2017 and 4 April 2017.	EDRAB	<p>Economic Development and Regeneration – Progress Update</p> <p>Members are requested to receive an update on, and provide views in relation to, progress within the key areas of the Economic Development and Regeneration theme.</p>	Economic Development and Regeneration	NECA Elected Members and officers; and NELEP Members and officers	North East Strategic Economic Plan - More and Better Jobs	<p>Sara Dunlop Business, Employment and Skills Manager (0191) 424 6257 <a href="mailto:sara.dunlop@southtyneside.gov.uk">sara.dunlop@southtyneside.gov.uk</a></p>

Reference Number	Date of Decision	Decision Maker	Topic	Thematic area	Consultees /Consultation Process	Background Documents	Contact Officer
			The update will include progress on the development of the regional Inward Investment function and Regional Investment Plan.				

**Work Programme 2016/17**

Date	Items	Lead Officer	Informal Briefings / Development Days
7 <sup>th</sup> July (Durham)	Appointment of Chair & Vice-Chair	Viv Geary	
	The Devolution Agreement , Governance Review & Scheme for the proposed Mayoral Authority	Adam Wilkinson	
	Forward Plan & Work Programme	Karen Brown	
27 <sup>th</sup> September (Sunderland)	Appointment of Chair & Vice-Chair	Viv Geary	
	NELEP Strategic Economic Plan (SEP) Progress Review 2014-16	Helen Golightly	
	Policy Review on Transport Barriers – evidence from Arriva	Paul de Santis	
	Draft Budget 2017/18 & Transport Levies	Paul Woods	
	Devolution Update	Viv Geary	
	Forward Plan & Work Programme	Karen Brown	
1 <sup>st</sup> November (South Tyneside)	Appointment of Chair & Vice-Chair	Viv Geary	
	Monitoring Nexus Performance	Tobyn Hughes	
	Local Growth Fund Programme	Helen Golightly	
	Forward Plan & Work Programme	KB	
14 <sup>th</sup> December (Gateshead)	Draft Budget 2017/18	Paul Woods	
	Transport Thematic Lead Update	Councillor Nick Forbes, Lead Member	
	Transport Plan	Mark Wilson	
	Forward Plan & Work Programme	KB	
14 <sup>th</sup> February (Newcastle)	Economic Development & Regeneration Thematic Lead Update	Councillor Iain Malcolm, Lead Member	
	Forward Plan & Work Programme	KB	
28 <sup>th</sup> March (Sunderland)	Employability and Skills Thematic Lead Update	Cllr Grant Davey, Lead Member	
	Network Rail		
	Forward Plan & Work Programme	KB	
In addition to the schedule items, the following items will be included in the work programme			
	Impact of EU Referendum		

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